

Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No. 1545-0047

**2021****Open to Public Inspection****For calendar year 2021 or tax year beginning****and ending**

Name of foundation

FOUNDATION FOR A SMOKE-FREE WORLD, INC.

Number and street (or P.O. box number if mail is not delivered to street address)

420 LEXINGTON AVE.

Room/suite

300

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10170

**G** Check all that apply:☐ Initial return☐ Final return☒ Address change☐ Initial return of a former public charity☐ Amended return☐ Name change**H** Check type of organization:☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation**I** Fair market value of all assets at

end of year (from Part II, col. (c), line

16) ▶ \$ 60,983,371.

**J** Accounting method:☐ Cash ☒ Accrual☐ Other (specify) \_\_\_\_\_  
(Part I, column (d), must be on cash basis.)**A** Employer identification number

82-2800838

**B** Telephone number (see instructions)

(929) 446-0688

**C** If exemption application is pending, check here. ☐**D** 1. Foreign organizations, check here. ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)**(a)** Revenue and expenses per books**(b)** Net investment income**(c)** Adjusted net income**(d)** Disbursements for charitable purposes (cash basis only)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule) .	40,000,000.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B. . . . .				
	3 Interest on savings and temporary cash investments .	5,985.	5,985.		
	4 Dividends and interest from securities . . . .				
	5a Gross rents . . . . .				
	b Net rental income or (loss) . . . . .				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a . . . . .				
	7 Capital gain net income (from Part IV, line 2) .				
	8 Net short-term capital gain. . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances . . . . .				
<b>Operating and Administrative Expenses</b>	b Less: Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . .				
	11 Other income (attach schedule) . . . . .	328,162.			STMT 1
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	40,334,147.	5,985.		
	13 Compensation of officers, directors, trustees, etc. . .	2,031,120.			2,026,500.
	14 Other employee salaries and wages . . . . .	4,081,059.			4,151,607.
	15 Pension plans, employee benefits . . . . .	576,654.			588,877.
	16a Legal fees (attach schedule) . . . STMT 2 .	215,808.	NONE	NONE	229,328.
	b Accounting fees (attach schedule) STMT 3 .	293,521.	NONE	NONE	311,259.
	c Other professional fees (attach schedule) . * .	4,649,009.			4,468,886.
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions). **	20,879.			20,879.
	19 Depreciation (attach schedule) and depletion .	861,740.			
	20 Occupancy . . . . .	155,882.			155,773.
	21 Travel, conferences, and meetings . . . . .	430,188.			422,558.
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) STMT 6 .	1,413,447.			683,495.
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23. . . . .	14,729,307.	NONE	NONE	13,059,162.
	25 Contributions, gifts, grants paid . . . . .	25,309,552.			25,309,552.
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25 .	40,038,859.	NONE	NONE	38,368,714.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	295,288.			
	b <b>Net investment income</b> (if negative, enter -0-)		5,985.		
	c <b>Adjusted net income</b> (if negative, enter -0-)			-0-	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .				
	2	Savings and temporary cash investments . . . . .		57,321,779.	59,095,936.	59,095,936.
	3	Accounts receivable ▶ 488.				
		Less: allowance for doubtful accounts ▶		473.	488.	488.
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable. . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use. . . . .				
	9	Prepaid expenses and deferred charges . . . . . STMT 7		830,428.	839,960.	839,960.
	10a	Investments - U.S. and state government obligations (attach schedule). .				
	b	Investments - corporate stock (attach schedule) . . . . .				
	c	Investments - corporate bonds (attach schedule). . . . .				
	11	Investments - land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans . . . . .					
13	Investments - other (attach schedule) . . . . .					
14	Land, buildings, and equipment: basis ▶ 2,865,072.					
	Less: accumulated depreciation (attach schedule) ▶ 1,843,078.		1,819,984.	1,021,994.	1,021,994.	
15	Other assets (describe ▶ STMT 8 )		62,444.	24,993.	24,993.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .		60,035,108.	60,983,371.	60,983,371.	
Liabilities	17	Accounts payable and accrued expenses . . . . .		738,555.	1,391,530.	
	18	Grants payable. . . . .				
	19	Deferred revenue. . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons. .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶ )				
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		738,555.	1,391,530.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>					
	and complete lines 24, 25, 29, and 30.					
	24	Net assets without donor restrictions . . . . .				
	25	Net assets with donor restrictions . . . . .				
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 26 through 30.					
	26	Capital stock, trust principal, or current funds . . . . .				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund. . . . .				
	28	Retained earnings, accumulated income, endowment, or other funds . .		59,296,553.	59,591,841.	
	29	<b>Total net assets or fund balances</b> (see instructions). . . . .		59,296,553.	59,591,841.	
30	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .		60,035,108.	60,983,371.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return). . . . .	1	59,296,553.
2	Enter amount from Part I, line 27a. . . . .	2	295,288.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3 . . . . .	4	59,591,841.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 . . . .	6	59,591,841.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="font-size: 3em; margin-right: 10px;">{</div> <div>           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div> </div>		<b>2</b>		
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 . . . . .	<div style="display: flex; align-items: center;"> <div style="font-size: 3em; margin-right: 10px;">}</div> <div>           If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in            Part I, line 8 . . . . .         </div> </div>		<b>3</b>		

**Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)**

<b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)	<b>1</b>	83.
<b>b</b> All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations, enter 4% (0.04) of Part I, line 12, col. (b) . . . . .		
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	<b>2</b>	
<b>3</b> Add lines 1 and 2 . . . . .	<b>3</b>	83.
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	<b>4</b>	NONE
<b>5</b> Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	83.
<b>6</b> Credits/Payments:		
<b>a</b> 2021 estimated tax payments and 2020 overpayment credited to 2021 . . . . .	<b>6a</b>	23,960.
<b>b</b> Exempt foreign organizations - tax withheld at source . . . . .	<b>6b</b>	NONE
<b>c</b> Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	NONE
<b>d</b> Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b> Total credits and payments. Add lines 6a through 6d . . . . .	<b>7</b>	23,960.
<b>8</b> Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	<b>8</b>	
<b>9</b> Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	<b>9</b>	
<b>10</b> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	<b>10</b>	23,877.
<b>11</b> Enter the amount of line 10 to be: Credited to 2022 estimated tax 5,000. Refunded	<b>11</b>	18,877.

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**Part VI-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. . . . . If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ <u>NONE</u> (2) On foundation managers. ► \$ <u>NONE</u>		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ <u>NONE</u>		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by <i>General Instruction T</i> .		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered. See instructions. ► <u>DE, NY,</u>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation . . . . .	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the tax year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII . . . . .		X
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X
<b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions . . . . . <u>STMT. 9</u>	X	
<b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. . . . .		X
<b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.SMOKEFREEWORLD.ORG</u>	X	
<b>14</b> The books are in care of ► <u>DAVID JANAZZO</u> Telephone no. ► <u>929-446-0688</u> Located at ► <u>420 LEXINGTON AVE. SUITE 300 NEW YORK, NY</u> ZIP+4 ► <u>10170</u>		
<b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> - check here . . . . . ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ► <b>15</b>		
<b>16</b> At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► <u>MALAWI</u>	X	

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**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
<b>1a</b> During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<b>1a(1)</b>	X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<b>1a(2)</b>	X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<b>1a(3)</b>	X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<b>1a(4)</b>	X
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<b>1a(5)</b>	X
(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . .	<b>1a(6)</b>	X
<b>b</b> If any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . .	<b>1b</b>	X
<b>c</b> Organizations relying on a current notice regarding disaster assistance, check here. . . . . <input type="checkbox"/>		
<b>d</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021? . . . . .	<b>1d</b>	X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b> At the end of tax year 2021, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2021? . . . . .	<b>2a</b>	X
If "Yes," list the years <b>▶</b> _____, _____, _____, _____		
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.) . . . . .	<b>2b</b>	
<b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. <b>▶</b> _____, _____, _____, _____		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<b>3a</b>	X
<b>b</b> If "Yes," did it have excess business holdings in 2021 as a result of <b>(1)</b> any purchase by the foundation or disqualified persons after May 26, 1969; <b>(2)</b> the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or <b>(3)</b> the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2021.) . . . . .	<b>3b</b>	
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	<b>4a</b>	X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021? . . . . .	<b>4b</b>	X

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**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

	Yes	No
<b>5a</b> During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		X
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?		X
(3) Provide a grant to an individual for travel, study, or other similar purposes?		X
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	X	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		X
<b>b</b> If any answer is "Yes" to 5a(1)-(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		X
<b>c</b> Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>		
<b>d</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <u>SEE STATEMENT 10</u> If "Yes," attach the statement required by Regulations section 53.4945-5(d).	X	
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		X
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		X
<b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		
<b>8</b> Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?		X

**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		2,031,120.	95,556.	NONE

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 18		1,094,519.	48,974.	NONE

**Total** number of other employees paid over \$50,000 21

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Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3

Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 21		3,070,821.
Total number of others receiving over \$50,000 for professional services . . . . .		24

Part VIII-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 SEE ATTACHMENT 31	
	1,527,278.
2 SEE ATTACHMENT 31	
	967,475.
3 SEE ATTACHMENT 31	
	486,929.
4 SEE ATTACHMENT 31	
	240,462.

Part VIII-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3 . . . . .	

**Part IX Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	NONE
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	58,567,283.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	58,567,283.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . . <b>1e</b>		
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	58,567,283.
<b>4</b>	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	878,509.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 . . . . .	<b>5</b>	57,688,774.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% (0.05) of line 5. . . . .	<b>6</b>	2,884,439.

**Part X Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part IX, line 6. . . . .	<b>1</b>	2,884,439.
<b>2a</b>	Tax on investment income for 2021 from Part V, line 5. . . . . <b>2a</b>		83.
<b>b</b>	Income tax for 2021. (This does not include the tax from Part V.) . . . . . <b>2b</b>		
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	83.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	2,884,356.
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	324,894.
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	3,209,250.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1 . . . . .	<b>7</b>	3,209,250.

**Part XI Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 . . . . .	<b>1a</b>	38,368,714.
<b>b</b>	Program-related investments - total from Part VIII-B . . . . .	<b>1b</b>	NONE
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	42,500.
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part XII, line 4 . . . . .	<b>4</b>	38,411,214.

