

Form **990-PF**

Return of Private Foundation

OMB No. 1545-0047

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

2019

Open to Public Inspection

For calendar year **2019** or tax year beginning , **2019**, and ending , **20**

Name of foundation FOUNDATION FOR A SMOKE-FREE WORLD, INC.		A Employer identification number 82-2800838
Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions)
575 5TH AVENUE	14TH FL	(929) 446-0688
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		C If exemption application is pending, check here. <input type="checkbox"/>
G Check all that apply:		D 1. Foreign organizations, check here. <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	E If private foundation status was terminated under section 507(b)(1)(A), check here. <input type="checkbox"/>
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 62,013,371.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d), must be on cash basis.)	

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)				
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	80,000,000.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	1,160,854.	1,160,854.		
4 Dividends and interest from securities				
5a Gross rents				
b Net rental income or (loss) _____				
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a _____				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain.				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	81,160,854.	1,160,854.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	2,401,017.			2,389,609.
14 Other employee salaries and wages	6,750,858.			6,598,322.
15 Pension plans, employee benefits	723,800.			716,970.
16a Legal fees (attach schedule) <u>ATCH 1</u>	2,087,984.			2,369,601.
b Accounting fees (attach schedule) <u>ATCH 2</u>	379,428.			386,596.
c Other professional fees (attach schedule) <u>[3]</u>	10,880,605.			12,044,157.
17 Interest				
18 Taxes (attach schedule) (see instructions) <u>[4]</u>	38,345.			
19 Depreciation (attach schedule) and depletion	192,269.			
20 Occupancy	961,259.			961,259.
21 Travel, conferences, and meetings	2,357,191.			2,404,584.
22 Printing and publications				
23 Other expenses (attach schedule) <u>ATCH 5</u>	1,452,382.			1,466,946.
24 Total operating and administrative expenses. Add lines 13 through 23.	28,225,138.			29,338,044.
25 Contributions, gifts, grants paid	41,022,461.			41,088,249.
26 Total expenses and disbursements. Add lines 24 and 25	69,247,599.	0.	0.	70,426,293.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	11,913,255.			
b Net investment income (if negative, enter -0-)		1,160,854.		
c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	5,665,289.	2,241,333.	2,241,333.
	3	Accounts receivable ▶ <u>36,629.</u>			
		Less: allowance for doubtful accounts ▶ _____		36,629.	36,629.
	4	Pledges receivable ▶ _____			
		Less: allowance for doubtful accounts ▶ _____			
	5	Grants receivable.			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less: allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use.			
	9	Prepaid expenses and deferred charges <u>ATCH 6</u>	64,337.	334,532.	334,532.
	10a	Investments - U.S. and state government obligations (attach schedule). . .			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
12	Investments - mortgage loans				
13	Investments - other (attach schedule) <u>ATCH 7</u>	45,426,152.	57,064,152.	57,064,152.	
14	Land, buildings, and equipment: basis ▶ <u>2,345,231.</u> Less: accumulated depreciation (attach schedule) ▶ <u>243,987.</u>	80,361.	2,101,244.	2,101,244.	
15	Other assets (describe ▶ <u>ATCH 8</u>)	209,772.	235,481.	235,481.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	51,445,911.	62,013,371.	62,013,371.	
Liabilities	17	Accounts payable and accrued expenses	2,762,692.	1,634,451.	
	18	Grants payable.	264,283.	46,729.	
	19	Deferred revenue.			
	20	Loans from officers, directors, trustees, and other disqualified persons. . .			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	3,026,975.	1,681,180.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 24, 25, 29, and 30.				
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 26 through 30.				
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund.			
	28	Retained earnings, accumulated income, endowment, or other funds . . .	48,418,936.	60,332,191.	
29	Total net assets or fund balances (see instructions)	48,418,936.	60,332,191.		
30	Total liabilities and net assets/fund balances (see instructions)	51,445,911.	62,013,371.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1 48,418,936.
2	Enter amount from Part I, line 27a.	2 11,913,255.
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 60,332,191.
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6 60,332,191.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 }			3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	30,724,845.	65,459,724.	0.469370
2017	3,212,423.	1,680,124.	1.912015
2016			
2015			
2014			

2 Total of line 1, column (d)	2	2.381385
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	1.190693
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	89,423,257.
5 Multiply line 4 by line 3.	5	106,475,646.
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	11,609.
7 Add lines 5 and 6.	7	106,487,255.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	72,326,293.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, credits/payments, and tax due. Total tax due is 1,783.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political influence, expenditures, and reporting requirements. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 containing questions about controlled entities, distributions, public inspection requirements, and foreign country interests.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b containing questions about disqualifying acts, taxes on failure to distribute income, and business enterprise interests.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	X
	Organizations relying on a current notice regarding disaster assistance, check here	N/A	<input type="checkbox"/>	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).	ATCH 9		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 10		2,401,016.	63,877.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		1,403,700.	66,261.	0.

Total number of other employees paid over \$50,000. 36

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 12		8,203,103.

Total number of others receiving over \$50,000 for professional services **29**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE ATTACHMENT 16	31,120,513.
2 SEE ATTACHMENT 16	12,097,821.
3 SEE ATTACHMENT 16	5,479,751.
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
2	
3 All other program-related investments. See instructions. NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	86,336,402.
b	Average of monthly cash balances	1b	4,448,630.
c	Fair market value of all other assets (see instructions).	1c	
d	Total (add lines 1a, b, and c)	1d	90,785,032.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	90,785,032.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,361,775.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	89,423,257.
6	Minimum investment return. Enter 5% of line 5	6	4,471,163.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	4,471,163.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	23,217.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	23,217.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	4,447,946.
4	Recoveries of amounts treated as qualifying distributions.	4	65,839.
5	Add lines 3 and 4	5	4,513,785.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	4,513,785.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a	70,426,293.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	1,900,000.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	72,326,293.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	72,326,293.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				4,513,785.
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.				
b Total for prior years: 20 <u>17</u> , 20 <u>16</u> , 20 <u>15</u>				
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017			3,185,969.	
e From 2018			27,464,139.	
f Total of lines 3a through e	30,650,108.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ <u>72,326,293.</u>				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2019 distributable amount.				4,513,785.
e Remaining amount distributed out of corpus.	67,812,508.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	98,462,616.			
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	98,462,616.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017			3,185,969.	
d Excess from 2018			27,464,139.	
e Excess from 2019			67,812,508.	

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

ATCH 13

b The form in which applications should be submitted and information and materials they should include:

ATCH 13

c Any submission deadlines:

ATCH 13

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ATCH 13

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a <i>Paid during the year</i></p> <p>ATCH 14</p>				
Total				▶ 3a 41,088,249.
<p>b <i>Approved for future payment</i></p> <p>ATCH 15</p>				
Total				▶ 3b 113,021,885.

Schedule of Contributors

2019

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
 ▶ Go to www.irs.gov/Form990 for the latest information.

Name of the organization FOUNDATION FOR A SMOKE-FREE WORLD, INC.	Employer identification number 82-2800838
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Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **FOUNDATION FOR A SMOKE-FREE WORLD, INC.**

Employer identification number
82-2800838

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PMI GLOBAL SERVICES, INC 120 PARK AVENUE NEW YORK, NY 10017	\$ 80,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization **FOUNDATION FOR A SMOKE-FREE WORLD, INC.**

Employer identification number

82-2800838

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____

Name of organization FOUNDATION FOR A SMOKE-FREE WORLD, INC.

Employer identification number
82-2800838

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____ _____ _____	_____ _____ _____

ATTACHMENT 1

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	2,087,984.			2,369,601.
TOTALS	<u>2,087,984.</u>			<u>2,369,601.</u>

ATTACHMENT 2

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	379,428.			386,596.
TOTALS	<u>379,428.</u>			<u>386,596.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
PROFESSIONAL SERVICES	4,124,010.	5,287,562.
COMMUNICATIONS	5,088,722.	5,088,722.
CONSULTING FEES	1,667,873.	1,667,873.
TOTALS	<u>10,880,605.</u>	<u>12,044,157.</u>

ATTACHMENT 4FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
FED EXCISE TAX PAYMENT	30,280.
FED INCOME TAX PAYMENT	7,819.
2019 TAX WITHHOLDING	246.
TOTALS	<u>38,345.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
GENERAL OFFICE EXPENSES	493,695.	508,259.
COMPUTER & EQUIPMENT	52,942.	52,942.
MARKETING	1,311.	1,311.
RECRUITING SERVICES	852,017.	852,017.
TELEPHONE & INTERNET	51,613.	51,613.
MALAWI CASH ACCOUNT ACTIVITY	804.	804.
TOTALS	<u>1,452,382.</u>	<u>1,466,946.</u>

ATTACHMENT 6

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID SERVICES	209,077.	209,077.
PREPAID LICENSE FEES	125,455.	125,455.
TOTALS	<u>334,532.</u>	<u>334,532.</u>

ATTACHMENT 7

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FIDELITY GOVT MONEY MARKET CAP	57,064,152.	57,064,152.
TOTALS	<u>57,064,152.</u>	<u>57,064,152.</u>

ATTACHMENT 8

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SECURITY DEPOSITS	157,313.	157,313.
OTHER RECEIVABLES	78,168.	78,168.
TOTALS	<u>235,481.</u>	<u>235,481.</u>

