

Form **990-PF**

Return of Private Foundation

OMB No. 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

2018

Open to Public Inspection

For calendar year **2018** or tax year beginning , **2018**, and ending , **20**

Name of foundation FOUNDATION FOR A SMOKE-FREE WORLD, INC.		A Employer identification number 82-2800838
Number and street (or P.O. box number if mail is not delivered to street address) 575 5TH AVENUE	Room/suite 14TH FL	B Telephone number (see instructions) (216) 861-7350
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		C If exemption application is pending, check here. <input type="checkbox"/>
G Check all that apply:		D 1. Foreign organizations, check here. <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation. <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	E If private foundation status was terminated under section 507(b)(1)(A), check here. <input type="checkbox"/>
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. <input type="checkbox"/>
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 51,445,911.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)				
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	80,000,000.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	613,991.	613,991.		
4 Dividends and interest from securities				
5a Gross rents				
b Net rental income or (loss) _____				
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a _____				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain.				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	80,613,991.	613,991.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	1,626,418.			1,626,418.
14 Other employee salaries and wages	4,353,302.			4,268,724.
15 Pension plans, employee benefits	1,054,889.			891,309.
16a Legal fees (attach schedule) <u>ATCH 1</u>	2,578,537.			2,450,392.
b Accounting fees (attach schedule) <u>ATCH 2</u>	305,066.			255,066.
c Other professional fees (attach schedule) <u>[3]</u>	12,149,608.			10,359,714.
17 Interest				
18 Taxes (attach schedule) (see instructions) <u>[4]</u>	7,014.			
19 Depreciation (attach schedule) and depletion	48,183.			
20 Occupancy	733,245.			749,618.
21 Travel, conferences, and meetings	2,851,593.			2,845,976.
22 Printing and publications				
23 Other expenses (attach schedule) <u>ATCH 5</u>	725,555.			821,142.
24 Total operating and administrative expenses. Add lines 13 through 23.	26,433,410.			24,268,359.
25 Contributions, gifts, grants paid	6,727,353.			6,456,486.
26 Total expenses and disbursements. Add lines 24 and 25	33,160,763.		0.	30,724,845.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	47,453,228.			
b Net investment income (if negative, enter -0-)		613,991.		
c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	1,289,874.	5,665,289.	5,665,289.
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges ATCH 6		64,337.	64,337.
	10a	Investments - U.S. and state government obligations (attach schedule). .			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 7		45,426,152.	45,426,152.
	14	Land, buildings, and equipment: basis ▶ _____ 131,206. Less: accumulated depreciation (attach schedule) ▶ _____ 50,845.	34,964.	80,361.	80,361.
15	Other assets (describe ▶ _____ ATCH 8)	29,250.	209,772.	209,772.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,354,088.	51,445,911.	51,445,911.	
Liabilities	17	Accounts payable and accrued expenses	1,588.	2,762,692.	
	18	Grants payable		264,283.	
	19	Deferred revenue	4,394.		
	20	Loans from officers, directors, trustees, and other disqualified persons. .			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
23	Total liabilities (add lines 17 through 22)	5,982.	3,026,975.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund.			
	29	Retained earnings, accumulated income, endowment, or other funds . .	1,348,106.	48,418,936.	
30	Total net assets or fund balances (see instructions)	1,348,106.	48,418,936.		
31	Total liabilities and net assets/fund balances (see instructions)	1,354,088.	51,445,911.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 1,348,106.
2	Enter amount from Part I, line 27a	2 47,453,228.
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 48,801,334.
5	Decreases not included in line 2 (itemize) ▶ _____ ATCH 9	5 382,398.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 48,418,936.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a						
b						
c						
d						
e						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))			
a						
b						
c						
d						
e						
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.						
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))			
a						
b						
c						
d						
e						
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 }			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	3,212,423.	1,680,124.	1.912015
2016			
2015			
2014			
2013			
2 Total of line 1, column (d)			2 1.912015
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 1.912015
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			4 65,459,724.
5 Multiply line 4 by line 3.			5 125,159,974.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 6,140.
7 Add lines 5 and 6.			7 125,166,114.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 30,724,845.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, add lines 1 and 2, subtitle A tax, tax based on investment income, credits/payments (6a-6d), total credits, penalty, tax due, overpayment, and amount of line 10 to be credited to 2019 estimated tax.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about influencing legislation, political purposes, Form 1120-POL filing, political expenditures, reimbursement, unreported activities, changes in governing instruments, unrelated business income, liquidation, section 508(e) requirements, assets, states reported to, Form 990-PF distribution, private operating foundation status, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 regarding controlled entities, distributions, public inspection, website address, books in care, and foreign country interests.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions, including disqualifying acts, taxes on failure to distribute income, and business enterprise interests.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	X
	Organizations relying on a current notice regarding disaster assistance, check here	N/A		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).	ATCH 10		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		1,590,809.	35,610.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 12		1,533,703.	83,287.	0.