Form **990-PF** (2021)

**Part XII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
<b>1</b> Distributable amount for 2021 from Part X, line 7				3,209,250.
<b>2</b> Undistributed income, if any, as of the end of 2021:				
<b>a</b> Enter amount for 2020 only. . . . .			NONE	
<b>b</b> Total for prior years: 20 19 , 20 18 , 20 17		NONE		
<b>3</b> Excess distributions carryover, if any, to 2021:				
<b>a</b> From 2016 . . . . .				
<b>b</b> From 2017 . . . . .	3,185,969.			
<b>c</b> From 2018 . . . . .	27,464,139.			
<b>d</b> From 2019 . . . . .	67,812,508.			
<b>e</b> From 2020 . . . . .	44,373,042.			
<b>f</b> Total of lines 3a through e . . . . .	142,835,658.			
<b>4</b> Qualifying distributions for 2021 from Part XI, line 4: ► \$ 38,411,214.				
<b>a</b> Applied to 2020, but not more than line 2a . . .			NONE	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .				
<b>d</b> Applied to 2021 distributable amount. . . . .				3,209,250.
<b>e</b> Remaining amount distributed out of corpus. . .	35,201,964.			
<b>5</b> Excess distributions carryover applied to 2021 (If an amount appears in column (d), the same amount must be shown in column (a).) . . . . .				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	178,037,622.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2020. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .			NONE	
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022. . . . .				NONE
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions) . . .				
<b>9</b> Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a . . . . .	178,037,622.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2017 . . .	3,185,969.			
<b>b</b> Excess from 2018 . . .	27,464,139.			
<b>c</b> Excess from 2019 . . .	67,812,508.			
<b>d</b> Excess from 2020 . . .	44,373,042.			
<b>e</b> Excess from 2021 . . .	35,201,964.			

**Part XIII Private Operating Foundations** (see instructions and Part VI-A, question 9)

NOT APPLICABLE

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling . . . . . ▶**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2021	(b) 2020	(c) 2019	(d) 2018	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed . . . . .					
<b>b</b> 85% (0.85) of line 2a . . . .					
<b>c</b> Qualifying distributions from Part XI, line 4, for each year listed .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon: . .					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part IX, line 6, for each year listed . .					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income .					

**Part XIV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 23

**b** The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 24

**c** Any submission deadlines:

SEE STATEMENT 25

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT 26

Part XIV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 27				25,309,552.
Total . . . . . ▶ 3a				25,309,552.
b Approved for future payment				
SEE STATEMENT 28				6,562,584.
Total . . . . . ▶ 3b				6,562,584.

## Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue:						
<b>a</b> _____						
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>f</b> _____						
<b>g</b> Fees and contracts from government agencies						
<b>2</b> Membership dues and assessments . . . . .						
<b>3</b> Interest on savings and temporary cash investments .				14	5,985.	
<b>4</b> Dividends and interest from securities . . . . .						
<b>5</b> Net rental income or (loss) from real estate:						
<b>a</b> Debt-financed property . . . . .						
<b>b</b> Not debt-financed property . . . . .						
<b>6</b> Net rental income or (loss) from personal property						
<b>7</b> Other investment income . . . . .						
<b>8</b> Gain or (loss) from sales of assets other than inventory						
<b>9</b> Net income or (loss) from special events . . .						
<b>10</b> Gross profit or (loss) from sales of inventory . .						
<b>11</b> Other revenue: <b>a</b> _____						
<b>b</b> SEE STATEMENT 29						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .					334,147.	
<b>13 Total.</b> Add line 12, columns (b), (d), and (e) . . . . .						334,147.

(See worksheet in line 13 instructions to verify calculations.)

## Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



**Schedule B  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

► Attach to Form 990 or Form 990-PF.  
► Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2021**

Name of the organization

Employer identification number

FOUNDATION FOR A SMOKE-FREE WORLD, INC.

82-2800838

**Organization type** (check one):

**Filers of:**

**Section:**

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ► \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990).

Name of organization

FOUNDATION FOR A SMOKE-FREE WORLD, INC.

Employer identification number

82-2800838

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PMI GLOBAL SERVICES, INC 120 PARK AVENUE NEW YORK, NY 10017	\$ 40,000,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

FORM 990PF, PART I - OTHER INCOME  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
RETURN OF PRIOR YEAR DISTRIBUTIONS	324,894.
REFUND OF PRIOR YEAR TAXES	3,268.
	-----
TOTALS	328,162.
	=====

FORM 990PF, PART I - LEGAL FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
LEGAL FEES	215,808.			229,328.
	-----	-----	-----	-----
TOTALS	215,808.	NONE	NONE	229,328.
	=====	=====	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
ACCOUNTING FEES	293,521.			311,259.
	-----	-----	-----	-----
TOTALS	293,521.	NONE	NONE	311,259.
	=====	=====	=====	=====

## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
CONSULTING FEES	1,932,788.			1,849,023.
PROFESSIONAL SERVICES	1,692,077.			1,643,318.
COMMUNICATIONS	1,024,144.			976,545.
	-----			-----
TOTALS	4,649,009.			4,468,886.
	=====			=====

FORM 990PF, PART I - TAXES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	CHARITABLE PURPOSES -----
FOREIGN TAXES PAID	20,879.	20,879.
	-----	-----
TOTALS	20,879.	20,879.
	=====	=====

## FORM 990PF, PART I - OTHER EXPENSES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	CHARITABLE PURPOSES -----
DATA LICENSE FEES	803,116.	68,313.
NEWS & SUBSCRIPTIONS	293,780.	294,780.
INSURANCE	188,207.	188,207.
COMPUTER & EQUIPMENT	55,201.	58,121.
RECRUITING FEES	28,953.	28,953.
GENERAL OFFICE EXPENSES	27,320.	27,447.
OFFICE SUPPLIES	9,537.	10,341.
BANK FEES	5,715.	5,715.
NY STATE FILING FEE	1,525.	1,525.
MISCELLANEOUS EXPENSES	93.	93.
	-----	-----
TOTALS	1,413,447.	683,495.
	=====	=====

## FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
PREPAID EXPENSES	839,960. -----	839,960. -----
TOTALS	839,960. =====	839,960. =====

FOUNDATION FOR A SMOKE-FREE WORLD, INC.

82-2800838

FORM 990PF, PART II - OTHER ASSETS  
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
SECURITY DEPOSITS	24,993.	24,993.
	-----	-----
TOTALS	24,993.	24,993.
	=====	=====

## FORM 990PF, PART VI-A - TRANSFERS TO CONT. ENT. STATEMENT

=====

CONTROLLED ENTITY'S NAME:	AGRICULTURAL TRANSFORMATION INITIATIVE
CONTROLLED ENTITY'S ADDRESS:	P.O. BOX 31009, AREA 11 PLOT 49 B
CITY, STATE & ZIP:	LILONGWE MALAWI
FOREIGN COUNTRY:	MALAWI
EIN:	99-9999999
TRANSFER AMOUNT:	659,405.
EXPLANATION OF TRANSFER TO CONTROLLED ENTITY:	
CASH CONTRIBUTION. THIS ENTITY IS NOT AN EXCESS BUSINESS HOLDING.	

	GRANTEE'S NAME	GRANTEE'S ADDRESS	GRANT DATE	GRANT AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
1	ACK Consultants	Burfield House Faversham ME13 8QW United Kingdom	9/16/2020	63,800	Conduct a Virtual Global Conference on "15 Years of FCTC"	63,799	No	01/08/2021	01/13/2021	The total spending of \$63,799 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
2	Agenome LLC	Yolee Grande, 14, 2nd Floor, Bangalore 560005 India	2/12/2019	2,164,189	Assess cancer risk of smokers through DNA and RNA research and creation of genome database with an aim to encourage smokers to quit or transition to reduced risk products	2,164,189	No	01/19/2021 02/12/2021	03/12/2021 03/12/2021	The total spending of \$2,164,189 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
3	Alternative Research Initiative	Office # 31, 1st Floor, Islamabad Pakistan	2/13/2020	370,160	Garner consensus and support for COP9 to consider harm reduction as integral to tobacco control	370,028	No	01/07/2021 03/09/2021 06/10/2021 09/22/2021 12/13/2021	01/13/2021 03/12/2021 06/11/2021 09/27/2021 12/15/2021	The total spending of \$370,028 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	GRANT DATE	GRANT AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
4	Analytisch-Biologisches Forschungslabor GmbH	Semmelweisstrasse 5, Planegg 82152 Germany	2/12/2019	2,921,560	Identification of biomarkers and / or patterns of biomarkers that properly distinguish among nicotine product use groups for the development of a prototype diagnostic tool to be used in interventions to help smokers quit or switch to a reduced risk product	2,926,182	No	03/31/2021 07/26/2021 01/12/2022	04/01/2021 08/09/2021 01/15/2022	The total spending of \$2,926,182 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
5	ASCRA Consulting Inc.	Purok 6, Ligao City, Albay Philippines	7/22/2021	30,000	Conduct and disseminate research focused on policies around cessation and tobacco harm reduction in the Philippines. Develop a network of stakeholders including tobacco harm reduction advocates, medical professionals, and others	29,003	No	01/30/2022	02/04/2022	The total spending of \$29,003 as per report which is 97% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
6	Asia Management Private Limited	No 10/1 Medhuziyyaaraiy Magu Male 20097 Maldives	9/16/2019	98,769	Survey of smoking behavior of young adults and development of 2 year plan of action for ending youth smoking in the Maldives	98,670	No	04/14/2020	04/14/2020	The total spending of \$98,670 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	GRANT DATE	GRANT AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
7	Asociación Argentina de Servicios y Estudios Médicos de Avanzada	BEIRO FRANCISCO AV. 3360 PISO BUENOS AIRES Argentina	3/6/2020	140,549	Garner consensus and support for COP9 to consider harm reduction as integral to tobacco control	125,546	No	01/31/2021	02/09/2021	The total spending of \$125,546 as per report which is 89% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
8	Behavioral Diagnostics, LLC	2500 Crosspark Road Coralville, IA 52241 USA	2/12/2019	2,130,272	Development of a kit for the detection and quantification of tobacco and other nicotine product use	2,130,272	No	03/23/2021 05/18/2021	04/01/2021 08/23/2021	The total spending of \$2,130,272 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
9	Biochromex	27 Cambridge Park London E11 2PU United Kingdom	7/9/2020	20,665	Development of a relative risk hierarchy of nicotine products	20,665	No	12/15/2021	01/05/2022	The total spending of \$20,665 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	GRANT DATE	GRANT AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
10	BOTEC Analysis, LLC	6303 Owensmouth Ave, 10th Floor Woodlands Hills CA 91367 United States	7/30/2019	1,035,224	Investigate the Drivers for Smoking Cessation in Five Countries with ANDS Markets	1,035,222	No	04/28/2021 06/12/2021	05/07/2021 12/13/2021	The total spending of \$1,035,222 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
11	BOTEC Analysis, LLC	6303 Owensmouth Ave, 10th Floor Woodlands Hills CA 91367 United States	1/15/2021	179,355	Conduct an investigation and analysis and develop recommendations regarding the (i) literature addressing the relationship between COVID-19 and tobacco and nicotine products; (ii) the impact of various tobacco/nicotine- related COVID restrictions around the world on smoking and alternative nicotine delivery system (ANDS) use	177,274	No	05/07/2021 08/31/2021	05/18/2021 09/05/2021	The total spending of \$177,274 as per report which is 99% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