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
1	A. C. NIELSEN AMER (SHJ. BR.)	Level 3, Al Reem Plaza Tower, Buheira Corniche, Sharjah P.O.Box: 22525 22525 United Arab Emirates	5/8/2019	1,157,282	Survey on usage patterns and perceptions regarding tobacco products and alternative nicotine delivery systems among adults across 7 countries.	1,157,282	No	Various	Various	The total spending of \$1,157,282 which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
2	ABF GmbH	Semmelweisstrasse 5 Planegg 82152 Germany	2/12/2019	1,460,780	Identify meaningful biomarkers that properly distinguish between nicotine product use groups	1,187,546	No	Various	Various	The total spending of \$1,187,546 as per report which is 81% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
3	ACK Consultants	Burfield House 121-123 Athelstan Road Faversham United Kingdom ME13 8QW	5/31/2018	100,000	Alternative livelihoods for tobacco farmers in developing countries	98,414	No	Various	Various	The total spending of \$98,414 as per report which is 98% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
4	Agenome LLC	8a Regency Heights 3/2-1 Cleveland Road Bangalore 560005 India	2/12/2019	1,457,767	Assess cancer risk in smoking subjects by Next Generation Sequencing profiling of circulating free DNA and RNA. Create a genome database of nonsmoking populations to identify new genes that predispose individuals to cancer.	1,018,123	No	Various	Various	The total spending of \$1,018,123 as per report which is 70% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
5	Alternative Research Initiative	Office # 31, 1st Floor Al-Anayat Mall. G-11 Markaz Islamabad Pakistan	12/12/2018	123,420	Project 1: Conduct a knowledge, attitudes, and practices survey evaluating the use of HRPs, mainly e-cigarettes, in 11 urban districts of Pakistan. Project 2: Conduct a qualitative survey probing barriers to smoking cessation in marginalized areas of Islamabad, and assess the possible use of e-cigarettes in these areas.	103,817	No	Various	Various	The total spending of \$103,817 as per report which is 84% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
6	Asia Management Private Limited	No 10/1 Medhuziyaaraiy Magu Male 20097 Republic of Maldives	9/16/2019	59,925	Survey of Smoking Behavior of Young Adults. Development of 2 Year plan of action for ending youth smoking in the Maldives.					Report due January 2020.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
7	Behavioral Diagnostics, LLC	2500 Crosspark Road Coralville, IA 52241 USA	2/12/2019	1,290,660	Development of a kit for the detection and quantification of tobacco and other nicotine product use.	665,089	No	Various	Various	Total Expenditure of \$665,089 as per report which is 52% of utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
8	BOTEC Analysis, LLC	6303 Owensmouth Ave, 10th Floor Woodland Hills, CA 91367 United States	7/30/2019	299,767	Investigate the drivers for smoking cessation in five countries with alternative nicotine delivery device markets.					Report due January 2020.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
9	Cambridge Design Partnership Ltd	The Long Barn, Church Road, Toft Cambridge CB23 2RF UK	4/30/2019	514,433	Investigate the impediments to adoption of RRP for cessation and Tobacco Harm Reduction in low and middle-income countries.	23,823	No	10/10/2019	10/10/2019	Total Expenditure of \$23,823 as per report which is 5% of utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
10	Causal Design	1440 G Street NW Washington, DC 20005 United States	2/22/2019	78,666	Act as a preferred research partner of the agricultural transformation initiative. Conduct ad-hoc analyses and assist in monitoring, evaluation, and learning support.	78,666	No	Various	Various	Total Expenditure of \$78,666 which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
11	Central Region Milk Producers Association	P.O. Box 376 Lilongwe Malawi	11/8/2018	24,928	Support dairy farming cooperatives in the Mchinji district as a viable alternative to tobacco farming.	24,928	No	Various	Various	Total Expenditure of \$24,928 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
12	Centre for Health Research and Education Limited, UK	16 Guildford Drive Chandlers Ford Eastleigh Hampshire SO53 3PT UK	11/28/2019	450,115	Smoke-free UK landscaping project - reaching the 'hardcore' of smokers and supporting quitting. Achieve and sustain smoke free at UK's Priory Group Mental Health Hospitals.					Report due March 2020.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
13	Centre of Research Excellence on Indigenous Sovereignty & Smoking (COREISS Limited)	109 Carlisle Road Torbay, Auckland 0632 New Zealand	05/30/2018 07/30/2019	1,798,829	Center of Excellence focusing on tobacco use, tobacco harm reduction and capacity building in indigenous people worldwide.	1,318,997	No	Various	Various	Total Expenditure of \$1,318,997 as per report which is 73% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
14	Child Sight Foundation	APARTMENT A1 & B3, HOUSE 09, ROAD 2/1 BANANI R/A, DHAKA 1213 Bangladesh	5/31/2018	92,620	Systematic review and situation analysis of smoking and perceptions about harm reduction amongst slum dwellers	52,930	No	Various	Various	The total spending of \$52,930 as per report which is 57% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
15	ECLAT srl	Torre Biologica lato nord, piano 11, stanza 2 Via S. Sofia, 89 Catania, Sicily Italy	02/01/2019 07/30/2019 02/12/2019 11/04/2019	8,036,493	Act as a hub for the global coordination of scientific research projects for advancing the science of tobacco cessation and harm reduction. ECLAT executes 5 primary project types: (1) cohort and clinical studies; (2) product characterization; (3) wellness studies; (4) technological innovation; and (5) knowledge sharing and capacity strengthening.	1,683,718	No	Various	Various	Total Expenditure of \$1,683,718 as per report which is 21% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
16	Embera Neuro Therapeutics	29 Babe Ruth Drive Sudbury, MA 01778 United States	8/28/2019	104,210	Tobacco Use Disorder clinical study.					Report due May 2020