Total number of other employees paid over \$50,000. 29

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 13		9,377,452.

Total number of others receiving over \$50,000 for professional services **19**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE ATTACHMENT 17	6,277,847.
2 SEE ATTACHMENT 17	6,518,464.
3 SEE ATTACHMENT 17	229,537.
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
2	
3 All other program-related investments. See instructions. NONE	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	24,833,214.
b	Average of monthly cash balances	1b	41,623,359.
c	Fair market value of all other assets (see instructions).	1c	
d	Total (add lines 1a, b, and c)	1d	66,456,573.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	66,456,573.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	996,849.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	65,459,724.
6	Minimum investment return. Enter 5% of line 5	6	3,272,986.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,272,986.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	12,280.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	12,280.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	3,260,706.
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4	5	3,260,706.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	3,260,706.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a	30,724,845.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	30,724,845.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	30,724,845.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				3,260,706.
2 Undistributed income, if any, as of the end of 2018:				
a Enter amount for 2017 only.				
b Total for prior years: 20 <u>16</u> , 20 <u>15</u> , 20 <u>14</u>				
3 Excess distributions carryover, if any, to 2018:				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017	3,185,969.			
f Total of lines 3a through e	3,185,969.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ <u>30,724,845.</u>				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2018 distributable amount.				3,260,706.
e Remaining amount distributed out of corpus.	27,464,139.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	30,650,108.			
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	30,650,108.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017	3,185,969.			
e Excess from 2018	27,464,139.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2018, (b) 2017, (c) 2016, (d) 2015, (e) Total. Rows include 2a (Adjusted net income), 2b (85% of line 2a), 2c (Qualifying distributions from Part XII), 2d (Amounts included in line 2c not used directly for active conduct of exempt activities), 2e (Qualifying distributions made directly for active conduct of exempt activities), 3 (Alternative tests: Assets, Endowment, Support).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

ATCH 14

b The form in which applications should be submitted and information and materials they should include:

ATCH 14

c Any submission deadlines:

ATCH 14

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ATCH 14

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a <i>Paid during the year</i></p> <p>ATCH 15</p>				
Total				3a 6,456,486.
<p>b <i>Approved for future payment</i></p> <p>ATCH 16</p>				
Total				3b 19,192,280.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, and (e) Related or exempt function income. Rows include Program service revenue, Membership dues and assessments, Interest on savings and temporary cash investments, Dividends and interest from securities, Net rental income, and Subtotal.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explanatory text. The first row contains 'N/A' under the explanatory text column.

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash
(2) Other assets.
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation.

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A' for all fields.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. All rows are empty.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer or trustee, Date 5/14/19, Title COO. Box: May the IRS discuss this return with the preparer shown below? See instructions. Yes No

Paid Preparer Use Only: Print/Type preparer's name TRICIA M JOHNSON, Preparer's signature, Date 5/14/19, Firm's name ERNST & YOUNG U.S. LLP, Firm's address 1900 SCRIPPS CENTER, 312 WALNUT ST CINCINNATI, OH 45202, Firm's EIN 34-6565596, Phone no. 513-612-1400.

Schedule of Contributors

2018

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
 ▶ **Go to www.irs.gov/Form990 for the latest information.**

Name of the organization FOUNDATION FOR A SMOKE-FREE WORLD, INC.	Employer identification number 82-2800838
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Organization type (check one):

Filers of:

Section:

- Form 990 or 990-EZ 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization
- Form 990-PF 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **FOUNDATION FOR A SMOKE-FREE WORLD, INC.**

Employer identification number
82-2800838

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PMI GLOBAL SERVICES, INC 120 PARK AVENUE NEW YORK, NY 10017	\$ 80,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization **FOUNDATION FOR A SMOKE-FREE WORLD, INC.**

Employer identification number

82-2800838

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____

Name of organization **FOUNDATION FOR A SMOKE-FREE WORLD, INC.**

Employer identification number
82-2800838

Part III **Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	

ATTACHMENT 1

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	2,578,537.			2,450,392.
TOTALS	<u>2,578,537.</u>			<u>2,450,392.</u>

ATTACHMENT 2

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	305,066.			255,066.
TOTALS	<u>305,066.</u>			<u>255,066.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
PROFESSIONAL SERVICES	2,647,413.	2,256,137.
COMMUNICATIONS	7,593,097.	6,587,437.
CONSULTING FEES	1,832,240.	1,439,985.
RECRUITING CONSULTANTS	74,216.	73,514.
OTHERS	2,642.	2,641.
TOTALS	<u>12,149,608.</u>	<u>10,359,714.</u>

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
2017 FED EXCISE TAX PAYMENT	14.
2018 EST FED EXCISE TAX PYMT	7,000.
TOTALS	<u>7,014.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
GENERAL OFFICE EXPENSES	693,811.	767,129.
COMPUTER & EQUIPMENT	31,744.	31,744.
MARKETING		17,063.
MISCELLANEOUS EXPENSES		5,206.
TOTALS	<u>725,555.</u>	<u>821,142.</u>