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12	BOTEC Analysis, LLC	6303 Owensmouth Ave, 10th Floor Woodlands Hills CA 91367 United States	5/7/2021	402,198	Further develop the drivers of smoking cessation in five countries with legalized alternative nicotine delivery systems (ANDS) through a series of additional journal articles, econometric studies, and a full-length book on optimal nicotine regulation	179,751	No	10/29/2021 01/27/2022	11/05/2021 01/28/2022	The total spending of \$179,751 as per report which is 45% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
13	Cambridge Design Partnership Ltd	The Long Barn Cambridge CB23 2RF United Kingdom	2/4/2021	222,745	Disseminate findings of scoping work related to key impediments to mass adoption of RRP in low- and middle-income countries	198,289	No	06/11/2021 08/10/2021 11/24/2021 02/16/2022	06/12/2021 08/23/2021 12/13/2021 03/14/2022	The total spending of \$198,289 as per report which is 89% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
14	Centre for Health Research and Education Limited	16 Guildford Drive Eastleigh, Hampshire SO53 3PT United Kingdom	11/28/2019	490,304	Research to accelerate progress towards smoking cessation in the UK	490,304	No	05/14/2021 07/29/2021	05/18/2021 08/06/2021	The total spending of \$490,304 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

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15	Centre for Health Research and Education Limited	16 Guildford Drive Eastleigh, Hampshire SO53 3PT United Kingdom	11/28/2019	683,210	Research, develop and deliver practical solutions to achieve and sustain smoking cessation in mental health sites in the UK	676,010	No	02/08/2021 05/17/2021 07/29/2021 10/31/2021	02/10/2021 05/18/2021 08/09/2021 11/08/2021	The total spending of \$676,010 as per report which is 99% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
16	Centre for Health Research and Education Limited	16 Guildford Drive Eastleigh, Hampshire SO53 3PT United Kingdom	12/10/2020	2,665,919	Capacity building to reduce tobacco-related death and disease in India	2,518,270	No	05/14/2021 07/29/2021 11/02/2021 01/31/2022 04/13/2022	05/18/2021 08/10/2021 11/09/2021 02/07/2022 04/18/2022	The total spending of \$2,518,270 as per report which is 94% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
17	Centre of Research Excellence on Indigenous Sovereignty & Smoking Limited	109 Carlisle Road Torbay, Auckland 0632 New Zealand	7/30/2019	2,455,076	Establishing a global Centre of Excellence (CoE) for research on smoking cessation and harm reduction in indigenous people and marginalized communities	2,181,517	No	04/08/2021 08/04/2021 09/30/2021 12/28/2021	04/09/2021 08/05/2021 10/08/2021 01/05/2022	The total spending of \$2,181,517 as per report which is 82% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

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18	ECLAT SRL	University of Catania Catania, Sicily Italy	2/12/2019	9,763,810	Establishment of a Center of Excellence for the Acceleration of Harm Reduction	8,491,916	No	04/30/2021 08/03/2021 10/26/2021 02/08/2022	05/06/2021 08/05/2021 11/08/2021 02/28/2022	The total spending of \$8,491,916 as per report which is 87% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
19	ECLAT SRL	University of Catania Catania, Sicily Italy	7/30/2019	243,773	Develop guidelines for quality tobacco / nicotine product research	243,773	No	06/18/2021	06/21/2021	The total spending of \$243,773 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
20	ECLAT SRL	University of Catania Catania, Sicily Italy	11/4/2019	151,876	Bridge the gaps and build accountability in media communications around tobacco harm reduction science	151,876	No	04/26/2021	04/30/2021	The total spending of \$151,876 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

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21	Economic Policy Research Foundation of Turkey, The (TEPAV)	Söğütözü, Söğütözü Cd. No 43 Ankara 06510 Turkey	12/11/2020	279,742	Research and disseminate findings relating to the policies and economics around ending smoking in Turkey	205,118	No	04/19/2021 07/05/2021 09/24/2021 01/14/2022	04/21/2021 07/07/2021 09/27/2021 01/15/2022	The total spending of \$205,118 as per report which is 73% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
22	Embera Neuro Therapeutics	29 Babe Ruth Drive Sudbury, MA 01778 United States	8/28/2019	208,420	Manufacture material for Tobacco Use Disorder Study	177,643	No	04/30/2021	05/06/2021	The total spending of \$177,643 as per report which is 85% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
23	Etheim Biotics, LLC	34 Cessna Court Gaithersburg, MD 20879 United States	12/2/2019	3,572,896	Multi-regional study to examine the impact of smoking and vaping on the human microbiome	3,572,896	No	01/11/2021	03/23/2021	The total spending of \$3,572,896 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

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24	Euromonitor International Inc	60-61 Britton Street London EC1M 5UX United Kingdom	2/12/2019	5,432,112	Tobacco Transformation Index process design, research and analysis, and reporting	5,432,112	No	03/31/2021 09/04/2021 11/16/2021 12/01/2021	04/28/2021 09/06/2021 11/16/2021 12/02/2021	The total spending of \$5,432,112 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
25	Euromonitor International Inc	60-61 Britton Street London EC1M 5UX United Kingdom	10/3/2020	494,937	Investigate the impact of the EU menthol ban and its potential to reduce the total consumption of combustible tobacco	494,937	No	12/15/2020	01/15/2021	The total spending of \$494,937 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
26	Euromonitor International Inc	60-61 Britton Street London EC1M 5UX United Kingdom	2/4/2021	363,392	Conduct the Tobacco Marketing Study in support of the Tobacco Transformation Index to establish a framework for tobacco companies' marketing practices to support transition away from High-Risk Products while preventing uptake of Reduced-Risk Product alternatives by non-smokers and youth	363,392	No	08/09/2021 11/22/2021	08/09/2021 12/17/2021	The total spending of \$363,392 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

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27	Farmers Union of Malawi	P.O. Box 30457 Lilongwe 3 Malawi	7/30/2019	250,000	Agriculture recovery project, including opportunity for crop diversification to prepare for a time when there is a reduced demand for leaf tobacco	250,033	No	2/11/2022	2/11/2022	The total spending of \$250,033 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
28	Foreign Policy Group	1750 Pennsylvania Ave NW Washington, DC 20006 United States	3/24/2020	68,250	Researching the role of women in leading change in the tobacco industry, and others, through the "Women as Levers of Change" project	68,250	No	1/12/2021	1/12/2021	The total spending of \$68,250 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
29	Foundation for Professional Development	PO Box 75324 Pretoria 0040 South Africa	3/9/2021	111,399	Research to determine the effects of tobacco use and smoking on long-term recovery from mild COVID-19 in South Africa. Disseminate results, including by publishing a manuscript(s) in a peer-reviewed scientific journal(s)	92,353	No	06/25/2021 09/30/2021 12/10/2021 03/29/2022	07/05/2021 10/06/2021 12/13/2021 04/04/2022	The total spending of \$92,353 as per report which is 83% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

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30	Healthy Initiatives	36 Shota Rustaveli, Apt 14, Kiev 01033 Ukraine	1/10/2020	217,954	Evaluate the status and the gaps in Tobacco Control policies in Ukraine, the Russian Federation and number of developing Former Soviet countries in East and Central Asia (ECA) region	217,248	No	2/4/2021	3/12/2021	The total spending of \$217,248 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
31	Healthy Initiatives	36 Shota Rustaveli, Apt 14, Kiev 01033 Ukraine	12/11/2020	401,745	Develop empirical evidence to help guide key stakeholders on the specific steps on ending smoking epidemic	280,586	No	05/03/2021 08/16/2021 11/12/2021 02/11/2022	05/06/2021 08/23/2021 11/20/2021 02/14/2022	The total spending of \$280,586 as per report which is 70% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
32	Institute for Human Development	Plot No. 84, Functional Industrial Estate (FIE) Delhi 110092 India	9/3/2020	27,002	Study legal protections impacting Beedi workers in India	27,002	No	11/5/2021	11/7/2021	The total spending of \$27,002 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

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33	International Network of Nicotine Consumer Organisations (INNCO)	Quai de l'Ile 13 Geneva Switzerland	1/15/2020	117,000	Support to INNCO to assist the organization in its work to garner consensus and support for COP9 to consider harm reduction as integral to tobacco control	110,740	No	04/05/2022	04/06/2022	The total spending of \$110,740 as per report which is 95% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
34	International Network of Nicotine Consumer Organisations (INNCO)	Quai de l'Ile 13 Geneva Switzerland	5/7/2020	659,698	General support to INNCO to assist the organization in its work as an advocate for tobacco harm reduction	493,761	No	04/13/2021 05/14/2021 09/01/2021 03/02/2022	04/21/2021 05/18/2021 09/05/2021 03/28/2022	The total spending of \$493,761 as per report which is 75% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
35	Just Managing Consulting	PO Box 3421, Stellenbosch 7602 South Africa	12/10/2020	117,000	Contribute to the development of a theory of change model for state-owned tobacco companies	117,000	No	04/01/2021 06/30/2021 10/02/2021 04/01/2022	04/05/2021 07/05/2021 10/07/2021 04/04/2022	The total spending of \$117,000 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