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
17	Etheim Biotics, LLC	34 Cessna Court Gaithersburg, MD 20879 United States	2/12/2019	3,422,527	Multi-regional study to examine the impact of smoking and vaping on the human microbiome.	1,390,928	No	Various	Various	Total Expenditure of \$1,390,928 as per report which is 41% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
18	Euromonitor International Inc	60-61 Britton Street London EC1M 5UX UK	2/12/2019	2,005,158	Manage work streams associated with the Tobacco Transformation Index, including stakeholder consultation, index research and scoring, reporting, and evaluation.	2,005,158	No	Various	Various	Total Expenditure of \$2,005,158 which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
19	Farmers Union of Malawi	P.O. Box 30457 Lilongwe 3 Malawi	7/30/2019	125,000	Improve food security, nutrition, and income of households affected by March 2019 floods. Increase access to irrigation technology and productivity for smallholder farmers.					Report due February 2020.
20	Feng Insight	7 Lewes Crescent Brighton BN2 1FH UK	6/18/2019	220,638	Conduct China policy landscape analysis and related high-level strategic review.	209,087	No	Various	Various	Total Expenditure of \$209,087 which is 95% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
21	Fox Foundation	1002 S. Church Avenue, Suite 10822 Tampa, FL 33629 United States	12/18/2018	59,910	Scoping for Behavioral Psychology Centre of Excellence	59,910	No	Various	Various	The total spending of \$59,910 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
22	International Network of Nicotine Consumer Organizations	Quai de l'Ile 13 CH-1204 Geneva Switzerland	11/26/2018 10/02/2019	159,900	Develop a business plan for INNCO, which aims to "represent consumers of low-risk, alternative nicotine products and to promote tobacco harm reduction on the global stage."	110,062	No	Various	Various	Total Expenditure of \$110,062 as per report which is 69% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
23	INTL FCStone Financial Inc.	1251 NW Briarcliff Pkwy Suite 800 Kansas City, MO 64116 United States	2/4/2018	10,500	Event: Southern Africa Structured Trade Seminar 26-29 June 2018	10,000	No	7/7/2019	7/18/2019	The total spending of \$10,000 as per report which is 95% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
24	Knowledge Action Change Limited	8 Northumberland Avenue London WC2N 5BY UK	12/2/2019	1,378,366	Extend the Tobacco Harm Reduction Scholarship programme for students and mentors in low and middle income countries. Consolidate Global State of Tobacco Harm Reduction's position as a trusted source of robust, objective, and independent information on Tobacco Harm Reduction.	473,628	No	Various	Various	Total Expenditure of \$473,628 as per report which is 34% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
25	Land O'Lakes International Development	1725 DeSales Street, NW, Suite 800 Washington, DC 20036 United States	12/17/2018	2,400,000	Establishment of a Center of Excellence for Agricultural Transformation in Malawi.	307,774	No	Various	Various	Total Expenditure of \$307,774 as per report which is 13% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
26	Law Enforcement Action Partners	The Influence Foundation, Inc. 447 Broadway, 2nd Floor NY, NY 10013 United States	12/4/2018	130,000	Build capacity to share stories that present facts about Tobacco Harm Reduction and nicotine use to all stakeholders.	100,000	No	Various	Various	Total Expenditure of \$100,000 as per report which is 77% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
27	LTS International Limited	P.O. Box 2344 244 Bwaila Street Area 15 Lilongwe Malawi	2/22/2019	60,638	Act as a preferred research partner of the agricultural transformation initiative. Conduct ad-hoc analyses and assist in monitoring, evaluation, and learning support.	60,638	No	Various	Various	Total Expenditure of \$60,638 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
28	Lumos Diagnostics Pty Ltd	2724 Loker Ave West Carlsbad, CA 92010 United States	2/12/2019	1,225,310	Develop a rapid point of care assay to differentiate between multi-use of nicotine products.	89,983	No	Various	Various	Total Expenditure of \$89,983 as per report which is 7% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
29	Metaform Ventures LLC	505 Cento Ct Pleasanton, CA 94566-6329 United States	7/30/2019	446,411	Assess levels of harmful and potentially harmful constituents of smokeless tobacco products sold in India. Communicate findings to various stakeholders to standardize and regulate constituents of these products in India.					Report due January 2020.
30	Michigan State University	427 North Shaw Lane Rm 100 East Lansing, MI 48824 United States	12/17/2018	500,000	Establish a policy initiative in Malawi that offers research support to government policymakers. Identify reforms that can catalyze economic diversification.	500,000	No	Various	Various	Total Expenditure of \$500,000 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
31	ORG Architecten	Koolmijnenkaai 30-34, Brussels 1080 Belgium	7/30/2019	93,645	Phase 2-A of the build and design phase of the Center for Agricultural Transformation (CAT) building / facility in Lilongwe, which will look at the design and ergonomic aspects of the building to meet and support the objectives of the CAT programme.					Report due January 2020.
32	Palladium Group Global	1331 Pennsylvania Avenue NW, Suite 600 Washington, DC 20004-1751 United States	6/3/2019	1,026,256	Work with local and international investors to package, structure, and vet large-scale transactions that provide new economic opportunities to tobacco farmers.	342,917	No	12/18/2019	2/14/2020	Total Expenditure of \$342,917 as per report which is 33% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
33	Patras Science Park (ΕΠΙΣΤΗΜΟΝΙΚΟ ΠΑΡΚΟ ΠΑΤΡΩΝ Α.Ε)	University Campus, 26504 Rio Achaia Greece	5/30/2018 11/16/2018	105,985	Development of an Institute for Research and Innovation on Tobacco Harm Reduction - Perceived Risk Study and Intervention of Smoke-free Products	91,317	No	Various	Various	The total spending of \$91,317 as per report which is 86% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
34	QBal (Quality Belligerence)	Flat No 11, Second Floor E1 Block, Street 94 G11/3 Islamabad 44000 Pakistan	7/30/2019	200,000	Identify best practice evaluation methods for readily available tobacco and nicotine consumer products.	109,426	No	11/13/2019	11/21/2019	Total Expenditure of \$109,426 as per report which is 55% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
35	Rose Research Center, LLC	7240 ACC Blvd. Raleigh, NC 27617 United States	2/12/2019	4,762,663	This translational research center will evaluate innovative smoking cessation and harm reduction strategies to help smokers quit.	1,304,839	No	Various	Various	Total Expenditure of \$1,304,839 as per report which is 27% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
36	Rotary Club of Lilongwe	District 9210 of Rotary International Platinum Hotel, City Center P.O. Box 498 Lilongwe MALAWI	2/13/2019	38,500	Manage a scholarship aimed at helping low-income students complete their university studies in Lilongwe.					Report due January 2020.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
37	Southern African Foundation for Agriculture & Climate Change	Elina and Evelyn Centre Area 49, Gulliver Box 379 Lilongwe Malawi	5/31/2018	80,100	Transitioning Tobacco Farmers to Alternative Livelihoods	79,371	No	Various	Various	The total spending of \$79,371 as per report which is 99% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
38	Strategic Impact Advisors	6511 Griffith Road Laytonsville MD 20882 United States	7/30/2019	35,697	Extend mobile money wallet services of Telekom Networks Malawi (TNM) to reach smallholder farmers in tobacco growing regions.					Report due February 2020.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
39	SustainAbility Inc.	81 Prospect Street Brooklyn, NY 11201 United States	2/12/2019	3,127,420	Manage work streams associated with the Tobacco Transformation Index, including stakeholder consultation, index research and scoring, reporting, and evaluation.	3,042,140	No	Various	Various	Total Expenditure of \$3,042,140 which is 97% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
40	Telenor Health ASA	9th Floor, GrameenPhone House, Road Number 2, Bashundhara, Dhaka, 1229 Bangladesh	7/30/2019	74,400	Scoping work for development and implementation of a 21st Century Cohort Study.					Pending reports.

	GRANTEE'S NAME	GRANTEE'S ADDRESS	DATE	AMOUNT	PURPOSE	AMOUNT EXPENDED BY GRANTEE	ANY DIVERSION	DATES OF REPORTS	DATE OF VERIFICATION	RESULTS OF VERIFICATION
41	University of Cape Town	Department of Psychiatry & Mental Health Faculty of Health Sciences, University of Cape Town Room 6 (North Wing), Education Centre, Valkenberg Hospital Observatory, 7925 Cape Town South Africa	2/12/2019	23,342	Scoping work for establishment of an African Centre of Excellence for Smoking and Mental Health.	23,342	No	6/27/2019	6/28/2019	Total Expenditure of \$23,342 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.
42	Youth Bridge, Inc.	LCUNA Building Bob Taylor's Junction-Paynesville City Liberia	12/12/2018	64,181	Determine pre-intervention conditions and best practice strategies to end smoking in Liberia.	64,182	No	Various	Various	Total Expenditure of \$64,182 as per report which is 100% utilization of the grant value. To the best of the Foundation's knowledge, and based upon reports furnished by the grantee, no portion of the grant has been used for other than its intended purposes.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 10

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
DEREK YACH 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	PRESIDENT/DIRECTOR 40.00	957,726.	16,755.	0.
ZOE FELDMAN 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 19.00	56,181.	0.	0.
DYBORN CHIBONGA 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 8.00	50,000.	0.	0.
THOMAS HARDING 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	COO (UNTIL 07/31/19) 40.00	593,043.	20,750.	0.
PAMELA PARIZEK 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	CHAIR/DIRECTOR 14.00	93,681.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 10 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
MARTIN SKANCKE 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR (UNTIL 07/31/19) 4.00	41,712.	0.	0.
ANDREW MACLEOD 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR (UNTIL 02/11/19) 10.00	41,552.	0.	0.
DARSHITA GILLIES 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 6.00	50,000.	0.	0.
PAUL GARDNER 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 7.00	50,000.	0.	0.
CORINNA LATHAN 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 11.00	50,000.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 10 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
NORIKO TOJO 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 6.00	44,158.	0.	0.
ELIZABETH A. SMITH 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	SECRETARY (UNTIL 10/20/19) 6.00	0.	0.	0.
DAVID JANAZZO 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	CFO (AS OF 08/01/19) 40.00	314,567.	25,000.	0.
HEIDI GOLDSTEIN 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	GEN. COUNSEL (AS OF 10/21/19) 40.00	58,396.	1,372.	0.
VANDANA ABRAMSON 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR (AS OF 11/05/19) 2.00	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
ANGELA MARSHALL HOFMANN 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR (AS OF 11/05/19) 1.00	0.	0.	0.
	GRAND TOTALS	<u>2,401,016.</u>	<u>63,877.</u>	<u>0.</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>
JAMES LUTZWEILER 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-AGRICULTURE 40.00	377,845.	19,000.
HEATHER MAJEWSKI 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-SHARED VALUE INIT 40.00	321,679.	19,000.
MICA WILSON 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-MKT & COMM. 40.00	269,269.	1,500.
EHSAN LATIF 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-GRANT MGMT & DEV 40.00	217,541.	8,256.
BRIAN ERKKILA 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-HEALTH, SCI&TECH 40.00	217,366.	18,505.
	TOTAL COMPENSATION	<u>1,403,700.</u>	<u>66,261.</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
SIGNALS ANALYTICS 1325 6TH AVE 25TH FLOOR NEW YORK, NY 10019	DATA PLATFORM DEV.	2,130,000.
RUDER FINN 425 E. 53RD ST. NEW YORK, NY 10022	PUBLIC RELATIONS	2,072,480.
BAKER & HOSTETLER LLP 45 ROCKEFELLER PLAZA NEW YORK, NY 10111	LEGAL FEES	1,647,929.
OGILVY PUBLIC RELATIONS WORLDWIDE 245 1ST STREET, 10TH FLOOR CAMBRIDGE, MA 02124	PUBLIC RELATIONS	1,214,702.
MARKETKONNECT LLC 1299 PENNSYLVANIA AVE. NW. SUITE 300 WASHINGTON, DC 20004	CONSULTING SERVICES	1,137,992.
	TOTAL COMPENSATION	<u>8,203,103.</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

APPLICATION SHOULD BE ADDRESSED TO:

URL:SMOKEFREEWORLD.ORG

SUPPORT@SMOKEFREEWORLD.ORG

FORM IN WHICH APPLICATION SHOULD BE SUBMITTED AND INFORMATION THEY SHOULD INCLUDE:

SEE SPECIFIC RFP INFORMATION POSTED ON THE FOUNDATION'S WEBSITE

SUBMISSION DEADLINES:

SEE SPECIFIC RFP INFORMATION POSTED ON THE FOUNDATION'S WEBSITE

RESTRICTIONS OR LIMITATIONS ON AWARDS:

THE FOUNDATION ISSUES REQUESTS FOR PROPOSALS (RFPS) TO ENLIST SUPPORT TO ACCELERATE THE GLOBAL DECLINE IN SMOKING. THE FOUNDATION RECOGNIZES THAT COUNTRIES AT DIFFERENT LEVELS OF ECONOMIC DEVELOPMENT WILL NEED DIFFERENT SOLUTIONS, AND THAT ADVANCES IN BEHAVIORAL SCIENCE AND TECHNOLOGY CAN PLAY A KEY ROLE IN HARM REDUCTION RELATIVE TO SMOKING. THE FOUNDATION PROVIDES GRANTS TO SUPPORT WORK THAT IS BEING CONDUCTED BY INDEPENDENT RESEARCH ORGANIZATIONS, ACADEMIC INSTITUTIONS AND CENTERS, AND ORGANIZATIONS IN THE PRIVATE SECTOR.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE CONRAD FOUNDATION 1120 NASA PARKWAY SUITE 108 HOUSTON, TX 77058	N/A PC	SPONSORSHIP OF THE 2018-2019, 2019-2020, AND 2020-2021 CONRAD SPIRIT OF INNOVATION CHALLENGE.	356,578.
ASIA MANAGEMENT PRIVATE LIMITED NO 10/1 MEDHUZIYAARAIY MAGU MALE MALDIVES 20097	N/A NC	SURVEY OF SMOKING BEHAVIOR OF YOUNG ADULTS. DEVELOPMENT OF 2 YEAR PLAN OF ACTION FOR ENDING YOUTH SMOKING IN THE MALDIVES.	59,925.
ABF GMBH SEMMELWEISSTRASSE 5 PLANEGG GERMANY 82152	N/A NC	IDENTIFY MEANINGFUL BIOMARKERS THAT PROPERLY DISTINGUISH BETWEEN NICOTINE PRODUCT USE GROUPS.	1,460,780.
ASIA PUBLIC HISTORY FOUNDATION INC 26 EVERETT ST CHILD HALL 115 CAMBRIDGE, MA 02138	N/A PC	EXPLORE HISTORICAL ROUTES OF TOBACCO PRODUCTION, CIRCULATION, AND ADDICTION IN ASIA AND CHINA AND POLICY-RELATED SOLUTIONS.	50,000.
ALTERNATIVE RESEARCH INITIATIVE OFFICE #31, 1ST FL. AL-ANAYAT MALL. ISLAMABAD PAKISTAN G-11 MARKAZ	N/A NC	PROJECT 1: CONDUCT A KNOWLEDGE, ATTITUDES, AND PRACTICES SURVEY EVALUATING THE USE OF HRPS, MAINLY E-CIGARETTES, IN 11 URBAN DISTRICTS OF PAKISTAN. PROJECT 2: CONDUCT A QUALITATIVE SURVEY PROBING BARRIERS TO SMOKING CESSATION IN MARGINALIZED AREAS OF ISLAMABAD, AND ASSESS THE POSSIBLE USE OF E-CIGARETTES IN THESE AREAS.	123,420.
BEHAVIORAL DIAGNOSTICS LLC 2500 CROSSPARK ROAD CORALVILLE, IA 52241	N/A NC	DEVELOPMENT OF A KIT FOR THE DETECTION AND QUANTIFICATION OF TOBACCO AND OTHER NICOTINE PRODUCT USE.	1,290,660.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
CAMBRIDGE DESIGN PARTNERSHIP LTD THE LONG BARN, CHURCH ROAD, TOFT CAMBRIDGE UNITED KINGDOM CB23 2RF	N/A NC	INVESTIGATE THE IMPEDIMENTS TO ADOPTION OF RRPS FOR CESSATION AND TOBACCO HARM REDUCTION IN LOW- AND MIDDLE-INCOME COUNTRIES.	514,433.
CENTRAL REGION MILK PRODUCERS ASSOCIATION P.O. BOX 376 LILONGWE MALAWI	N/A NC	SUPPORT DAIRY FARMING COOPERATIVES IN THE MCHINJI DISTRICT AS A VIABLE ALTERNATIVE TO TOBACCO FARMING.	24,928.
CAUSAL DESIGN 1440 G STREET NW WASHINGTON, DC 20005	N/A NC	ACT AS A PREFERRED RESEARCH PARTNER OF THE AGRICULTURAL TRANSFORMATION INITIATIVE. CONDUCT AD-HOC ANALYSES AND ASSIST IN MONITORING, EVALUATION, AND LEARNING SUPPORT.	78,666.
CENTRE OF RES. EXCEL. ON INDIGENOUS SOV & SMOKING 109 CARLISLE ROAD TORBAY AUCKLAND NEW ZEALAND 0632	N/A NC	CENTER OF EXCELLENCE FOCUSING ON TOBACCO USE, TOBACCO HARM REDUCTION AND CAPACITY BUILDING IN INDIGENOUS PEOPLE WORLDWIDE.	1,798,829.
CENTRE FOR HEALTH RESEARCH & EDUCATION LIMITED, UK 16 GUILDFORD DRIVE CHANDLERS FORD EASTLEIGH, HAMPSHIRE UNITED KINGDOM SO53 3PT	N/A NC	SMOKE-FREE UK LANDSCAPING PROJECT - REACHING THE 'HARDCORE' OF SMOKERS AND SUPPORTING QUITTING. ACHIEVE AND SUSTAIN SMOKE-FREE AT UK'S PRIORITY GROUP MENTAL HEALTH HOSPITALS.	450,115.
DREXEL UNIVERSITY 3141 CHESTNUT ST. PHILADELPHIA, PA 19104	N/A PC	SCOPING WORK FOR RESEARCH TO INVESTIGATE IMPACTS OF RESIDUAL TOBACCO SMOKE AND E-CIGARETTE RESIDUE.	69,711.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
ECLAT SRL UNIVERSITY OF CATANIA TORRE BIOLOGICA CATANIA, SICILY ITALY	N/A NC	ACT AS A HUB FOR THE GLOBAL COORDINATION OF SCIENTIFIC RESEARCH PROJECTS FOR ADVANCING THE SCIENCE OF TOBACCO CESSATION AND HARM REDUCTION. ECLAT EXECUTES 5 PRIMARY PROJECT TYPES: (1) COHORT AND CLINICAL STUDIES; (2) PRODUCT CHARACTERIZATION; (3) WELLNESS STUDIES; (4) TECHNOLOGICAL INNOVATION; AND (5) KNOWLEDGE SHARING AND CAPACITY STRENGTHENING.	8,036,493.
EMBERA NEURO THERAPEUTICS 29 BABE RUTH DRIVE SUDBURY, MA 01778	N/A NC	TOBACCO USE DISORDER CLINICAL STUDY.	104,210.
INTL. NETWORK OF NICOTINE CONSUMER ORGANIZATIONS QUAI DE L'ILE 13 GENEVA SWITZERLAND CH-1204	N/A NC	DEVELOP A BUSINESS PLAN FOR INNCO, WHICH AIMS TO "REPRESENT CONSUMERS OF LOW-RISK, ALTERNATIVE NICOTINE PRODUCTS AND TO PROMOTE TOBACCO HARM REDUCTION ON THE GLOBAL STAGE."	159,900.
KNOWLEDGE ACTION CHANGE LIMITED 8 NORTHUMBERLAND AVENUE LONDON UNITED KINGDOM WC2N 5BY	N/A NC	EXTEND THE TOBACCO HARM REDUCTION SCHOLARSHIP PROGRAMME FOR STUDENTS AND MENTORS IN LOW- AND MIDDLE-INCOME COUNTRIES. CONSOLIDATE GLOBAL STATE OF TOBACCO HARM REDUCTION'S POSITION AS A TRUSTED SOURCE OF ROBUST, OBJECTIVE, AND INDEPENDENT INFORMATION ON TOBACCO HARM REDUCTION.	1,378,366.
LUMOS DIAGNOSTICS PTY LTD 2724 LOKER AVE WEST CARLSBAD, CA 92010	N/A NC	DEVELOP A RAPID POINT OF CARE ASSAY TO DIFFERENTIATE BETWEEN MULTI-USE OF NICOTINE PRODUCTS.	1,225,310.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
METAFORM VENTURES LLC 505 CENTO CT PLEASANTON, CA 94566-6329	N/A NC	ASSESS LEVELS OF HARMFUL AND POTENTIALLY HARMFUL CONSTITUENTS OF SMOKELESS TOBACCO PRODUCTS SOLD IN INDIA. COMMUNICATE FINDINGS TO VARIOUS STAKEHOLDERS TO STANDARDIZE AND REGULATE CONSTITUENTS OF THESE PRODUCTS IN INDIA.	446,411.
MEDICAL UNIVERSITY OF SOUTH CAROLINA FOUNDATION COLCOCK HALL 179 ASHLEY ASHLEY CHARLESTON, SC 29425	N/A PC	SUPPORT OF LOCAL TOBACCO CONTROL EFFORTS	100,000.
PALLADIUM GROUP GLOBAL 1331 PENNSYLVANIA AVENUE NW SUITE 600 WASHINGTON, DC 20004-1751	N/A NC	WORK WITH LOCAL AND INTERNATIONAL INVESTORS TO PACKAGE, STRUCTURE, AND VET LARGE-SCALE TRANSACTIONS THAT PROVIDE NEW ECONOMIC OPPORTUNITIES TO TOBACCO FARMERS	1,026,256.