ATTACHMENT 6

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID GRANT EXPENSES	43,417.	43,417.
PREPAID RENT	20,920.	20,920.
TOTALS	<u>64,337.</u>	<u>64,337.</u>

ATTACHMENT 7

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FIDELITY GOVT MONEY MARKET CAP	45,426,152.	45,426,152.
TOTALS	<u>45,426,152.</u>	<u>45,426,152.</u>

ATTACHMENT 8

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SECURITY DEPOSITS	152,012.	152,012.
OTHER RECEIVABLES	57,760.	57,760.
TOTALS	<u>209,772.</u>	<u>209,772.</u>

ATTACHMENT 9

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
2017 ACCRUED EXPENSES	432,398.
PREPAID GRANT FOR 2018	-50,000.
TOTAL	<u>382,398.</u>

ATTACHMENT 10FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: ABF GMBH
 GRANTEE'S ADDRESS: SEMMELWEISSTRASSE 5
 CITY, STATE & ZIP: PLANEGG
 FOREIGN COUNTRY: GERMANY 82152
 GRANT DATE: 10/08/2018
 GRANT AMOUNT: 15,000.
 GRANT PURPOSE: PHASE 1 BIOMARKER RESEARCH
 AMOUNT EXPENDED: 15,000.
 ANY DIVERSION? NO
 DATES OF REPORTS: 2/11/2019
 VERIFICATION DATE: 02/12/2019
 RESULTS OF VERIFICATION:
 THE TOTAL SPENDING OF \$15,000 AS PER REPORT WHICH IS 100% UTILIZATION
 OF THE GRANT VALUE.

GRANTEE'S NAME: ACK CONSULTANTS
 GRANTEE'S ADDRESS: 121-123 ATHELSTAN ROAD
 CITY, STATE & ZIP: FAVERSHAM
 FOREIGN COUNTRY: UNITED KINGDOM ME13 8QW
 GRANT DATE: 05/31/2018
 GRANT AMOUNT: 100,000.
 GRANT PURPOSE: ALTERNATIVE LIVELIHOODS FOR TOBACCO FARMERS IN
 DEVELOPING COUNTRIES
 AMOUNT EXPENDED: 49,753.
 ANY DIVERSION? NO
 DATES OF REPORTS: 11/28/2018
 VERIFICATION DATE: 11/28/2018
 RESULTS OF VERIFICATION:
 TOTAL EXPENDITURE OF \$49,753 THAT IS 50% OF UTILIZATION AGAINST
 APPROVED GRANT BUDGET OF \$100,000.

GRANTEE'S NAME: ALTERNATIVE RESEARCH INITIATIVE
 GRANTEE'S ADDRESS: OFFICE #31, 1ST FL. AL-ANAYAT MALL.
 CITY, STATE & ZIP: ISLAMABAD
 FOREIGN COUNTRY: PAKISTAN G-11 MARKAZ
 GRANT DATE: 05/30/2018
 GRANT AMOUNT: 89,400.
 GRANT PURPOSE: MAPPING STATUS OF HARM REDUCTION PRODUCTS FRAMEWORK
 IN PAKISTAN.
 AMOUNT EXPENDED: 89,400.
 ANY DIVERSION? NO
 DATES OF REPORTS: VARIOUS
 VERIFICATION DATE: VAR
 RESULTS OF VERIFICATION:
 TOTAL EXPENDITURE OF \$89,425 WHICH IS 100% UTILIZATION OF THE GRANT
 AND RECOMMENDED FOR FUTURE FUNDING SUBJECT TO APPROVAL OF TECHNICAL
 DELIVERABLES ARE DULY MET AS PER OUR AGREED WORK PLAN.