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36	Knowledge Action Change	8 Northumberland Avenue London, WC2N 5BY United Kingdom	2/12/2019	1,952,208	Increase research capacity to understand, develop, and implement the science and evidence base relevant to THR	1,899,409	No	03/26/2021 08/13/2021 09/29/2021 12/22/2021 03/31/2022	04/05/2021 08/23/2021 10/10/2021 01/15/2022 04/04/2022	The total spending of \$1,899,409 as per report which is 97% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
37	Knowledge Action Change	8 Northumberland Avenue London, WC2N 5BY United Kingdom	2/12/2019	2,154,502	Develop and disseminate Global State of Tobacco Harm Reduction report to increase awareness about national THR and cessation	1,984,555	No	03/26/2021 08/13/2021 09/29/2021 12/22/2021 03/31/2022	04/05/2021 08/23/2021 10/10/2021 01/15/2022 04/04/2022	The total spending of \$1,984,555 as per report which is 92% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
38	Land O'Lakes Venture37	1725 DeSales Street, NW, Washington, DC 20036 United States	12/17/2018	7,450,000	Establishment of a Center of Excellence for Agricultural Transformation in Malawi	6,939,962	No	02/23/2021 05/11/2021 07/30/2021 11/05/2021 02/01/2022	04/09/2021 05/13/2021 08/16/2021 11/15/2021 02/11/2022	The total spending of \$6,939,962 as per report which is 93% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

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39	Lumos Diagnostics Pty Ltd	2724 Loker Ave West Carlsbad, CA 92010 United States	2/12/2019	2,021,018	Development of a rapid point-of-care assay panel that can differentiate among the primary sources of nicotine to enable stronger correlations of nicotine sources with biomarkers of harm	2,021,018	No	01/19/2021 03/03/2021 05/26/2021 08/25/2021 10/28/2021	01/25/2021 03/12/2021 06/14/2021 08/26/2021 11/07/2021	The total spending of \$2,021,018 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
40	Lung Foundation	MediCity - D6 Building Nottingham NG90 6BH United Kingdom	6/12/2020	183,207	Investigate the nexus of COVID-19 and Nicotine use	183,207	No	05/17/2021 01/12/2022	05/18/2021 01/13/2022	The total spending of \$183,207 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
41	M Group, Inc.	936 Dead Run Drive McLean, VA 22101 United States	1/15/2021	134,298	Conduct an analysis of tobacco excise policy and opportunities for risk-proportionate reform in Indonesia	122,350	No	12/20/2021	01/27/2022	The total spending of \$122,350 as per report which is 91% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

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42	Metaform Ventures LLC	505 Cento Ct Pleasanton, CA 94566 United States	7/30/2019	1,288,036	Research regarding smokeless tobacco (SLT) products in India and development of standards for the chemical constituents of SLTs with a goal to ending smoking and minimizing harm caused by harmful and potentially harmful constituents of SLTs	1,288,036	No	12/12/2021	01/15/2021	The total spending of \$1,288,036 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
43	Oncimmune plc	Clinical Sciences Building Hucknall Road Nottingham NG5 1PB United Kingdom	03/19/2020	87,058	Establishing a center and building capacity for its sustainability for the purpose of research and evaluation of innovative smoking cessation and harm reduction strategies to help smokers quit or switch to reduced risk products	87,038	No	12/22/2020	04/02/2021	The total spending of \$87,038 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
44	Palladium Group Global	1331 Pennsylvania Avenue NW, Washington, DC 20004-1751 United States	6/3/2019	2,052,512	Develop and manage investment program with the aim of assisting smallholder farmers transition from tobacco crop and provide them with alternate livelihoods	1,575,474	No	04/30/2021 08/09/2021 11/01/2021	05/13/2021 08/30/2021 11/16/2021	The total spending of \$1,575,474 as per report which is 77% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

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45	Palladium International LLC	1331 Pennsylvania Avenue NW, Washington, DC 20004-1751 United States	5/10/2020	180,000	Support agricultural productivity and diversification through better management of natural resources to prepare Malawi for a time when there is less demand for leaf tobacco	148,086	No	01/30/2021 09/21/2021	04/13/2021 11/26/2021	The total spending of \$148,086 as per report which is 82% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
46	QBAL SMC PVT LTD	Office 7, 3rd Floor, Al-Ghaffar Mall, G-11 Markaz Islamabad 44000 Pakistan	3/16/2020	119,732	Building an economic argument for tobacco harm reduction as one route to cessation in Pakistan	119,732	No	03/15/2021	04/05/2021	The total spending of \$119,732 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
47	QBAL SMC PVT LTD	Office 7, 3rd Floor, Al-Ghaffar Mall, G-11 Markaz Islamabad 44000 Pakistan	1/15/2021	62,100	Provide and disseminate a comprehensive research study on tax and health policies in Pakistan, focusing on health benefits, and optimal tax policies for non-combustible nicotine products to help smokers quit and stem the sales of illicit harm reduction products	62,100	No	05/26/2021 10/10/2021	06/07/2021 10/25/2021	The total spending of \$62,100 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

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48	Rose Research Center, LLC	7240 ACC Blvd Raleigh, NC 27617 United States	2/12/2019	9,557,485	Operationalization of a Translational Research Center for the evaluation of innovative smoking cessation and harm reduction strategies to help smokers quit or switch to reduced risk products	8,167,701	No	03/31/2021 06/30/2021 10/01/2021 12/30/2021	04/05/2021 07/07/2021 10/10/2021 01/15/2022	The total spending of \$8,167,701 as per report which is 85% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
49	Rose Research Center, LLC	7240 ACC Blvd Raleigh, NC 27617 United States	12/10/2020	1,163,213	Examine the acceptability and outcome of a harm reduction approach to tobacco use for individuals with serious behavioral health disorders and determine the association with decrease in smoking	791,350	No	04/29/2021 08/02/2021 10/27/2021 01/21/2022	05/04/2021 08/10/2021 11/07/2021 01/28/2022	The total spending of \$791,350 as per report which is 68% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
50	Rotary Club of Lilongwe	District 9210 of Rotary International Platinum Hotel, City Center Lilongwe Malawi	02/13/2019	38,500	Sponsor scholarship opportunities for students to become educated about transforming the agricultural sector to help prepare for a time there is less demand for leaf tobacco in Malawi	38,500	No	08/16/2020 04/14/2022	11/30/2021 04/20/2022	The total spending of \$38,500 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

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51	SERMO INC	200 Park Avenue St. #1310 New York, NY 10003 United States	5/7/2021	2,014,374	Conduct global survey of physicians to develop actionable insights to drive smoking cessation and harm reduction success worldwide	685,165	No	07/12/2021 03/17/2022	09/12/2021 04/18/2022	The total spending of \$685,165 as per report which is 34% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
52	Strategic Impact Advisors	6511 Griffith Road Laytonsville, MD 20882 United States	7/30/2019	178,484	Educate smallholder tobacco farmers about financial services as part of the effort to prepare them for a time when there is less demand for leaf tobacco	178,463	No	02/26/2021 06/15/2021 09/12/2021	04/15/2021 11/17/2021 09/30/2021	The total spending of \$178,463 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
53	Thanthwe Holdings Limited	Chitipi 6/1/53 Lilongwe Malawi	7/30/2019	240,600	Contribute to the expansion of protected cultivation in Malawi and neighbouring countries	240,736	No	03/17/2021 05/11/2021 08/14/2021 12/11/2021 03/09/2022	03/25/2021 05/27/2021 08/27/2021 01/10/2022 03/10/2022	The total spending of \$240,736 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	GRANT DATE	GRANT AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
54	Yayasan Pena Bulu	Jl. Rawabambu I D No. 6 Pasar Minggu Jakarta 12520 Indonesia	5/7/2020	68,000	Scoping study to analyze the economic cost and health burden associated with tobacco morbidity and mortality with the goal of ending the Indonesian smoking epidemic	65,711	No	01/27/2021	02/01/2021	The total spending of \$65,711 as per report which is 97% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

OFFICER NAME:

ANGELA MARSHALL HOFMANN

ADDRESS:

420 LEXINGTON AVE., SUITE 300

NEW YORK, NY 10170

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 7.10

COMPENSATION ..... 50,000.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... NONE

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:

CORINNA LATHAN

ADDRESS:

420 LEXINGTON AVE., SUITE 300

NEW YORK, NY 10170

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 8.80

COMPENSATION ..... 68,750.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... NONE

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:  
DAVID JANAZZO

ADDRESS:  
420 LEXINGTON AVE., SUITE 300  
  
NEW YORK, NY 10170

TITLE:  
CHIEF FINANCIAL OFFICER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION ..... 381,900.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... 26,906.

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

COMPENSATION EXPLANATION:

THE INDIVIDUAL IDENTIFIED ABOVE WAS NOT COMPENSATED FOR HIS ROLE AS  
TREASURER. THE INDIVIDUAL INCURRED AN AVERAGE OF 40 HOURS A WEEK IN HIS  
ROLE AS CFO IN SUPPORT OF THE FOUNDATION'S EXEMPT PURPOSES, AND  
COMPENSATION REPORTED RELATES SOLELY TO HIS POSITION AS CFO.

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

DEREK YACH (UNTIL 10/22/2021)

ADDRESS:

420 LEXINGTON AVE., SUITE 300

NEW YORK, NY 10170

TITLE:

PRESIDENT/DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION:

40.00

COMPENSATION .....

773,602.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS .....

32,300.

EXPENSE ACCOUNT AND OTHER ALLOWANCES .....

NONE

COMPENSATION EXPLANATION:

THE INDIVIDUAL IDENTIFIED ABOVE WAS NOT COMPENSATED FOR HIS ROLE AS A DIRECTOR. THE INDIVIDUAL INCURRED AN AVERAGE OF 40 HOURS A WEEK IN HIS ROLE AS PRESIDENT IN SUPPORT OF THE FOUNDATION'S EXEMPT PURPOSES, AND COMPENSATION REPORTED RELATES SOLELY TO HIS POSITION AS PRESIDENT.

OFFICER NAME:

DYBORN CHIBONGA

ADDRESS:

420 LEXINGTON AVE., SUITE 300

NEW YORK, NY 10170

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION:

3.70

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

COMPENSATION ..... 68,750.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... NONE

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:  
HEIDI GOLDSTEIN

ADDRESS:  
420 LEXINGTON AVE., SUITE 300  
  
NEW YORK, NY 10170

TITLE:  
EXEC. VP/CHIEF LEGAL OFFICER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION ..... 413,118.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... 36,350.