QBAL (QUALITY BELLIGERENCE) OFFICE NO 1, 2ND FL, PLOT NO5 ISLAMABAD PAKISTAN	N/A NC	IDENTIFY BEST PRACTICE EVALUATION METHODS FOR READILY AVAILABLE TOBACCO AND NICOTINE CONSUMER PRODUCTS.	200,000.
ROSE RESEARCH CENTER, LLC 7240 ACC BLVD. RALEIGH, NC 27617	N/A NC	THIS TRANSLATIONAL RESEARCH CENTER WILL EVALUATE INNOVATIVE SMOKING CESSATION AND HARM REDUCTION STRATEGIES TO HELP SMOKERS QUIT	4,762,663.
ROTARY CLUB OF LILONGWE DISTRICT 9210 OF ROTARY INTL PLATINUM HOTEL, CITY CENTER LILONGWE MALAWI	N/A NC	MANAGE A SCHOLARSHIP AIMED AT HELPING LOW-INCOME STUDENTS COMPLETE THEIR UNIVERSITY STUDIES IN LILONGWE	38,500.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
UNIVERSITY OF CAPE TOWN, DEPARTMENT OF PSYCHIATRY DEPART. OF PSYCHIATRY & MENTAL HEAL CAPE TOWN SOUTH AFRICA	N/A NC	SCOPING WORK FOR ESTABLISHMENT OF AN AFRICAN COE FOR SMOKING AND MENTAL HEALTH.	23,342.
YALE UNIVERSITY 149 ELM STREET NEW HAVEN, CT 06511	N/A PC	GLOBAL REVIEW OF TOBACCO CESSATION AND HARM REDUCTION SCIENCE	35,982.
MICHIGAN STATE UNIVERSITY (MSU) ALLIANCE FOR AFRICAN PARTNERSHIP 427 NORTH SHAW LANE RM 100 EAST LANSING, MI 48824	N/A GOV	ESTABLISH A POLICY INITIATIVE IN MALAWI THAT OFFERS RESEARCH SUPPORT TO GOVERNMENT POLICYMAKERS. IDENTIFY REFORMS THAT CAN CATALYZE ECONOMIC DIVERSIFICATION.	500,000.
YOUTH BRIDGE, INC. LCUNA BUILDING BOB TAYLOR'S JUNCTION-PAYNESVILLE LIBERIA	N/A NC	DETERMINE PRE-INTERVENTION CONDITIONS AND BEST PRACTICE STRATEGIES TO END SMOKING IN LIBERIA.	64,181.
A. C. NIELSEN AMER (SHJ. BR.) LEVEL 3, AL REEM PLAZA TOWER P.O. BOX 22525 BUHEIRA CORNICHE, SHARJAH UNITED ARAB EMIRATES 22525	N/A NC	SURVEY ON USAGE PATTERNS AND PERCEPTIONS REGARDING TOBACCO PRODUCTS AND ALTERNATIVE NICOTINE DELIVERY SYSTEMS AMONG ADULTS ACROSS 7 COUNTRIES	1,157,282.
AGENOME LLC 8A REGENCY HEIGHTS 3/2-1 CLEVELAND ROAD BANGALORE INDIA 560005	N/A NC	ASSESS CANCER RISK IN SMOKING SUBJECTS BY NEXT GENERATION SEQUENCING PROFILING OF CIRCULATING FREE DNA AND RNA. CREATE A GENOME DATABASE OF NONSMOKING POPULATIONS TO IDENTIFY NEW GENES THAT PREDISPOSE INDIVIDUALS TO CANCER	1,457,767.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
BOTEC ANALYSIS, LLC 6303 OWENSMOUTH AVE, 10TH FLOOR WOODLAND HILLS, CA 91367	N/A NC	INVESTIGATE THE DRIVERS FOR SMOKING CESSATION IN FIVE COUNTRIES WITH ALTERNATIVE NICOTINE DELIVERY DEVICE MARKETS	299,767.
ETHEIM BIOTICS, LLC 34 CESSNA COURT GAITHERSBURG, MD 20879	N/A NC	MULTI-REGIONAL STUDY TO EXAMINE THE IMPACT OF SMOKING AND VAPING ON THE HUMAN MICROBIOME	3,422,527.
EUROMONITOR INTERNATIONAL INC 60-61 BRITTON STREET LONDON UNITED KINGDOM EC1M 5UX	N/A NC	MANAGE WORK STREAMS ASSOCIATED WITH THE TOBACCO TRANSFORMATION INDEX, INCLUDING STAKEHOLDER CONSULTATION, INDEX RESEARCH AND SCORING, REPORTING, AND EVALUATION	2,005,158.
FARMERS UNION OF MALAWI P.O. BOX 30457 LILONGWE MALAWI	N/A NC	IMPROVE FOOD SECURITY, NUTRITION, AND INCOME OF HOUSEHOLDS AFFECTED BY MARCH 2019 FLOODS. INCREASE ACCESS TO IRRIGATION TECHNOLOGY AND PRODUCTIVITY FOR SMALLHOLDER FARMERS	125,000.
FENG INSIGHT 7 LEWES CRESCENT BRIGHTON UNITED KINGDOM BN2 1FH	N/A NC	CONDUCT CHINA POLICY LANDSCAPE ANALYSIS AND RELATED HIGH-LEVEL STRATEGIC REVIEW	220,638.
GLOBAL KNOWLEDGE INITIATIVE 1200 NEW YORK AVENUE NORTHWEST SUITE 113 WASHINGTON, DC 20005	N/A PC	ASSESSMENT AND INVESTIGATION OF THE ENTREPRENEURIAL LANDSCAPE IN MALAWI	142,233.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
INSTITUTE OF INTERNATIONAL EDUCATION, INC. 809 UNITED NATIONS PLAZA NEW YORK, NY 10017	N/A PC	DEVELOP AND MANAGE AN AGRICULTURAL TRANSFORMATION INITIATIVE AND SCHOLARSHIP FUND IN MALAWI	647,490.
LAND O'LAKES INTERNATIONAL DEVELOPMENT 1725 DESALES STREET, NW SUITE 800 WASHINGTON, DC 20036	N/A NC	ESTABLISHMENT OF A CENTER OF EXCELLENCE FOR AGRICULTURAL TRANSFORMATION IN MALAWI	2,400,000.
LAW ENFORCEMENT ACTION PARTNERS THE INFLUENCE FOUNDATION, INC. 447 BROADWAY, 2ND FLOOR NEW YORK, NY 10013	N/A POF	BUILD CAPACITY TO SHARE STORIES THAT PRESENT FACTS ABOUT TOBACCO HARM REDUCTION AND NICOTINE USE TO ALL STAKEHOLDERS	130,000.
LTS INTERNATIONAL LIMITED 244 BWAILA STREET AREA 15 P.O. BOX 2344 LILONGWE MALAWI	N/A NC	ACT AS A PREFERRED RESEARCH PARTNER OF THE AGRICULTURAL TRANSFORMATION INITIATIVE. CONDUCT AD-HOC ANALYSES AND ASSIST IN MONITORING, EVALUATION, AND LEARNING SUPPORT	60,638.
OPPORTUNITY INTERNATIONAL, INC. 550 W VAN BUREN SUITE 200 CHICAGO, IL 60607	N/A PC	DEVELOP INNOVATIVE FINANCIAL PRODUCTS AND TOOLS FOR FINANCIAL INSTITUTIONS AND FARMERS IN MALAWI	478,244.
ORG ARCHITECTEN EN STADSONTWERPERS BVBA KOOLMIJNENKAAI 30-34 BRUSSELS BELGIUM 1080	N/A NC	PHASE 2-A OF THE BUILD AND DESIGN PHASE OF THE CENTER FOR AGRICULTURAL TRANSFORMATION (CAT) BUILDING / FACILITY IN LILONGWE, WHICH WILL LOOK AT THE DESIGN AND ERGONOMIC ASPECTS OF THE BUILDING TO MEET AND SUPPORT THE OBJECTIVES OF THE CAT PROGRAMME	93,645.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 14 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
SAN FRANCISCO STUDY CENTRE INC. 2 KORET WAY SAN FRANCISCO, CA 94143	N/A PC	PILOT INTERVENTION TO ASSESS THE APPLICATION OF A HARM REDUCTION MODEL TO REDUCE COMBUSTIBLE TOBACCO USE IN SMOKERS WITH BEHAVIORAL HEALTH DISORDERS	830,684.
STRATEGIC IMPACT ADVISORS 6511 GRIFFITH ROAD LAYTONSVILLE, MD 20882	N/A NC	EXTEND MOBILE MONEY WALLET SERVICES OF TELEKOM NETWORKS MALAWI (TNM) TO REACH SMALLHOLDER FARMERS IN TOBACCO GROWING REGIONS	35,697.
SUSTAINABILITY INC. 81 PROSPECT STREET BROOKLYN, NY 11201	N/A NC	MANAGE WORK STREAMS ASSOCIATED WITH THE TOBACCO TRANSFORMATION INDEX, INCLUDING STAKEHOLDER CONSULTATION, INDEX RESEARCH AND SCORING, REPORTING, AND EVALUATION	3,127,420.
TELENOR HEALTH ASA 9TH FLOOR, GRAMEENPHONE HOUSE ROAD NUMBER 2, BASHUNDHARA DHAKA BANGLADESH 1229	N/A NC	SCOPING WORK FOR DEVELOPMENT AND IMPLEMENTATION OF A 21ST CENTURY COHORT STUDY	74,400.
TOTAL CONTRIBUTIONS PAID			<u>41,088,249.</u>