GRANTEE'S NAME: ALTERNATIVE RESEARCH INITIATIVE

CONT'D ON NEXT PAGE

ATTACHMENT 10 (CONT'D)FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S ADDRESS: OFFICE #31, 1ST FL. AL-ANAYAT MALL.
 CITY, STATE & ZIP: ISLAMABAD
 FOREIGN COUNTRY: PAKISTAN G-11 MARKAZ
 GRANT DATE: 10/26/2018
 GRANT AMOUNT: 31,240.
 GRANT PURPOSE: BRIDGING GRANT
 AMOUNT EXPENDED:
 ANY DIVERSION? NO
 DATES OF REPORTS: NONE
 VERIFICATION DATE:
 RESULTS OF VERIFICATION:
 VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: ALTERNATIVE RESEARCH INITIATIVE
 GRANTEE'S ADDRESS: OFFICE #31, 1ST FL. AL-ANAYAT MALL.
 CITY, STATE & ZIP: ISLAMABAD
 FOREIGN COUNTRY: PAKISTAN G-11 MARKAZ
 GRANT DATE: 12/12/2018
 GRANT AMOUNT: 164,560.
 GRANT PURPOSE: KAP STUDY ON HRP USERS AND BARRIERS TO SMOKING
 CESSATION & HRP USE IN MARGINALIZED COMM.
 AMOUNT EXPENDED:
 ANY DIVERSION? NO
 DATES OF REPORTS: NONE
 VERIFICATION DATE:
 RESULTS OF VERIFICATION:
 VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: BEHAVIORAL DIAGNOSTICS LLC
 GRANTEE'S ADDRESS: 2500 CROSSPARK ROAD
 CITY, STATE & ZIP: CORALVILLE, IA 52241
 GRANT DATE: 10/08/2018
 GRANT AMOUNT: 78,533.
 GRANT PURPOSE: BIOMARKER RESEARCH PROGRAM
 AMOUNT EXPENDED: 78,533.
 ANY DIVERSION? NO
 DATES OF REPORTS: 2/10/2019
 VERIFICATION DATE: 02/12/2019
 RESULTS OF VERIFICATION:
 TOTAL SPENDING OF \$78,533 WHICH IS 100% UTILIZATION OF THE GRANT
 VALUE.

GRANTEE'S NAME: BRAC
 GRANTEE'S ADDRESS: BRAC CENTRE
 CITY, STATE & ZIP: 75 MOHAKHALI
 FOREIGN COUNTRY: BANGLADESH DHAKA 1212
 GRANT DATE: 05/31/2018
 GRANT AMOUNT: 64,151.
 GRANT PURPOSE: DOC. BEHAVIOR PATTERNS, RISK PERCEPTION & SOCIAL FACTOR
 THAT DISCOURAGE TB PATIENTS TO QUIT SMOKING

CONT'D ON NEXT PAGE

ATTACHMENT 10 (CONT'D)FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

AMOUNT EXPENDED: 32,075.

ANY DIVERSION? NO
 DATES OF REPORTS: 10/25/2018
 VERIFICATION DATE: 11/15/2018

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$32,075 WHICH IS 50% UTILIZATION OF THE GRANT.

GRANTEE'S NAME: CAMBRIDGE DESIGN PARTNERSHIP LTD
 GRANTEE'S ADDRESS: THE LONG BARN CHURCH ROAD,
 CITY, STATE & ZIP: TOFT
 FOREIGN PROVINCE: CAMBRIDGE
 FOREIGN COUNTRY: UNITED KINGDOM CB23 2RF
 GRANT DATE: 05/21/2018
 GRANT AMOUNT: 104,320.
 GRANT PURPOSE: EMERGING TECHNOLOGIES TO DRIVE MASS ADOPTION OF
 REDUCED-RISK PRODUCTS

AMOUNT EXPENDED: 104,320.

ANY DIVERSION? NO
 DATES OF REPORTS: 10/5/2018
 VERIFICATION DATE: 10/15/2018

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$104,320 WHICH IS 100% UTILIZATION OF THE GRANT AND RECOMMENDED FOR FUTURE FUNDING SUBJECT TO APPROVAL OF TECHNICAL DELIVERABLES ARE DULY MET AS PER OUR AGREED WORK PLAN.

GRANTEE'S NAME: CARLYLE GLOBAL PARTNERSHIP
 GRANTEE'S ADDRESS: ONE CHASE ROAD PENTHOUSE SCARSDALE
 CITY, STATE & ZIP: NEW YORK, NY 10583
 GRANT DATE: 11/12/2017
 GRANT AMOUNT: 200,000.
 GRANT PURPOSE: CO-HOST SUMMIT CELEBRATION OF KNOWLEDGE & INNOVATION
 AT THE NEXUS OF WELLNESS & SMOKE FREE WORLD

AMOUNT EXPENDED: 200,000.

ANY DIVERSION? NO
 DATES OF REPORTS: 07/10/2018
 VERIFICATION DATE: 01/02/2018

RESULTS OF VERIFICATION:

EVENT COMPLETED. MOUNT VERNON-WASHINGTON DC SUMMIT MARCH 20-22, 2018.

GRANTEE'S NAME: CARLYLE GLOBAL PARTNERSHIP
 GRANTEE'S ADDRESS: ONE CHASE ROAD PENTHOUSE SCARSDALE
 CITY, STATE & ZIP: NEW YORK, NY 10583
 GRANT DATE: 07/10/2018
 GRANT AMOUNT: 125,000.
 GRANT PURPOSE: CO-HOST SUMMIT CELEBRATION OF KNOWLEDGE & INNOVATION
 AT THE NEXUS OF WELLNESS & SMOKE FREE WORLD

AMOUNT EXPENDED: 125,000.

ANY DIVERSION? NO
 DATES OF REPORTS: 07/10/2018
 VERIFICATION DATE: 07/10/2018

CONT'D ON NEXT PAGE

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

RESULTS OF VERIFICATION:

EVENT COMPLETED. MONACO SUMMIT SEPTEMBER 22-25, 2018.