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

COMPENSATION EXPLANATION:

THE INDIVIDUAL IDENTIFIED ABOVE WAS NOT COMPENSATED FOR HER ROLE AS SECRETARY. THE INDIVIDUAL INCURRED AN AVERAGE OF 40 HOURS A WEEK OF HER ROLE AS GENERAL COUNSEL IN SUPPORT OF THE FOUNDATION'S EXEMPT PURPOSES AND COMPENSATION REPORTED RELATES SOLELY TO HER POSITION AS GENERAL COUNSEL.

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

OFFICER NAME:

NORIKO TOJO (UNTIL 11/11/2021)

ADDRESS:

420 LEXINGTON AVE., SUITE 300

NEW YORK, NY 10170

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5.00

COMPENSATION ..... 50,000.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... NONE

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:

PAMELA PARIZEK

ADDRESS:

420 LEXINGTON AVE., SUITE 300

NEW YORK, NY 10170

TITLE:

CHAIR/DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 13.80

COMPENSATION ..... 106,250.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... NONE

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:  
PAUL GARDNER

ADDRESS:  
420 LEXINGTON AVE., SUITE 300  
  
NEW YORK, NY 10170

TITLE:  
DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5.70

COMPENSATION ..... 68,750.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... NONE

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:  
VANDANA ABRAMSON

ADDRESS:  
420 LEXINGTON AVE., SUITE 300  
  
NEW YORK, NY 10170

TITLE:  
DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1.00

COMPENSATION ..... 50,000.

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... NONE

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

TOTAL COMPENSATION: 2,031,120.  
=====

TOTAL CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS: 95,556.  
=====

EXPENSE ACCOUNT AND OTHER ALLOWANCES: NONE  
=====

990PF, PART VII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES  
=====EMPLOYEE NAME:  
EHSAN LATIFADDRESS:  
420 LEXINGTON AVE., SUITE 300  
NEW YORK, NY 10170TITLE:  
SVP, GRANTS MGMT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION ..... 279,366.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... 11,201.

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

EMPLOYEE NAME:  
NICOLE BRADLEYADDRESS:  
420 LEXINGTON AVE., SUITE 300  
NEW YORK, NY 10170TITLE:  
VP, COMMUNICATIONS

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION ..... 243,841.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... 18,544.

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

990PF, PART VII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES  
=====

EMPLOYEE NAME:  
SCOTT KLEINBERG

ADDRESS:  
420 LEXINGTON AVE., SUITE 300  
NEW YORK, NY 10170

TITLE:  
DIRECTOR, AGRICULT.

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION ..... 199,045.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... 8,348.

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

EMPLOYEE NAME:  
AYDA YUREKLI

ADDRESS:  
420 LEXINGTON AVE., SUITE 300  
NEW YORK, NY 10170

TITLE:  
DIRECTOR, ECONOMIST

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION ..... 187,675.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... 8,531.

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

990PF, PART VII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

=====

EMPLOYEE NAME:

AMY CURRY (UNTIL 10/29/2021)

ADDRESS:

420 LEXINGTON AVE., SUITE 300  
NEW YORK, NY 10170

TITLE:

CHIEF OF STAFF

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION ..... 184,592.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... 2,350.

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

TOTAL COMPENSATION: 1,094,519.  
=====

TOTAL CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS: 48,974.  
=====

EXPENSE ACCOUNT AND OTHER ALLOWANCES: NONE  
=====

990PF, PART VII-COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS  
=====

NAME:

SIGNALS ANALYTICS

ADDRESS:

1325 6TH AVE. 25TH FLOOR  
NEW YORK, NY 10019

TYPE OF SERVICE:

DATA PLATFORM DEV.

COMPENSATION ..... 1,527,278.

NAME:

FENG INSIGHT

ADDRESS:

7 LEWES CRESCENT  
BRIGHTON, , UK BN2 1FH

TYPE OF SERVICE:

CONSULTING SERVICES

COMPENSATION ..... 720,393.

NAME:

REVHEALTH LLC (UNTIL 01/2022)

ADDRESS:

55 BANK STREET  
MORRISTOWN, NJ 07960

TYPE OF SERVICE:

PROFESSIONAL SERVICE

COMPENSATION ..... 364,300.

990PF, PART VII-COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS  
=====

NAME:

SOLID DIGITAL, LLC

ADDRESS:

P.O. BOX 734323  
CHICAGO, IL 60673-4323

TYPE OF SERVICE:

WEBSITE DEVELOPMENT

COMPENSATION ..... 248,850.

NAME:

GLASSMAN ENTERPRISES, LLC(UNTIL 12/2021)

ADDRESS:

3150 SOUTH ST., NW. #2E  
WASHINGTON, DC 20007

TYPE OF SERVICE:

COMMISSION REPORT

COMPENSATION ..... 210,000.

TOTAL COMPENSATION: 3,070,821.  
=====

FORM 990PF, PART XIV - NAME, ADDRESS AND PHONE FOR APPLICATIONS  
=====

APPLICATION SHOULD BE ADDRESSED TO:  
URL:SMOKEFREEWORLD.ORG  
NEW YORK, NY 10170

990PF, PART XIV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS  
=====

SEE SPECIFIC RFP INFORMATION POSTED ON THE FOUNDATION'S WEBSITE

990PF, PART XIV - SUBMISSION DEADLINES  
=====

SEE SPECIFIC RFP INFORMATION POSTED ON THE FOUNDATION'S WEBSITE

990PF, PART XIV - RESTRICTIONS OR LIMITATIONS ON AWARDS

=====

THE FOUNDATION ISSUES REQUESTS FOR PROPOSALS (RFPS) TO ENLIST SUPPORT TO ACCELERATE THE GLOBAL DECLINE IN SMOKING. THE FOUNDATION RECOGNIZES THAT COUNTRIES AT DIFFERENT LEVELS OF ECONOMIC DEVELOPMENT WILL NEED DIFFERENT SOLUTIONS, AND THAT ADVANCES IN BEHAVIORAL SCIENCE AND TECHNOLOGY CAN PLAY A KEY ROLE IN HARM REDUCTION RELATIVE TO SMOKING. THE FOUNDATION PROVIDES GRANTS TO SUPPORT WORK THAT IS BEING CONDUCTED BY INDEPENDENT RESEARCH ORGANIZATIONS, ACADEMIC INSTITUTIONS AND CENTERS, AND ORGANIZATIONS IN THE PRIVATE SECTOR.

SEE SPECIFIC RFP INFORMATION POSTED ON THE FOUNDATION'S WEBSITE:  
[HTTPS://WWW.SMOKEFREEWORLD.ORG/CENTERS-GRANTS/REQUESTS-PROPOSALS/](https://www.smokefreeworld.org/centers-grants/requests-proposals/)

2021 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.  
 FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838  
 ATTACHMENT 27

	RECIPIENT NAME	RECIPIENT ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
1	Agenome LLC	Yolee Grande, 14, 2nd Floor, Pottery Road, Richards Town, Bangalore, 06107, India	N/A	NC	Assess cancer risk of smokers through DNA and RNA research and creation of genome database with an aim to encourage smokers to quit or transition to reduced risk products	67,000
2	Alternative Research Initiative	Office # 31, 1st Floor, Al-Anayat Mall, Islamabad, Pakistan	N/A	NC	Garner consensus and support for COP9 to consider harm reduction as integral to tobacco control	193,760
3	Anaytisch- Biologisches Forschungslabor Gmbh	Semmelweisstrasse 5, Planegg, 82152, Germany	N/A	NC	Identification of biomarkers and / or patterns of biomarkers that properly distinguish among nicotine product use groups for the development of a prototype diagnostic tool to be used in interventions to help smokers quit or switch to a reduced risk product	146,078
4	ASCRA Consulting Inc.	Purok 6, Ligao City, Albay, Philippines	N/A	NC	Conduct and disseminate research focused on policies around cessation and tobacco harm reduction in the Philippines. Develop a network of stakeholders including tobacco harm reduction advocates, medical professionals, and others	30,000
5	Asia Public History Foundation Inc	26 Everett St, Cambridge, MA, 02138, United States	N/A	PC	Study of tobacco sector in India	5,959
6	Asociación Argentina de Servicios y Estudios Médicos de Avanzada	BEIRO FRANCISCO AV. 3360 PISO, BUENOS AIRES, Argentina	N/A	NC	Garner consensus and support for COP9 to consider harm reduction as integral to tobacco control	11,699

2021 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.  
 FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838  
 ATTACHMENT 27

	RECIPIENT NAME	RECIPIENT ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
7	Behavioral Diagnostics, LLC	2500 Crosspark Road, Coralville, IA, 52241, USA	N/A	NC	Development of a kit for the detection and quantification of tobacco and other nicotine product use	65,216
8	Biochromex	27 Cambridge Park, Wanstead London, E11 2PU, United Kingdom	N/A	NC	Development of a relative risk hierarchy of nicotine products	1,165
9	BOTEC Analysis, LLC	6303 Owensmouth Ave, 10th Floor, CA, 91367-2264, USA	N/A	NC	Conduct an investigation and analysis and develop recommendations regarding the (i) literature addressing the relationship between COVID-19 and tobacco and nicotine products; (ii) the impact of various tobacco/nicotine-related COVID restrictions around the world on smoking and alternative nicotine delivery system (ANDS) use	179,355
10	BOTEC Analysis, LLC	6303 Owensmouth Ave, 10th Floor, CA, 91367-2264, USA	N/A	NC	Further develop the drivers of smoking cessation in five countries with legalized alternative nicotine delivery systems (ANDS) through a series of additional journal articles, econometric studies, and a full-length book on optimal nicotine regulation	221,994
11	Cambridge Design Partnership Ltd	The Long Barn, Church Road, Cambridge, CB23 2RF, United Kingdom	N/A	NC	Disseminate findings of scoping work related to key impediments to mass adoption of RRP in low- and middle- income countries	222,745

2021 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.