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 15

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
A. C. NIELSEN AMER (SHJ. BR.) LEVEL 3, AL REEM PLAZA TOWER P.O. BOX 22525, BUHEIRA CORNICHE SHARJAH UNITED ARAB EMIRATES 22525	N/A NC	SURVEY ON USAGE PATTERNS AND PERCEPTIONS REGARDING TOBACCO PRODUCTS AND ALTERNATIVE NICOTINE DELIVERY SYSTEMS AMONG ADULTS ACROSS 7 COUNTRIES	385,759.
ABF GMBH SEMMELWEISSTRASSE 5 PLANEGG GERMANY 82152	N/A NC	IDENTIFY MEANINGFUL BIOMARKERS THAT PROPERLY DISTINGUISH BETWEEN NICOTINE PRODUCT USE GROUPS	1,460,780.
AGENOME LLC 8A REGENCY HT., 3/2-1 CLEVELAND RD. BANGALORE INDIA 560005	N/A NC	ASSESS CANCER RISK IN SMOKING SUBJECTS BY NEXT GENERATION SEQUENCING PROFILING OF CIRCULATING FREE DNA AND RNA. CREATE A GENOME DATABASE OF NONSMOKING POPULATIONS TO IDENTIFY NEW GENES THAT PREDISPOSE INDIVIDUALS TO CANCER	2,190,070.
ASIA MANAGEMENT PRIVATE LIMITED NO 10/1 MEDHUZIYAARAIY MAGU MALE 20097 MALDIVES	N/A NC	SURVEY OF SMOKING BEHAVIOR OF YOUNG ADULTS. DEVELOPMENT OF 2 YEAR PLAN OF ACTION FOR ENDING YOUTH SMOKING IN THE MALDIVES	59,925.
BEHAVIORAL DIAGNOSTICS, LLC 2500 CROSSPARK ROAD CORALVILLE, IA 52241	N/A NC	DEVELOPMENT OF A KIT FOR THE DETECTION AND QUANTIFICATION OF TOBACCO AND OTHER NICOTINE PRODUCT USE	1,290,660.
BOTEC ANALYSIS, LLC 6303 OWENSMOUTH AVE, 10TH FLOOR WOODLAND HILLS, CA 91367	N/A NC	INVESTIGATE THE DRIVERS FOR SMOKING CESSATION IN FIVE COUNTRIES WITH ALTERNATIVE NICOTINE DELIVERY DEVICE MARKETS	699,457.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 15 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
CAMBRIDGE DESIGN PARTNERSHIP LTD THE LONG BARN, CHURCH ROAD CAMBRIDGE UNITED KINGDOM CB23 2RF	N/A NC	INVESTIGATE THE IMPEDIMENTS TO ADOPTION OF RRPS FOR CESSATION AND TOBACCO HARM REDUCTION IN LOW- AND MIDDLE-INCOME COUNTRIES	277,003.
CAUSAL DESIGN 1440 G STREET NW WASHINGTON, DC 20005	N/A NC	ACT AS A PREFERRED RESEARCH PARTNER OF THE AGRICULTURAL TRANSFORMATION INITIATIVE. CONDUCT AD-HOC ANALYSES AND ASSIST IN MONITORING, EVALUATION, AND LEARNING SUPPORT	112,389.
CENTRE FOR HEALTH RESEARCH & EDUCATION LIMITED, UK 16 GUILDFORD DRIVE, CHANDLERS FORD EASTLEIGH HAMPSHIRE UNITED KINGDOM SO53 3PT	N/A NC	SMOKE-FREE UK LANDSCAPING PROJECT - REACHING THE 'HARDCORE' OF SMOKERS AND SUPPORTING QUITTING. ACHIEVE AND SUSTAIN SMOKE-FREE AT UK'S PRIORY GROUP MENTAL HEALTH HOSPITALS	723,399.
CENTRE OF RES. EXCEL. ON INDIGENOUS SOV & SMOKING 109 CARLISLE ROAD TORBAY AUCKLAND NEW ZEALAND 0632	N/A NC	CENTER OF EXCELLENCE FOCUSING ON TOBACCO USE, TOBACCO HARM REDUCTION AND CAPACITY BUILDING IN INDIGENOUS PEOPLE WORLDWIDE	7,320,042.
CONRAD FOUNDATION 1120 NASA PARKWAY SUITE 108 HOUSTON, TX 77058	N/A PC	SPONSORSHIP OF THE 2019-2020 AND 2020-2021 CONRAD SPIRIT OF INNOVATION CHALLENGE	350,000.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 15 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
ECLAT SRL UNIVERSITY OF CATANIA TORRE BIOLOGICA CATANIA, SICILY ITALY	N/A NC	ACT AS A HUB FOR THE GLOBAL COORDINATION OF SCIENTIFIC RESEARCH PROJECTS FOR ADVANCING THE SCIENCE OF TOBACCO CESSATION AND HARM REDUCTION. ECLAT EXECUTES 5 PRIMARY PROJECT TYPES: (1) COHORT AND CLINICAL STUDIES; (2) PRODUCT CHARACTERIZATION; (3) WELLNESS STUDIES; (4) TECHNOLOGICAL INNOVATION; AND (5) KNOWLEDGE SHARING AND CAPACITY STRENGTHENING	22,928,186.
EMBERA NEURO THERAPEUTICS 29 BABE RUTH DRIVE SUDBURY, MA 01778	N/A NC	TOBACCO USE DISORDER CLINICAL STUDY	104,210.
ERNST & YOUNG AG MAAGPLATZ 1 ZURICH SWITZERLAND 8010	N/A NC	SYNTHESIZE AND FORMULATE LESSONS LEARNED FROM COUNTRIES WITH ANDS AVAILABILITY WHICH HAVE SEEN DRASTIC DROPS IN NUMBER OF SMOKERS. IDENTIFICATION OF OBSERVABLE SMOKER CHARACTERISTICS THAT ARE LINKED TO CESSATION SUCCESS	1,145,028.
ETHEIM BIOTICS, LLC 34 CESSNA COURT GAITHERSBURG, MD 20879	N/A NC	MULTI-REGIONAL STUDY TO EXAMINE THE IMPACT OF SMOKING AND VAPING ON THE HUMAN MICROBIOME	14,253,767.
EUROMONITOR INTERNATIONAL INC 60-61 BRITTON STREET LONDON UNITED KINGDOM EC1M 5UX	N/A NC	MANAGE WORK STREAMS ASSOCIATED WITH THE TOBACCO TRANSFORMATION INDEX, INCLUDING STAKEHOLDER CONSULTATION, INDEX RESEARCH AND SCORING, REPORTING, AND EVALUATION	4,524,534.
FARMERS UNION OF MALAWI P.O. BOX 30457	N/A	IMPROVE FOOD SECURITY, NUTRITION, AND INCOME OF HOUSEHOLDS AFFECTED BY MARCH 2019 FLOODS. INCREASE ACCESS TO IRRIGATION TECHNOLOGY AND PRODUCTIVITY FOR SMALLHOLDER FARMERS	125,000.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 15 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
LILONGWE MALAWI	NC		
INSTITUTE OF INTERNATIONAL EDUCATION, INC. 809 UNITED NATIONS PLAZA NEW YORK, NY 10017	N/A PC	DEVELOP AND MANAGE AN AGRICULTURAL TRANSFORMATION INITIATIVE AND SCHOLARSHIP FUND IN MALAWI	2,352,510.
INTL. NETWORK OF NICOTINE CONSUMER ORGANIZATIONS QUAI DE L'ILE 13 GENEVA SWITZERLAND CH-1204	N/A NC	DEVELOP A BUSINESS PLAN FOR INNCO, WHICH AIMS TO "REPRESENT CONSUMERS OF LOW-RISK, ALTERNATIVE NICOTINE PRODUCTS AND TO PROMOTE TOBACCO HARM REDUCTION ON THE GLOBAL STAGE."	99,750.
KNOWLEDGE ACTION CHANGE LIMITED 8 NORTHUMBERLAND AVENUE LONDON UNITED KINGDOM WC2N 5BY	N/A NC	EXTEND THE TOBACCO HARM REDUCTION SCHOLARSHIP PROGRAMME FOR STUDENTS AND MENTORS IN LOW- AND MIDDLE-INCOME COUNTRIES. CONSOLIDATE GLOBAL STATE OF TOBACCO HARM REDUCTION'S POSITION AS A TRUSTED SOURCE OF ROBUST, OBJECTIVE, AND INDEPENDENT INFORMATION ON TOBACCO HARM REDUCTION	5,999,811.
LAND O'LAKES INTERNATIONAL DEVELOPMENT 1725 DESALES STREET, NW WASHINGTON, DC 20036	N/A NC	ESTABLISHMENT OF A CENTER OF EXCELLENCE FOR AGRICULTURAL TRANSFORMATION IN MALAWI	9,600,000.
LAW ENFORCEMENT ACTION PARTNERS THE INFLUENCE FOUNDATION, INC. NEW YORK, NY 10013	N/A POF	BUILD CAPACITY TO SHARE STORIES THAT PRESENT FACTS ABOUT TOBACCO HARM REDUCTION AND NICOTINE USE TO ALL STAKEHOLDERS	60,000.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 15 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
LTS INTERNATIONAL LIMITED 244 BWAILA ST AREA, 15 PO BOX 2344 LILONGWE MALAWI	N/A NC	ACT AS A PREFERRED RESEARCH PARTNER OF THE AGRICULTURAL TRANSFORMATION INITIATIVE. CONDUCT AD-HOC ANALYSES AND ASSIST IN MONITORING, EVALUATION, AND LEARNING SUPPORT	135,391.
LUMOS DIAGNOSTICS PTY LTD 2724 LOKER AVE WEST CARLSBAD, CA 92010	N/A NC	DEVELOP A RAPID POINT OF CARE ASSAY TO DIFFERENTIATE BETWEEN MULTI-USE OF NICOTINE PRODUCTS	1,837,962.
METAFORM VENTURES LLC 505 CENTO CT PLEASANTON, CA 94566	N/A NC	ASSESS LEVELS OF HARMFUL AND POTENTIALLY HARMFUL CONSTITUENTS OF SMOKELESS TOBACCO PRODUCTS SOLD IN INDIA. COMMUNICATE FINDINGS TO VARIOUS STAKEHOLDERS TO STANDARDIZE AND REGULATE CONSTITUENTS OF THESE PRODUCTS IN INDIA	1,041,625.
MICHIGAN STATE UNIVERSITY ALLIANCE FOR AFRICAN PARTNERSHIP 427 NORTH SHAW LANE RM 100 EAST LANSING, MI 48824	N/A GOV	ESTABLISH A POLICY INITIATIVE IN MALAWI THAT OFFERS RESEARCH SUPPORT TO GOVERNMENT POLICYMAKERS. IDENTIFY REFORMS THAT CAN CATALYZE ECONOMIC DIVERSIFICATION	5,200,000.
OPPORTUNITY INTERNATIONAL, INC. 550 W VAN BUREN CHICAGO, IL 60607	N/A PC	DEVELOP INNOVATIVE FINANCIAL PRODUCTS AND TOOLS FOR FINANCIAL INSTITUTIONS AND FARMERS IN MALAWI	63,139.
ORG ARCHITECTEN EN STADSONTWERPERS BVBA KOOLMIJNENKAAI 30-34 BRUSSELS BELGIUM 1080	N/A NC	PHASE 2-A OF THE BUILD AND DESIGN PHASE OF THE CENTER FOR AGRICULTURAL TRANSFORMATION (CAT) BUILDING / FACILITY IN LILONGWE, WHICH WILL LOOK AT THE DESIGN AND ERGONOMIC ASPECTS OF THE BUILDING TO MEET AND SUPPORT THE OBJECTIVES OF THE CAT PROGRAMME	40,134.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 15 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
PALLADIUM GROUP GLOBAL 1331 PENNSYLVANIA AVENUE NW WASHINGTON, DC 20004-1751	N/A NC	WORK WITH LOCAL AND INTERNATIONAL INVESTORS TO PACKAGE, STRUCTURE, AND VET LARGE-SCALE TRANSACTIONS THAT PROVIDE NEW ECONOMIC OPPORTUNITIES TO TOBACCO FARMERS	2,752,512.
ROSE RESEARCH CENTER, LLC 7240 ACC BLVD RALEIGH, NC 27617	N/A NC	THIS TRANSLATIONAL RESEARCH CENTER WILL EVALUATE INNOVATIVE SMOKING CESSATION AND HARM REDUCTION STRATEGIES TO HELP SMOKERS QUIT	20,588,874.
SAN FRANCISCO STUDY CENTRE INC. 2 KORET WAY SAN FRANCISCO, CA 94143	N/A PC	PILOT INTERVENTION TO ASSESS THE APPLICATION OF A HARM REDUCTION MODEL TO REDUCE COMBUSTIBLE TOBACCO USE IN SMOKERS WITH BEHAVIORAL HEALTH DISORDERS	1,938,263.
STRATEGIC IMPACT ADVISORS 6511 GRIFFITH ROAD LAYTONSVILLE, MD 20882	N/A NC	EXTEND MOBILE MONEY WALLET SERVICES OF TELEKOM NETWORKS MALAWI (TNM) TO REACH SMALLHOLDER FARMERS IN TOBACCO GROWING REGIONS	142,787.
SUSTAINABILITY INC. 81 PROSPECT STREET BROOKLYN, NY 11201	N/A NC	MANAGE WORK STREAMS ASSOCIATED WITH THE TOBACCO TRANSFORMATION INDEX, INCLUDING STAKEHOLDER CONSULTATION, INDEX RESEARCH AND SCORING, REPORTING, AND EVALUATION	1,820,503.
TELENOR HEALTH ASA 9TH FLOOR, GRAMEENPHONE HOUSE RD #2 BASHUNDHARA DHAKA BANGLADESH 1229	N/A NC	SCOPING WORK FOR DEVELOPMENT AND IMPLEMENTATION OF A 21ST CENTURY COHORT STUDY	74,400.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 15 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THANTHWE HOLDINGS LIMITED CHITIPHI 6/1/53, P.O. BOX 1215 MALAWI	N/A NC	CONTRIBUTE TO THE EXPANSION OF PROTECTED CULTIVATION IN MALAWI AND NEIGHBORING COUNTRIES	250,600.
VIDA NEWS 1305 N 19TH ST MILWAUKEE, WI 53205	N/A PC	INCREASE PUBLIC AWARENESS OF THE DRIVERS OF SMOKING HARM AND OF THE AVAILABILITY OF ALTERNATIVES WITH HIGH PRODUCTION-VALUE EVIDENCE-BASED INTERVIEWS, SHORT-DOCUMENTARIES, INFOGRAPHICS AND SOCIAL MEDIA INVOLVING FOUNDATION GRANTEES, OTHER RESEARCHERS, PHYSICIANS, ADVOCATES AND CONSUMERS, WITH A STRONG GLOBAL FOCUS, AND SPECIFIC FOCUS ON INDIA	989,457.
YALE UNIVERSITY 149 ELM STREET NEW HAVEN, CT 06520	N/A PC	GLOBAL REVIEW OF TOBACCO CESSATION AND HARM REDUCTION SCIENCE	83,958.
TOTAL CONTRIBUTIONS APPROVED			<u>113,021,885.</u>