GRANTEE'S NAME: CENTRAL REGION MILK PRODUCERS ASSOCIAT.
 GRANTEE'S ADDRESS: P.O. BOX 376
 CITY, STATE & ZIP: LILONGWE
 FOREIGN COUNTRY: MALAWI
 GRANT DATE: 11/08/2018
 GRANT AMOUNT: 49,857.
 GRANT PURPOSE: CONCEPT STUDY: STRENGTHENING SMALLHOLDER DAIRY
 AGRIBUSINESS AS VIABLE ALTERNATIVE TO TOBACCO

AMOUNT EXPENDED:

ANY DIVERSION? NO
 DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: CENTRE FOR SUBSTANCE USE RESEARCH
 GRANTEE'S ADDRESS: BLOCK 3/2, WEST OF SCOTLAND SCIENCE
 CITY, STATE & ZIP: MARYHILL ROAD
 FOREIGN PROVINCE: GLASGOW
 FOREIGN COUNTRY: UNITED KINGDOM G20 OSP
 GRANT DATE: 05/31/2018
 GRANT AMOUNT: 96,063.
 GRANT PURPOSE: PROPOSAL TO DEVELOP A CENTRE OF EXCELLENCE IN
 BEHAVIOUR RESEARCH RELATED SMOKING CESSATION

AMOUNT EXPENDED:

ANY DIVERSION? NO
 DATES OF REPORTS: 10/14/2018
 VERIFICATION DATE: 10/15/2018

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$94,682 WHICH IS 98% UTILIZATION OF THE GRANT.

GRANTEE'S NAME: CENTRE FOR SUBSTANCE USE RESEARCH
 GRANTEE'S ADDRESS: BLOCK 3/2, WEST OF SCOTLAND SCIENCE
 CITY, STATE & ZIP: MARYHILL ROAD
 FOREIGN PROVINCE: GLASGOW
 FOREIGN COUNTRY: UNITED KINGDOM G20 OSP
 GRANT DATE: 05/31/2018
 GRANT AMOUNT: 92,941.
 GRANT PURPOSE: DETERMINANTS AND IMPACT OF SWITCHING TO REDUCED RISK
 PRODUCTS

AMOUNT EXPENDED:

ANY DIVERSION? NO
 DATES OF REPORTS: 10/14/2018
 VERIFICATION DATE: 10/15/2018

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$92,839 WHICH IS 100% UTILIZATION OF THE GRANT.
CONT'D ON NEXT PAGE

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: CHILD SIGHT FOUNDATION
 GRANTEE'S ADDRESS: APARTMENT A1 & B3,HOUSE 09,RD. 2/1
 CITY, STATE & ZIP: BANANI R/A
 FOREIGN COUNTRY: BANGLADESH DHAKA 1213
 GRANT DATE: 05/31/2018
 GRANT AMOUNT: 92,620.
 GRANT PURPOSE: SYSTEMATIC REVIEW & SITUATION ANALY. OF SMOKING & PERC.
 ABOUT HARM REDUCTION AMONGST SLUM DWELLERS
 AMOUNT EXPENDED: 24,217.
 ANY DIVERSION? NO
 DATES OF REPORTS: 08/05/2018
 VERIFICATION DATE: 08/07/2018
 RESULTS OF VERIFICATION:
 TOTAL EXPENDITURE OF \$24,217 THAT IS 26% OF UTILIZATION AGAINST
 APPROVED GRANT BUDGET OF \$92,620.

GRANTEE'S NAME: CLINIVANTAGE HEALTHCARE TECHNOLOGIES INC
 GRANTEE'S ADDRESS: 505 CENTO CT
 CITY, STATE & ZIP: PLEASANTON, CA 94566-6329
 GRANT DATE: 05/31/2018
 GRANT AMOUNT: 36,000.
 GRANT PURPOSE: PROPOSAL DEVELOP. FOR RURAL MARKET STUDY & UNDERSTAND
 DEPLOYMENT OF HARM REDUCTION ALTERNATIVES
 AMOUNT EXPENDED: 39,316.
 ANY DIVERSION? NO
 DATES OF REPORTS: 10/15/2018
 VERIFICATION DATE: 10/15/2018
 RESULTS OF VERIFICATION:
 TOTAL EXPENDITURE OF \$39,316 WHICH IS 100% UTILIZATION OF THE GRANT
 AND RECOMMENDED FOR FUTURE FUNDING SUBJECT TO APPROVAL OF TECHNICAL
 DELIVERABLES ARE DULY MET AS PER OUR AGREED WORK PLAN.