82-2800838

FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 27

	RECIPIENT NAME	RECIPIENT ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
12	Centre for Health Research and Education Limited	16 Guildford Drive, Chandlers Ford, Eastleigh, Hampshire, SO53 3PT, United Kingdom	N/A	NC	Capacity building to reduce tobacco-related death and disease in India	1,527,783
13	Centre of Research Excellence on Indigenous Sovereignty & Smoking Limited	109 Carlisle Road, Torbay, Auckland, 0632, New Zealand	N/A	NC	Establishing a global Centre of Excellence (CoE) for research on smoking cessation and harm reduction in indigenous people and marginalized communities	410,104
14	Conrad Foundation	1120 NASA Parkway Suite 108, Houston, TX, 77058	N/A	PC	Sponsor scholarships for Conrad to provide to students in special innovator category relating to ending smoking in this generation	181,000
15	Cornell University	Office of Sponsored Programs, 373 Pine Tree Road, Ithaca, NY, 14850-2820	N/A	PC	Develop empirical evidence to help provide education around public policies to end smoking in this generation	1,049,327
16	Cornell University	Office of Sponsored Programs, 373 Pine Tree Road, Ithaca, NY, 14850-2820	N/A	PC	Econometric study of the role risk perceptions play in consumer demand and markets for THRPs	51,916
17	ECLAT srl	University of Catania, Torre Biologica, Catania, Sicily, Italy	N/A	NC	Establishment of a Center of Excellence for the Acceleration of Harm Reduction	988,342
18	ECLAT srl	University of Catania, Torre Biologica, Catania, Sicily, Italy	N/A	NC	Develop guidelines for quality tobacco / nicotine product research	18,268

2021 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.  
 FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838  
 ATTACHMENT 27

	RECIPIENT NAME	RECIPIENT ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
19	ECLAT srl	University of Catania, Torre Biologica, Catania, Sicily, Italy	N/A	NC	Bridge the gaps and build accountability in media communications around tobacco harm reduction science	76,955
20	Economic Policy Research Foundation of Turkey, The (TEPAV)	Söğütözü, Söğütözü Cd. No:43, Abkara, 06510, Turkey	N/A	NC	Research and disseminate findings relating to the policies and economics around ending smoking in Turkey	229,339
21	Euromonitor International Inc	60-61 Britton Street, London, EC1M 5UX, United Kingdom	N/A	NC	Tobacco Transformation Index process design, research and analysis, and reporting	1,919,997
22	Euromonitor International Inc	60-61 Britton Street, London, EC1M 5UX, United Kingdom	N/A	NC	Conduct the Tobacco Marketing Study in support of the Tobacco Transformation Index to establish a framework for tobacco companies' marketing practices to support transition away from High-Risk Products while preventing uptake of Reduced-Risk Product alternatives by non-smokers and youth	363,392
23	Foundation for Professional Development	PO Box 75324, Pretoria, 0040, South Africa	N/A	NC	Research to determine the effects of tobacco use and smoking on long-term recovery from mild COVID-19 in South Africa. Disseminate results, including by publishing a manuscript(s) in a peer-reviewed scientific journal(s)	70,350

2021 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.  
 FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838  
 ATTACHMENT 27

	RECIPIENT NAME	RECIPIENT ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
24	Healthy Initiatives	36 Shota Rustaveli, Apt 14, Kiev, 01033, Ukraine	N/A	NC	Develop empirical evidence to help guide key stakeholders on the specific steps on ending smoking epidemic	226,937
25	Influence Foundation, Inc.	2 Lefurgy Terrace, Hasting on Husdon, 10706, USA	N/A	PC	Expand the capacity of Filter Magazine to disseminate informative and evidence-based information about tobacco harm reduction and related issues	167,709
26	Institute for Human Development	Plot No. 84, Functional Industrial Estate (FIE), Patgarganj, Delhi, 110092, India	N/A	NC	Study legal protections impacting Beedi workers in India	13,500
27	Institute of International Education, Inc.	One World Trade Center, 36th Floor, New York, NY, 10007	N/A	PC	Sponsor tertiary scholarship opportunities for IIE to provide for students in Malawi to prepare for a time when there is less demand for tobacco leaf	316,435
28	International Network of Nicotine Consumer Organisations	Quai de l'Ile 13, Geneva, CH- 1204, Switzerland	N/A	NC	General support to INNCO to assist the organization in its work as an advocate for tobacco harm reduction	401,555
29	International Network of Nicotine Consumer Organisations	Quai de l'Ile 13, Geneva, CH- 1204, Switzerland	N/A	NC	Support to INNCO to assist the organization in its work to garner consensus and support for COP9 to consider harm reduction as integral to tobacco control	52,000
30	Just Managing Consulting	P.O. Box 3421, Matieland, Stellenbosc, 7602, South Africa	N/A	NC	Contribute to the development of a theory of change model for state- owned tobacco companies	68,200

2021 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.  
FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838  
ATTACHMENT 27

	RECIPIENT NAME	RECIPIENT ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
31	Knowledge Action Change	8 Northumberland Avenue, London, AZ, WC2N 5BY, United Kingdom	N/A	NC	Increase research capacity to understand, develop, and implement the science and evidence base relevant to THR	782,312
32	Knowledge Action Change	8 Northumberland Avenue, London, AZ, WC2N 5BY, United Kingdom	N/A	NC	Develop and disseminate Global State of Tobacco Harm Reduction report to increase awareness about national THR and cessation	1,055,570
33	Land O'Lakes Venture37	1725 DeSales Street, NW, Suite 800, Washington DC, 20036, USA	N/A	NC	Establishment of a Center of Excellence for Agricultural Transformation in Malawi	3,786,124
34	Lumos Diagnostics Pty Ltd	2724 Loker Ave West, Carlsbad, CA, 92010, USA	N/A	NC	Development of a rapid point-of-care assay panel that can differentiate among the primary sources of nicotine to enable stronger correlations of nicotine sources with biomarkers of harm	283,853
35	M Group, Inc.	936 Dead Run Drive, Mclean, VA, 22101, USA	N/A	NC	Conduct an analysis of tobacco excise policy and opportunities for risk-proportionate reform in Indonesia	74,610
36	Michigan State University (MSU)	427 North Shaw Lane Rm 100, East Lansing, MI, 48824, USA	N/A	GOV	Capacity building for acceleration of agricultural transformation to prepare Malawi for a time when there is less demand for leaf tobacco	2,950,000
37	Movimiento Pro Vecino	Milwaukee 42, Col. Nápoles, Mexico City, CP.03810, Mexico	N/A	PC	Promote multi-sectoral engagement and awareness in Mexico, and Latin American region	60,000

2021 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.  
 FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838  
 ATTACHMENT 27

	RECIPIENT NAME	RECIPIENT ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
38	Opportunity International, Inc.	550 W Van Buren, Suite 200, Chicago, IL, 60607, USA	N/A	PC	Develop innovative financial products to help foster agricultural transformation in Malawi	63,139
39	QBAL SMC PVT LTD	Office 7, 3rd Floor, Al-Ghaffar Mall, Islamabad, 44000, Pakistan	N/A	NC	Provide and disseminate a comprehensive research study on tax and health policies in Pakistan, focusing on health benefits, and optimal tax policies for non-combustible nicotine products to help smokers quit and stem the sales of illicit harm reduction products	62,100
40	Rose Research Center, LLC	7240 ACC Blvd, Raleigh, NC, 27617, USA	N/A	NC	Operationalization of a Translational Research Center for the evaluation of innovative smoking cessation and harm reduction strategies to help smokers quit or switch to reduced risk products	3,605,564
41	Rose Research Center, LLC	7240 ACC Blvd, Raleigh, NC, 27617, USA	N/A	NC	Examine the acceptability and outcome of a harm reduction approach to tobacco use for individuals with serious behavioral health disorders and determine the association with decrease in smoking	945,294
42	Rotary Club of Lilongwe	District 9210 of Rotary International Platinum Hotel, City Center, Lilongwe, Malawi	N/A	NC	Sponsor the travel of Malawian graduate students to study at Tel Aviv University's Nitsin Lab so they may gain skills and education around smallholder innovation and return to Malawi and contribute to agricultural diversification	17,450

2021 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.  
 FORM 990-PF, PART XIV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

82-2800838  
 ATTACHMENT 27

	RECIPIENT NAME	RECIPIENT ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
43	SERMO INC	200 Park Avenue St. #1310, New York, NY, 10003, USA	N/A	NC	Conduct global survey of physicians to develop actionable insights to drive smoking cessation and harm reduction success worldwide	2,014,374
44	Strategic Impact Advisors	6511 Griffith Road, Laytonsville, MD, 20882, USA	N/A	NC	Educate smallholder tobacco farmers about financial services as part of the effort to prepare them for a time when there is less demand for leaf tobacco	17,848
45	Synergeia Foundation, Inc	Units E-F, 131 V. Luna Extension corner Maginhawa Street, Quezon City, 1101, Philippines	N/A	PC	Assess results of the tobacco cessation program of government in Philippines relative to its objectives and conduct an exploratory study of the market and policy environment for non-tobacco products	42,500
46	Yale University	Laboratory of Epidemiology and Public Health, New Haven, CT 06511, USA	N/A	PC	Explore the relationship between COVID-19 and discourses around tobacco products, including e- cigarettes, acknowledging that information about tobacco use (and harm reduction products) during the pandemic comes from varying sources	40,971
47	Yale University	Laboratory of Epidemiology and Public Health, New Haven, CT 06511, USA	N/A	PC	Conduct research on misinformation on social media on tobacco harm reduction and COVID-19 and interventions to correct misinformation about tobacco harm reduction	51,350
48	Yale University	Laboratory of Epidemiology and Public Health, New Haven, CT 06511, USA	N/A	PC	Conduct cohort study and perform real-world data analysis to inform development of online interventions aimed at stimulating engagement for smokers unable to quit	178,413

TOTAL CONTRIBUTIONS PAID

25,309,552

2021 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.  
 FORM 990-PF, PART XIV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

82-2800838  
 ATTACHMENT 28

	RECIPIENT NAME	RECIPIENT ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
1	ASCRA Consulting Inc.	Purok 6, Ligao City, Albay, Philippines	N/A	NC	Conduct and disseminate research focused on policies around cessation and tobacco harm reduction in the Philippines. Develop a network of stakeholders including tobacco harm reduction advocates, medical professionals, and others	69,999
2	BOTEC Analysis, LLC	6303 Owens mouth Ave, 10th Floor, Woodlands Hills, CA, 91367-2264, USA	N/A	NC	Further develop the drivers of smoking cessation in five countries with legalized alternative nicotine delivery systems (ANDS) through a series of additional journal articles, econometric studies, and a full-length book on optimal nicotine regulation	943,583
3	Cambridge Design Partnership Ltd	The Long Barn, Church Road, Cambridge, CB23 2RF, United Kingdom	N/A	NC	Disseminate findings of scoping work related to key impediments to mass adoption of RRP's in low- and middle- income countries	24,748
4	Euromonitor International Inc	60-61 Britton Street, London, EC1M 5UX, United Kingdom	N/A	NC	Conduct the Tobacco Marketing Study in support of the Tobacco Transformation Index to establish a framework for tobacco companies' marketing practices to support transition away from High-Risk Products while preventing uptake of Reduced-Risk Product alternatives by non-smokers and youth	155,740