990PF, PART IX - SUMMARY OF DIRECT CHARITABLE ACTIVITIES**ATTACHMENT 16**

THE FOUNDATION FOR A SMOKE-FREE WORLD ("FOUNDATION") WAS CREATED IN SEPTEMBER 2017 WITH THE PURPOSE OF IMPROVING GLOBAL HEALTH BY ENDING SMOKING IN THIS GENERATION.

DURING ITS SECOND FULL YEAR OF OPERATION, 2019, THE FOUNDATION BUILT ON ITS EARLY ACHIEVEMENTS AND MADE PROGRESS TOWARD REACHING AND SUSTAINING ITS AMBITIOUS GOAL. THE FOUNDATION'S PROJECTS ADDRESS EACH OF THE ITS THREE CORE PILLARS:

- **HEALTH, SCIENCE, AND TECHNOLOGY:** THE FOUNDATION SEEKS TO COMPLEMENT ONGOING TOBACCO CONTROL EFFORTS TO ACCELERATE QUITTING AND SWITCHING TO TOBACCO HARM REDUCTION PRODUCTS WHILE UNDERSTANDING THE INDIVIDUAL SMOKER. OVER THE NEXT SEVERAL YEARS, THE FOUNDATION PLANS TO LEVERAGE DATA, RESEARCH, AND INPUT FROM STAKEHOLDERS AND EXPERTS TO ACCELERATE THE DEVELOPMENT OF MORE EFFECTIVE TOOLS TO HELP SMOKERS QUIT OR REDUCE THEIR RISKS WITH A FOCUS ON BUILDING CAPACITY FOR RESEARCH TARGETING THE LOW- AND MIDDLE-INCOME COUNTRIES, WHERE MOST SMOKERS LIVE.
- **AGRICULTURAL TRANSFORMATION INITIATIVE (ATI):** THE FOUNDATION'S AGRICULTURAL TRANSFORMATION INITIATIVE (ATI) IS PREPARING FOR A FUTURE OF REDUCED TOBACCO DEMAND. ITS GOAL IS TO MAKE THE AGRICULTURE SECTOR GLOBALLY MORE COMPETITIVE BY WORKING WITH SMALLHOLDER TOBACCO FARMERS TO HELP DEVELOP NEW BUSINESS MODELS THAT CREATE VALUE FOR PARTICIPANTS CURRENTLY DEPENDENT ON THE TOBACCO SUPPLY CHAIN. THIS WORK HAS STARTED IN MALAWI. TO ACHIEVE ITS MISSION, THE ATI IS PARTNERING WITH THE PRIVATE SECTOR, SMALLHOLDER FARMER ORGANIZATIONS, GOVERNMENT AND FINANCIAL STAKEHOLDERS TO CATALYZE INVESTMENT, DEVELOP AND STRENGTHEN EXTENSION SERVICES, AND PROMOTE ENTREPRENEURSHIP TO SUPPORT TOBACCO FARMERS IN THEIR EFFORTS TO TRANSITION TO ALTERNATIVE CROPS AND LIVELIHOODS.
- **INDUSTRY TRANSFORMATION:** THE FOUNDATION'S INDUSTRY TRANSFORMATION EFFORTS FOCUS ON DELIVERING CHANGE ACROSS THE GLOBAL TOBACCO INDUSTRY AND NICOTINE ECOSYSTEM. THE TOBACCO TRANSFORMATION INDEX, WHICH IS THE FIRST ACTION OF THE FOUNDATION'S INDUSTRY TRANSFORMATION INITIATIVE, WILL PROVIDE QUANTIFIABLE EVIDENCE OVER TIME OF WHAT STEPS THE LARGEST TOBACCO COMPANIES ARE TAKING TOWARD ACCELERATING THE REDUCTION OF HARM CAUSED BY SMOKING AS WELL AS ANY

ACTIONS THEY TAKE TO UNDERMINE THAT PROGRESS. THE INDEX IS A TOOL TO INDIRECTLY INCENTIVIZE THE NECESSARY TRANSFORMATION OF THE GLOBAL TOBACCO INDUSTRY FOR THE BENEFIT OF PUBLIC HEALTH.