GRANTEE'S NAME: ECLAT SRL
 GRANTEE'S ADDRESS: UNIVERSITY OF CATANIA
 CITY, STATE & ZIP: TORRE BIOLOGICA
 FOREIGN PROVINCE: CATANIA, SICILY
 FOREIGN COUNTRY: ITALY
 GRANT DATE: 05/30/2018
 GRANT AMOUNT: 130,559.
 GRANT PURPOSE: CENTER OF EXCELLENCE FOR THE ACCELERATION OF HARM
 REDUCTION (COEHAR)
 AMOUNT EXPENDED: 124,543.
 ANY DIVERSION? NO
 DATES OF REPORTS: VARIOUS
 VERIFICATION DATE: VAR
 RESULTS OF VERIFICATION:
 TOTAL EXPENDITURE OF \$124,543 AGAINST GRANT VALUE OF \$130,559 THAT
 IS 95% UTILIZATION OF THE GRANT.

CONT'D ON NEXT PAGE

ATTACHMENT 10 (CONT'D)FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: ECLAT SRL
 GRANTEE'S ADDRESS: UNIVERSITY OF CATANIA
 CITY, STATE & ZIP: TORRE BIOLOGICA
 FOREIGN PROVINCE: CATANIA, SICILY
 FOREIGN COUNTRY: ITALY
 GRANT DATE: 09/28/2018
 GRANT AMOUNT: 75,246.
 GRANT PURPOSE: ACCELERATE AND IMPROVE SMOKING PRECISION AND DETECTION
 RATE OF MONITORING THE SMOKING EPISODES
 AMOUNT EXPENDED: 58,452.
 ANY DIVERSION? NO
 DATES OF REPORTS: 01/30/2019
 VERIFICATION DATE: 02/01/2019
 RESULTS OF VERIFICATION:
 TOTAL EXPENDITURE OF \$58,452 WHICH IS 78% OF UTILIZATION AGAINST
 APPROVED GRANT BUDGET OF \$75,245.

GRANTEE'S NAME: FILTER MAGAZINE
 GRANTEE'S ADDRESS: 447 BROADWAY, 2ND FLOOR
 CITY, STATE & ZIP: NY, NY 10013
 GRANT DATE: 12/04/2018
 GRANT AMOUNT: 190,000.
 GRANT PURPOSE: GENERAL SUPPORT. DELIVERABLES LISTED IN PROPOSAL.
 PLUS TO COVER COSTS FOR HOSTING CONSULTATION
 AMOUNT EXPENDED:
 ANY DIVERSION? NO
 DATES OF REPORTS: NONE
 VERIFICATION DATE:
 RESULTS OF VERIFICATION:
 VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: FOX FOUNDATION
 GRANTEE'S ADDRESS: 1002 S. CHURCH AVENUE, SUITE 10822
 CITY, STATE & ZIP: TAMPA, FL 33629
 GRANT DATE: 12/18/2018
 GRANT AMOUNT: 59,910.
 GRANT PURPOSE: SCOPING FOR BEHAVIORAL PSYCHOLOGY CENTRE OF EXCELLENCE
 AMOUNT EXPENDED:
 ANY DIVERSION? NO
 DATES OF REPORTS: NONE
 VERIFICATION DATE:
 RESULTS OF VERIFICATION:
 VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: INTL. NET. OF NICOTINE CONSUMER ORGAN.
 GRANTEE'S ADDRESS: QUAI DE L'ILE 13
 CITY, STATE & ZIP: GENEVA
 FOREIGN COUNTRY: SWITZERLAND CH-1204

CONT'D ON NEXT PAGE

ATTACHMENT 10 (CONT'D)FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANT DATE: 11/26/2018
 GRANT AMOUNT: 100,300.
 GRANT PURPOSE: STRENGTHENING NICOTINE CONSUMER ORGANIZATIONS
 AMOUNT EXPENDED:
 ANY DIVERSION? NO
 DATES OF REPORTS: NONE
 VERIFICATION DATE:
 RESULTS OF VERIFICATION:
 VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: INTL FCSTONE FINANCIAL INC.
 GRANTEE'S ADDRESS: 1251 NW BRIARCLIFF PKWY SUITE 800
 CITY, STATE & ZIP: KANSAS CITY, MO 64116
 GRANT DATE: 05/31/2018
 GRANT AMOUNT: 10,500.
 GRANT PURPOSE: EVENT: SOUTHERN AFRICA STRUCTURED TRADE SEMINAR
 26-29 JUNE 2018

AMOUNT EXPENDED:
 ANY DIVERSION? NO
 DATES OF REPORTS: NONE
 VERIFICATION DATE:
 RESULTS OF VERIFICATION:
 VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: KNOWLEDGE ACTION CHANGE
 GRANTEE'S ADDRESS: 8 NORTHUMBERLAND AVENUE
 CITY, STATE & ZIP: LONDON
 FOREIGN COUNTRY: UNITED KINGDOM WC2N 5BY
 GRANT DATE: 09/19/2018
 GRANT AMOUNT: 69,000.
 GRANT PURPOSE: PRODUCTION OF A COMBINATION OF ONLINE JOURNALS