2021 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.  
 FORM 990-PF, PART XIV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

82-2800838  
 ATTACHMENT 28

	RECIPIENT NAME	RECIPIENT ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
5	Foundation for Professional Development	PO Box 75324, Pretoria, 0040, South Africa	N/A	NC	Research to determine the effects of tobacco use and smoking on long-term recovery from mild COVID-19 in South Africa. Disseminate results, including by publishing a manuscript(s) in a peer-reviewed scientific journal(s)	164,193
6	Lilongwe University of Agriculture and Natural Resources	P.O. Box, 219, Lilongwe, 219, Malawi	N/A	NC	Establish a physical building for the Centre for Agricultural Transformation (CAT) on the LUANAR Campus to serve as a hub of activity for the work in Malawi to help smallholder farmers prepare for a time when there is less demand for leaf tobacco	2,550,000
7	M Group, Inc.	936 Dead Run Drive, McLean, VA, 22101, United States	N/A	NC	Conduct an analysis of tobacco excise policy and opportunities for risk-proportionate reform in Indonesia	74,611
8	Michigan State University (MSU)	427 North Shaw Lane Rm 100, East Lansing, MI, 48824, United States	N/A	GOV	Continue grantee's work to create and foster an enabling policy environment for economic and agricultural transformation (the "MwAPATA Institute") in Malawi	1,350,000

2021 FORM 990-PF FOUNDATION FOR A SMOKE-FREE WORLD, INC.  
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	RECIPIENT NAME	RECIPIENT ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
9	Movimiento Pro Vecino	Milwaukee 42, Col. Nápoles, Mexico City, CP. 03810, México	N/A	PC	Promote multi-sectoral engagement and awareness in Mexico, and Latin American region	69,999
10	SERMO INC	200 Park Avenue St. #1310, New York, NY, 10003, United States	N/A	NC	Conduct global survey of physicians to develop actionable insights to drive smoking cessation and harm reduction success worldwide	511,241
11	Tel Aviv University	55 Chaim Levanon Street, Tel Aviv, 69978701, Israel	N/A	PC	Sponsor scholarships for Malawian students to attend a one-year program at the Nitsin Lab to gain skills and education around smallholder innovation at Tel Aviv University so these students may return to Malawi and contribute to agricultural diversification	112,360
12	Yale University	Laboratory of Epidemiology and Public Health, New Haven, CT, 06511, United States	N/A	PC	Conduct research on misinformation on social media on tobacco harm reduction and COVID-19 and interventions to correct misinformation about tobacco harm reduction	119,813

	RECIPIENT NAME	RECIPIENT ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
13	Yale University	Laboratory of Epidemiology and Public Health, New Haven, CT, 06511, United States	N/A	PC	Conduct cohort study and perform real-world data analysis to inform development of online interventions aimed at stimulating engagement for smokers unable to quit	416,297

FORM 990-PF, PART XV-A - ANALYSIS OF OTHER REVENUE  
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DESCRIPTION -----	BUSINESS CODE ----	AMOUNT -----	EXCLUSION CODE ----	AMOUNT -----	RELATED OR EXEMPT FUNCTION INCOME -----
RETURN OF PRIOR YEAR DISTRIBUTIONS			01	324,894.	
RETURN OF PRIOR YEAR TAXES			01	3,268.	
		-----		-----	-----
TOTALS				328,162.	
		=====		=====	=====

FORM 990PF, PARTS I, LINE 19 - DEPRECIATION

ATTACHMENT 30

ASSET DESCRIPTION	Purchase Amount	Depreciation Expense	Accumulated Depreciation	2021 Ending Book Value
EQUIP. & FURNITURE	434,463	42,941	105,875	328,588
SOFTWARE PLATFORM	2,430,609	818,799	1,737,203	693,406
TOTAL	2,865,072	861,740	1,843,078	1,021,994

**990PF, PART VIII-A - SUMMARY OF DIRECT CHARITABLE ACTIVITIES**

**ATTACHMENT 31**

**THE FOUNDATION FOR A SMOKE-FREE WORLD** ("FOUNDATION") WAS CREATED IN 2017 FOR THE PURPOSE OF IMPROVING GLOBAL HEALTH BY ENDING SMOKING IN THIS GENERATION.

DURING ITS FOURTH FULL YEAR OF OPERATION, 2021, THE FOUNDATION BUILT ON ITS ACHIEVEMENTS AND CONTINUED PROGRESS TOWARD REACHING AND SUSTAINING THIS GOAL.

ARTICLE THIRD OF THE FOUNDATION'S CERTIFICATE OF INCORPORATION PROVIDES THAT FOUNDATION SHALL, IN FURTHERANCE OF ITS CHARITABLE PURPOSE, USE ASSETS RECEIVED AS CHARITABLE GIFTS, OR INCOME THEREFROM, TO WORK IN SEVEN BROAD CATEGORIES (REFERRED TO AS "SMOKE-FREE PURPOSES") RELATING TO CREATING A SMOKE-FREE WORLD. TO DATE, THE FOUNDATION HAS ORGANIZED ITS ACTIVITIES AROUND SIX OF THE SEVEN SMOKE-FREE PURPOSES: HEALTH AND SCIENCE RESEARCH, WHICH ADDRESSES SMOKE-FREE PURPOSES (I), (II), (III), AND (VII); AGRICULTURAL DIVERSIFICATION, WHICH ADDRESSES SMOKE-FREE PURPOSE (V); INDUSTRY TRANSFORMATION, WHICH ADDRESSES SMOKE-FREE PURPOSE (IV); AND SMOKE-FREE PURPOSE (VI), RELATED TO ENVIRONMENTAL ISSUES, WILL BE ADDRESSED AT A LATER DATE. AS THE FOUNDATION WORKS TOWARD ACHIEVING ITS MISSION, IT IS GUIDED BY THESE FUNDAMENTAL VALUES: COLLABORATION; DIVERSITY, EQUITY, AND INCLUSION; EXCELLENCE; INNOVATION; INTEGRITY; TRANSPARENCY; AND URGENCY.

THE FOUNDATION FUNDS RESEARCH AND ENGAGES IN DIRECT CHARITABLE ACTIVITIES THAT ARE NON-DUPLICATIVE AND NOVEL, FOCUSING ON SCIENTIFIC AND REGULATORY GAPS, IN FURTHERANCE OF THE PURPOSES FOR WHICH IT WAS FORMED. ITS EFFORTS GENERALLY FALL INTO THREE MAIN SUBJECT AREAS:

- **HEALTH AND SCIENCE RESEARCH:** THROUGH ITS GRANT MAKING AND RELATED ACTIVITIES, THE FOUNDATION SEEKS TO REDUCE BARRIERS TO QUITTING AND/OR SWITCHING FROM COMBUSTIBLES AND OTHER TOXIC TOBACCO PRODUCTS AND STRENGTHEN UNDERSTANDING, KNOWLEDGE, AND RESEARCH CAPACITY IN THE AREA OF TOBACCO HARM REDUCTION (THR) AND ITS ROLE TO END SMOKING. THE FOUNDATION FOCUSES ON BUILDING CAPACITY FOR RESEARCH IN LOW- AND MIDDLE-INCOME COUNTRIES, WHERE MOST SMOKERS LIVE AND WHERE FUNDING FOR TOBACCO CONTROL RESEARCH REMAINS LACKING. ACCOMPLISHMENTS IN 2021 INCLUDED: REPLICATION OF STUDIES ON EFFECTS OF CIGARETTE SMOKE AND ELECTRONIC NICOTINE DELIVERY SYSTEMS AEROSOL IN AN INDEPENDENT MULTI-CENTER STUDY, EVALUATION OF STRATEGY TO ASSIST SMOKERS TO SWITCH FROM COMBUSTIBLE CIGARETTES TO ELECTRONIC NICOTINE DELIVERY SYSTEMS, STUDY OF DIFFERENTIATION BY SINGLE OR COMBINATIONS OF BIOMARKERS UPON USE OF VARIOUS TOBACCO/NICOTINE PRODUCTS, DEVELOPMENT OF A GLOBAL SURVEY OF PHYSICIANS TO GAIN INSIGHTS ON DRIVING SMOKING CESSATION AND TOBACCO HARM REDUCTION WORLDWIDE, CONTINUATION OF THE GLOBAL STATE OF TOBACCO HARM REDUCTION REPORT SERIES, ADVANCEMENT OF THE TOBACCO HARM REDUCTION SCHOLARSHIP PROGRAM TO INCREASE RESEARCH CAPACITY IN LOW- AND

MIDDLE-INCOME COUNTRIES, EXPANSION OF GLOBAL AND REGIONAL PARTNER NETWORKS, AND MORE.

• **AGRICULTURAL DIVERSIFICATION:** THROUGH ITS GRANT MAKING AND RELATED ACTIVITIES, THE FOUNDATION SUPPORTS THE DEVELOPMENT OF A DIVERSIFIED AGRICULTURAL ECOSYSTEM IN MALAWI TO LEAD SMALLHOLDER FARMERS TOWARD ALTERNATIVE LIVELIHOODS, IN PREPARING FOR A FUTURE OF REDUCED TOBACCO DEMAND. TO ACHIEVE ITS MISSION, THE FOUNDATION IS PARTNERING WITH THE PRIVATE SECTOR, DEVELOPMENT PARTNERS, SMALLHOLDER FARMER ORGANIZATIONS, GOVERNMENT, LEADING NATIONAL AND INTERNATIONAL AGRICULTURAL RESEARCH GROUPS, AND FINANCIAL STAKEHOLDERS. ACCOMPLISHMENTS IN 2021 INCLUDED: DEVELOPMENT OF INNOVATIVE PROGRAMS RELATED TO BUSINESS INCUBATION AND COMMERCIALIZATION, ESTABLISHMENT OF LOCAL DEMONSTRATION FIELDS AND SMART FARM MODELS, AND DATA LAB THROUGH THE CENTRE FOR AGRICULTURAL TRANSFORMATION, ADVANCEMENT OF AN INDEPENDENT POLICY THINK TANK IN MALAWI TO CONDUCT RESEARCH AND CONVENE STAKEHOLDERS FOCUSING ON POLICY DEVELOPMENT AND CAPACITY BUILDING, AND A SCHOLARSHIP PROGRAM FOR MALAWIAN STUDENTS IN AGRICULTURE-RELATED FIELDS AT LEADING INSTITUTIONS IN THE UNITED STATES, SOUTH AFRICA, AND ISRAEL.