EMBEDDED IN ALL OF THESE PRINCIPLES AND PROJECTS IS THE FOUNDATION'S COMMITMENT TO GENDER EQUALITY AND THE APPLICATION OF A COMPREHENSIVE GENDER PERSPECTIVE.

DURING 2019, THE FOUNDATION DISTRIBUTED GRANT PAYMENTS TOTALING \$41.0 MILLION IN SUPPORT OF ITS THREE CORE PILLARS. THE FOUNDATION HAS COMMITTED TO MORE THAN \$160 MILLION IN CONDITIONAL GRANTS THROUGH 2024 AND WILL CONTINUE TO ADD TO THOSE COMMITMENTS OVER TIME. FOR ADDITIONAL INFORMATION ABOUT THE FOUNDATION'S CHARITABLE ACCOMPLISHMENTS IN 2019, PLEASE GO TO ITS ANNUAL REPORT WHICH CAN BE FOUND AT <HTTPS://ANNUALREPORT2019.SMOKEFREEWORLD.ORG/>.

DIRECT CHARITABLE ACTIVITIES IN 2019 SUPPORTED EACH OF THE THREE MAIN AREAS OF THE FOUNDATION'S STRATEGIC PLAN. EACH STRATEGY MAY FUND GRANTS AS WELL AS INCUR EXPENSES:

- **HEALTH, SCIENCE, AND TECHNOLOGY (HST): (EXPENSES OF \$31,120,513, INCLUDING GRANT EXPENDITURES OF \$28,372,652)**
- **AGRICULTURAL TRANSFORMATION INITIATIVE (ATI): (EXPENSES OF \$12,097,821, INCLUDING GRANT EXPENDITURES OF \$7,015,014)**
- **INDUSTRY TRANSFORMATION: (EXPENSES OF \$5,479,751, INCLUDING GRANT EXPENDITURES OF \$5,353,217)**

ONE OF THE GAPS IN TOBACCO CONTROL THAT THE FOUNDATION ADDRESSES IS IMPROVING PUBLIC AWARENESS OF THE DRIVERS OF SMOKING HARM AND THE AVAILABILITY OF ALTERNATIVES. THE FOUNDATION'S GOAL IN LEVERAGING PUBLIC RELATIONS SUPPORT IS TO EDUCATE THE PUBLIC AND POLICYMAKERS ABOUT THE NEED FOR COLLABORATION AND INNOVATION TOWARD TOOLS THAT CAN HELP SMOKERS QUIT OR REDUCE THEIR RISK.

IN 2019, THE FOUNDATION'S PUBLIC RELATIONS EFFORTS SUPPORTED THE AMPLIFICATION OF THE RESEARCH RESULTS OF ITS HEALTH, SCIENCE, AND TECHNOLOGY GRANTEEES AND RESEARCHERS. THEIR WORK PRIORITIZES NEW METHODS OF HARM REDUCTION AND CESSATION TO FULFILL THE FRAMEWORK CONVENTION ON TOBACCO CONTROL (FCTC), WHILE IMPORTANTLY RESPONDING TO THE BEHAVIORAL AND HEALTH NEEDS OF SMOKERS WHO STRUGGLE TO QUIT. THE FOUNDATION USED ITS DIGITAL AND SOCIAL CHANNELS TO DRIVE AWARENESS OF KEY GLOBAL STATISTICS AND REPORTS ON SMOKING BEHAVIORS, HARM REDUCTION, AND SMOKING CESSATION. ACTIVITIES ALSO FOCUSED ON PREPARATION TO SHARE FINDINGS FROM THE FOUNDATION'S SECOND GLOBAL POLL OF TOBACCO

SMOKERS IN SEVEN COUNTRIES WITH THE GOAL OF COMMUNICATING THE CHALLENGES SMOKERS FACE TO QUIT. AS THE AGRICULTURAL TRANSFORMATION INITIATIVE (ATI) AND WORK IN MALAWI MOVED INTO ITS SECOND YEAR, PUBLIC RELATIONS AND COMMUNICATIONS ON SOCIAL CHANNELS RAISED AWARENESS FOR THE ISSUES FACING SMALLHOLDER TOBACCO FARMERS. THE WORK INCLUDED GENERATING MEDIA COVERAGE FOR THE ANNUAL AGRICULTURAL TRANSFORMATION SUMMIT EVENT IN MALAWI. AS PART OF ATI'S ONGOING COMMITMENT TO ECONOMIC DIVERSIFICATION IN MALAWI, THE EVENT CONVENED OVER 200 GUESTS FROM LOCAL GOVERNMENT, PRIVATE, AND FARMING SECTORS, AS WELL AS INTERNATIONAL DELEGATIONS. SUMMIT PARTICIPANTS EXCHANGED IDEAS AND DISCUSSED ISSUES. COMMUNICATIONS EFFORTS IN 2019 RELATED TO INDUSTRY TRANSFORMATION FOCUSED ON DEVELOPMENT OF THE TOBACCO TRANSFORMATION INDEX MICROSITE.

THE BREAKDOWN OF COMMUNICATIONS EXPENSES IN 2019 ACROSS THE FOUNDATION'S STRATEGIES IS ESTIMATED AS FOLLOWS: HEALTH, SCIENCE, AND TECHNOLOGY 60%; AGRICULTURAL TRANSFORMATION INITIATIVE 5%; INDUSTRY TRANSFORMATION 15%; GENERAL EDUCATION & AWARENESS 20%. **(EXPENSES OF \$5,447,482)**

EXAMPLES OF PARTICULAR PROJECTS WITHIN THE DIRECT CHARITABLE ACTIVITIES CLASSIFICATION FOR 2019 INCLUDE:

NICOTINE ECOSYSTEM DATA PLATFORM. CUSTOMIZED, DATA DRIVEN INTELLIGENCE PLATFORM DEVELOPED FOR THE FOUNDATION TO IDENTIFY, INVESTIGATE, AND TRACK SIGNALS, TRENDS, ASSETS, AND OPPORTUNITIES IN THE AREAS OF NICOTINE, SMOKING CESSATION, AND HARM REDUCTION. THE PLATFORM IS UTILIZED BY THE FOUNDATION AND ITS GRANTEEES TO DRIVE THE RESEARCH AGENDA ACROSS A RANGE OF APPLICABLE TOPICS BASED ON THE DATA COLLECTED, ORGANIZED, AND PRESENTED TO THE END USER. A SECOND PHASE OF THE PROGRAM IS UNDER DEVELOPMENT TO ESTABLISH AN EARLY WARNING SYSTEM TO ALERT, CORRELATE, AND ANALYZE SYMPTOMS AND ADVERSE EVENTS RELATED TO VAPING. **(AMOUNTS PAID TO ACQUIRE ASSETS AND EXPENSES: \$2,130,000)**

THE FOUNDATION CREATED VIDEO CONTENT TO EDUCATE STAKEHOLDERS REGARDING THE FOUNDATION'S MISSION AND WORK. THIS VIDEO CONTENT ALLOWED THE FOUNDATION TO SHARE ITS MESSAGES ON THE FOUNDATION'S WEBSITE AND VIA SOCIAL CHANNELS. SEE [HTTPS://WWW.SMOKEFREEWORLD.ORG/VIDEOS/](https://www.smokefreeworld.org/videos/). **(EXPENSES: \$943,075)**

THE VIDEOS INCLUDE:

- 2018 ANNUAL REPORT; AT THE FRONTLINES OF GENOMIC RESEARCH; CENTER OF EXCELLENCE FOR HARM REDUCTION; CONRAD CHALLENGE.

- FIVE STATE OF SMOKING COUNTRY VIDEOS FOR THE FOLLOWING COUNTRIES: BRAZIL; LEBANON; MALAWI; RUSSIA; AND UNITED STATES; NURTURE WOMEN IN PREGNANCY VIDEO SERIES - DEVELOPED RELEASE STRATEGY; LEARNINGS FROM DRUG HARM REDUCTION FIELD APPLIED TO TOBACCO HARM REDUCTION FIELD - VIDEO FOOTAGE CAPTURED.

THE FOUNDATION CONVENED AND HOSTED GRANTEE MEETINGS FOR THE PURPOSE OF PROMOTING INFORMATION SHARING, KNOWLEDGE TRANSFER, AND COLLABORATION. THE MEETINGS WERE ORGANIZED BY STRATEGY - HEALTH, SCIENCE, AND TECHNOLOGY; AGRICULTURAL TRANSFORMATION INITIATIVE. **(EXPENSES: \$597,023)**

THE FOUNDATION CONTRACTED WITH SEVERAL ORGANIZATIONS TO CONDUCT AND DOCUMENT RESEARCH IN SUPPORT OF THE FOUNDATION'S AGENDA IN THE AREAS OF HEALTH, SCIENCE, AND TECHNOLOGY AND INDUSTRY TRANSFORMATION, INCLUDING GLOBAL STATE OF PLAY REGARDING LIFE AND HEALTH INSURERS ACTIVITIES RELATED TO SMOKING; WOMEN AS LEVERS OF CHANGE IN MALE-DOMINATED INDUSTRIES INCLUDING TOBACCO. **(EXPENSES: \$427,471)**