AMOUNT EXPENDED:
 ANY DIVERSION? NO
 DATES OF REPORTS: NONE
 VERIFICATION DATE:
 RESULTS OF VERIFICATION:
 VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: KNOWLEDGE ACTION CHANGE
 GRANTEE'S ADDRESS: 8 NORTHUMBERLAND AVENUE
 CITY, STATE & ZIP: LONDON
 FOREIGN COUNTRY: UNITED KINGDOM WC2N 5BY
 GRANT DATE: 10/01/2018
 GRANT AMOUNT: 176,700.
 GRANT PURPOSE: TO PRODUCE THE FIRST EDITION OF THE GLOBAL STATE OF
 TOBACCO HARM REDUCTION (GSTHR)

AMOUNT EXPENDED:
 ANY DIVERSION? NO
 DATES OF REPORTS: NONE
 VERIFICATION DATE:

CONT'D ON NEXT PAGE

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: KNOWLEDGE ACTION CHANGE
GRANTEE'S ADDRESS: 8 NORTHUMBERLAND AVENUE
CITY, STATE & ZIP: LONDON
FOREIGN COUNTRY: UNITED KINGDOM WC2N 5BY
GRANT DATE: 10/01/2018
GRANT AMOUNT: 306,373.
GRANT PURPOSE: INCREASE CAPACITY FOR CONDUCTING AND UNDERSTANDING RESEARCH RELATED TO TOBACCO HARM REDUCTION

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: KNOWLEDGE ACTION CHANGE
GRANTEE'S ADDRESS: 8 NORTHUMBERLAND AVENUE
CITY, STATE & ZIP: LONDON
FOREIGN COUNTRY: UNITED KINGDOM WC2N 5BY
GRANT DATE: 10/23/2018
GRANT AMOUNT: 32,000.
GRANT PURPOSE: TRANSLATION OF EXECUTIVE SUMMARY OF GSTH REPORT FROM ENGLISH TO ARABIC, CHINESE, FRENCH, RUSSIAN AND SPANISH

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: KNOWLEDGE ACTION CHANGE
GRANTEE'S ADDRESS: 8 NORTHUMBERLAND AVENUE
CITY, STATE & ZIP: LONDON
FOREIGN COUNTRY: UNITED KINGDOM WC2N 5BY
GRANT DATE: 10/26/2018
GRANT AMOUNT: 467,291.
GRANT PURPOSE: GLOBAL STATE OF TOBACCO HARM REDUCTION ROAD SHOWS

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: LUMOS DIAGNOSTICS PTY LTD
GRANTEE'S ADDRESS: 2724 LOKER AVE WEST

CONT'D ON NEXT PAGE

ATTACHMENT 10 (CONT'D)FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

CITY, STATE & ZIP: CARLSBAD, CA 92010
 GRANT DATE: 10/08/2018
 GRANT AMOUNT: 92,210.
 GRANT PURPOSE: BIOMARKER RESEARCH PROGRAM
 AMOUNT EXPENDED: 92,210.
 ANY DIVERSION? NO
 DATES OF REPORTS: 2/13/2019
 VERIFICATION DATE: 02/20/2019
 RESULTS OF VERIFICATION:
 TOTAL EXPENDITURE OF \$92,210 WHICH IS 100% OF UTILIZATION

GRANTEE'S NAME: METAFORM VENTURES LLC
 GRANTEE'S ADDRESS: 505 CENTO CT
 CITY, STATE & ZIP: PLEASANTON, CA 94566-6329
 GRANT DATE: 05/31/2018
 GRANT AMOUNT: 100,000.
 GRANT PURPOSE: PROPOSAL DEVELOPMENT FOR ESTABLISHING CENTER OF
 EXCELLENCE IN INDIA
 AMOUNT EXPENDED: 100,000.
 ANY DIVERSION? NO
 DATES OF REPORTS: VARIOUS
 VERIFICATION DATE: VAR
 RESULTS OF VERIFICATION:
 TOTAL EXPENDITURE OF \$107,068 WHICH IS 100% UTILIZATION OF THE GRANT
 WITH EXCESS BALANCE FUNDED BY THE GRANTEE.

GRANTEE'S NAME: METAFORM VENTURES LLC
 GRANTEE'S ADDRESS: 505 CENTO CT
 CITY, STATE & ZIP: PLEASANTON, CA 94566-6329
 GRANT DATE: 12/07/2018
 GRANT AMOUNT: 17,282.
 GRANT PURPOSE: BRIDGING GRANT
 AMOUNT EXPENDED:
 ANY DIVERSION? NO
 DATES OF REPORTS: NONE
 VERIFICATION DATE:
 RESULTS OF VERIFICATION:
 VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: NANOCOMPOSIX
 GRANTEE'S ADDRESS: 4878 RONSON COURT SUITE J
 CITY, STATE & ZIP: SAN DIEGO, CA 92111
 GRANT DATE: 10/08/2018
 GRANT AMOUNT: 67,840.
 GRANT PURPOSE: BIOMARKER RESEARCH PROGRAM
 AMOUNT EXPENDED: 67,840.
 ANY DIVERSION? NO
 DATES OF REPORTS: 1/8/2019
 VERIFICATION DATE: 01/28/2019