• **INDUSTRY TRANSFORMATION:** THE FOUNDATION'S INDUSTRY TRANSFORMATION EFFORTS DRIVE THE TRANSFORMATION OF TOBACCO COMPANIES FOR THE BENEFIT OF PUBLIC HEALTH. THE TOBACCO TRANSFORMATION INDEX, WHICH IS THE FIRST ACTION OF THE FOUNDATION'S INDUSTRY TRANSFORMATION INITIATIVE, PROVIDES OBJECTIVE DATA ON WHETHER COMPANIES ARE TRANSFORMING THEIR CORE BUSINESS MODELS TO ACCELERATE THE REDUCTION OF HARM CAUSED BY TOBACCO USE. THE INDEX RANKS THE WORLD'S 15 LARGEST TOBACCO COMPANIES ON THEIR RELATIVE PROGRESS TOWARD HARM REDUCTION. FOLLOWING THE LAUNCH OF THE FIRST TOBACCO TRANSFORMATION INDEX IN 2020, ACCOMPLISHMENTS IN 2021 INCLUDED: CONTINUATION OF STAKEHOLDER DIALOGUES, RELEASE OF PATENT LANDSCAPE AND GLOBAL TRENDS IN NICOTINE REPORTS, ENHANCEMENT OF INDEX WEBSITE TO MAXIMIZE OPPORTUNITIES FOR DISSEMINATION, AND PLANNING AND PREPARATION FOR PRODUCTION OF THE SECOND TOBACCO TRANSFORMATION INDEX IN 2022.

THE FOUNDATION HAS COMMITTED TO MORE THAN \$135 MILLION IN CONDITIONAL GRANTS THROUGH 2024 AND WILL CONTINUE TO ADD TO THOSE COMMITMENTS OVER TIME. DURING 2021, THE FOUNDATION DISTRIBUTED GRANT PAYMENTS TOTALING \$25.3 MILLION IN SUPPORT OF ITS MISSION AND PURPOSES. FOR ADDITIONAL INFORMATION ABOUT THE FOUNDATION'S CHARITABLE ACCOMPLISHMENTS IN 2021, PLEASE REVIEW ITS ANNUAL REPORT, WHICH CAN BE FOUND AT [HTTPS://WWW.SMOKEFREEWORLD.ORG/ANNUAL-REPORTS](https://www.smokefreeworld.org/annual-reports).

GRANTS AND OTHER EXPENSES CAN BE ATTRIBUTED TO EACH OF THE THREE MAIN AREAS OF THE FOUNDATION'S CHARITABLE WORK AS FOLLOWS:

- **HEALTH AND SCIENCE RESEARCH:** (EXPENSES OF \$18,944,309, INCLUDING GRANT EXPENDITURES OF \$12,827,706).
- **AGRICULTURAL DIVERSIFICATION:** (EXPENSES OF \$8,563,973, INCLUDING GRANT EXPENDITURES OF \$7,150,996)

• **INDUSTRY TRANSFORMATION: (EXPENSES OF \$3,690,095, INCLUDING GRANT EXPENDITURES OF \$2,283,389)**

IN CONCERT WITH ITS GRANT MAKING AND RELATED WORK IN THE THREE BROAD CATEGORIES NOTED ABOVE, IN 2021 THE FOUNDATION ALSO SUPPORTED EDUCATION AND AWARENESS RELATED INITIATIVES IN FURTHERANCE OF ITS CHARITABLE MISSION TO END SMOKING. THESE ACTIVITIES AIMED TO ASSESS ECONOMIC FACTORS AND POLICIES THAT REFLECT THE RELATIVE RISK OF TOBACCO AND NICOTINE PRODUCTS. ACCOMPLISHMENTS IN 2021 INCLUDED: PUBLICATION OF THE COMMISSION REPORT: REIGNITE THE FIGHT AGAINST SMOKING, WHICH EVALUATED THE GLOBAL SMOKING EPIDEMIC AND RECOMMENDED A SET OF ACTIONS TO ACCELERATE AN END TO SMOKING AND OTHER TOXIC TOBACCO USE; DELIVERY OF THE ECONOMICS OF ENDING SMOKING WEBINARS, WHICH RAISED AWARENESS FOR MORE THAN 300 STAKEHOLDERS THAT PARTICIPATED GLOBALLY; INITIATION OF ECONOMIC STUDY OF RISK PERCEPTIONS AND CONSUMER DEMAND FOR HARM REDUCTION PRODUCTS. **(EXPENSES OF \$4,302,916, INCLUDING GRANT EXPENDITURES OF \$2,722,567).**

CHARITABLE ACTIVITIES IN THE AREAS OF WORK LISTED ABOVE TOTALED \$35.5 MILLION IN 2021.

ONE OF THE GAPS IN TOBACCO CONTROL THAT THE FOUNDATION ADDRESSES IS IMPROVING PUBLIC AWARENESS OF THE DRIVERS OF SMOKING HARM AND THE AVAILABILITY OF ALTERNATIVES. THE FOUNDATION'S GOAL IN LEVERAGING PUBLIC RELATIONS SUPPORT IS TO EDUCATE THE PUBLIC ABOUT THE NEED FOR COLLABORATION, INNOVATION, AND TOOLS THAT CAN HELP SMOKERS QUIT OR REDUCE THEIR RISK.

IN 2021, THE FOUNDATION'S PUBLIC RELATIONS EFFORTS SUPPORTED THE DISSEMINATION OF THE RESEARCH RESULTS FROM ITS HEALTH AND SCIENCE RESEARCH PARTNERS. THE FOUNDATION USED ITS DIGITAL CHANNELS TO DRIVE AWARENESS OF KEY GLOBAL STATISTICS AND REPORTS ON SMOKING BEHAVIORS, HARM REDUCTION, AND SMOKING CESSATION. PUBLIC RELATIONS AND COMMUNICATIONS ON SOCIAL CHANNELS RAISED AWARENESS OF THE AGRICULTURAL DIVERSIFICATION WORK IN MALAWI AND THE ISSUES FACING SMALLHOLDER TOBACCO FARMERS. COMMUNICATIONS EFFORTS IN 2021 RELATED TO INDUSTRY TRANSFORMATION CENTERED AROUND UPGRADING THE TOBACCO TRANSFORMATION INDEX WEBSITE TO INCLUDE NEW FEATURES AND FUNCTIONS BETTER ENABLING USERS TO ACCESS KEY INFORMATION.

COMMUNICATIONS EXPENSES ARE ESTIMATED ACROSS THE FOUNDATION'S CHARITABLE WORK AS FOLLOWS: HEALTH AND SCIENCE RESEARCH: 70%; AGRICULTURAL DIVERSIFICATION, 5%; INDUSTRY TRANSFORMATION, 15%; GENERAL EDUCATION & AWARENESS, 10%. **(EXPENSES OF \$1,354,044)**

**EXAMPLES OF THE FOUNDATION'S DIRECT CHARITABLE ACTIVITIES FOR 2021 INCLUDE:**

NICOTINE ECOSYSTEM DATA PLATFORM. CUSTOMIZED, DATA DRIVEN INTELLIGENCE PLATFORM DEVELOPED FOR THE FOUNDATION TO IDENTIFY, INVESTIGATE, AND TRACK SIGNALS, TRENDS, ASSETS, AND OPPORTUNITIES IN THE AREAS OF NICOTINE, SMOKING CESSATION, AND HARM REDUCTION. THE PLATFORM IS UTILIZED BY THE FOUNDATION TO INFORM ITS RESEARCH AGENDA ACROSS A RANGE OF APPLICABLE TOPICS BASED ON THE DATA COLLECTED, ORGANIZED, AND PRESENTED TO THE END

USER. A SECOND PHASE OF THE PROGRAM ESTABLISHED AN EARLY WARNING SYSTEM TO ALERT, CORRELATE, AND ANALYZE SYMPTOMS AND ADVERSE EVENTS RELATED TO VAPING. **(EXPENSES: \$1,527,278)**

CONDUCT RESEARCH AND PRODUCE REPORTS. THE FOUNDATION CONTRACTED WITH SEVERAL ORGANIZATIONS TO CONDUCT AND DOCUMENT RESEARCH IN SUPPORT OF THE FOUNDATION'S CHARITABLE PURPOSES, INCLUDING COMMISSION REPORT, PATENT LANDSCAPE REPORT, MULTIPLE COUNTRY REPORTS, AND ECONOMICS OF ENDING SMOKING REPORTS. **(EXPENSES: \$967,475)**

PUBLICATION AND DISSEMINATION OF RESEARCH, REPORTS, AND INFORMATIONAL MATERIAL. THE FOUNDATION EMPLOYED ORGANIZATIONS TO DESIGN, DEVELOP, AND TRANSLATE ITS DISSEMINATION PLATFORMS, INCLUDING WEBSITES ASSOCIATED WITH THE FOUNDATION FOR A SMOKE-FREE WORLD, COMMISSION REPORT, AND TOBACCO TRANSFORMATION INDEX. VIDEO AND INFOGRAPHICS WITH KEY DATA WERE PRODUCED AND SHARED ON SOCIAL MEDIA CHANNELS. **(EXPENSES: \$486,929)**

RESEARCH PARTNER MEETINGS. THE FOUNDATION HOSTED MEETINGS FOR ITS RESEARCH PARTNERS FOR THE PURPOSE OF INFORMATION SHARING AND COLLABORATION. THE MEETINGS WERE ORGANIZED AROUND HEALTH AND SCIENCE RESEARCH AND THE DUBROVNIK CONSULTATION ON THE ECONOMICS OF ENDING SMOKING. **(EXPENSES: \$240,462)**