CONT'D ON NEXT PAGE

ATTACHMENT 10 (CONT'D)FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

RESULTS OF VERIFICATION:

TOTAL UTILIZATION OF 100% IN SCOPING GRANT

GRANTEE'S NAME: P.N.SRIVASTAVA FND. FOR SCIENTIFIC EDUCATION & RESEARCH
 GRANTEE'S ADDRESS: NORTH-EASTERN HILL UNIVERSITY
 CITY, STATE & ZIP: UMSHING
 FOREIGN PROVINCE: SHILLONG
 FOREIGN COUNTRY: INDIA 793 022
 GRANT DATE: 05/31/2018
 GRANT AMOUNT: 67,600.
 GRANT PURPOSE: DETAILED PROJECT PROPOSAL DEVELOP. FOR ESTABLISH. OF A
 CENTER OF EXCELL. ON HARM REDUCTION SCIENCE

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: PATRAS SCIENCE PARK
 GRANTEE'S ADDRESS: UNIVERSITY CAMPUS
 CITY, STATE & ZIP: RIO ACHAIA
 FOREIGN COUNTRY: GREECE 26504
 GRANT DATE: 05/30/2018
 GRANT AMOUNT: 91,850.
 GRANT PURPOSE: DEVELOP. OF INSTI. FOR RES. & INNO. ON THR - PERCEIVED
 RISK STUDY & INTERVENTION OF SMOKE FREE PROD.

AMOUNT EXPENDED:

82,657.

ANY DIVERSION? NO

DATES OF REPORTS: VARIOUS

VERIFICATION DATE: VAR

RESULTS OF VERIFICATION:

TOTAL EXPENDITURE OF \$82,657 WHICH IS 90% UTILIZATION OF THE GRANT.
 THE GRANTEE HAS CONFIRMED THAT BALANCE MONEY WILL BE UTILIZED DURING
 THE EXTENSION PERIOD.

GRANTEE'S NAME: PATRAS SCIENCE PARK
 GRANTEE'S ADDRESS: UNIVERSITY CAMPUS
 CITY, STATE & ZIP: RIO ACHAIA
 FOREIGN COUNTRY: GREECE 26504
 GRANT DATE: 11/16/2018
 GRANT AMOUNT: 14,135.
 GRANT PURPOSE: BRIDGING GRANT

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: NONE

VERIFICATION DATE:

RESULTS OF VERIFICATION:

VERIFICATION HAS NOT YET TAKEN PLACE

CONT'D ON NEXT PAGE

ATTACHMENT 10 (CONT'D)FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: QBAL (QUALITY BELLIGERENCE)
 GRANTEE'S ADDRESS: OFFICE NO 1, 2ND FLOOR, PLOT NO 5,
 CITY, STATE & ZIP: JASPAL ARCADE
 FOREIGN PROVINCE: ISLAMABAD
 FOREIGN COUNTRY: PAKISTAN G-8/4
 GRANT DATE: 05/31/2018
 GRANT AMOUNT: 100,000.
 GRANT PURPOSE: WHO FRAMEWORK CONVENTION ON TOBACCO CONTROL FOR FARMERS TOO
 AMOUNT EXPENDED: 100,000.
 ANY DIVERSION? NO
 DATES OF REPORTS: VARIOUS
 VERIFICATION DATE: VAR
 RESULTS OF VERIFICATION:
 TOTAL EXPENDITURE OF \$100,000 WHICH IS 100% UTILIZATION OF THE GRANT.
 RECOMMENDED FOR FUTURE FUNDING SUBJECT TO APPROVAL OF TECHNICAL
 DELIVERABLES ARE DULY MET AS PER OUR AGREED WORK PLAN.

GRANTEE'S NAME: QBAL (QUALITY BELLIGERENCE)
 GRANTEE'S ADDRESS: OFFICE NO 1, 2ND FLOOR, PLOT NO 5,
 CITY, STATE & ZIP: JASPAL ARCADE
 FOREIGN PROVINCE: ISLAMABAD
 FOREIGN COUNTRY: PAKISTAN G-8/4
 GRANT DATE: 11/06/2018
 GRANT AMOUNT: 15,000.
 GRANT PURPOSE: WHO FRAMEWORK CONVENTION ON TOBACCO CONTROL FOR
 FARMERS TOO - BRIDGING GRANT
 AMOUNT EXPENDED:
 ANY DIVERSION? NO
 DATES OF REPORTS: NONE
 VERIFICATION DATE:
 RESULTS OF VERIFICATION:
 VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: SOUTHERN AFRICAN FND. FOR AGRICULTURE & CLIMATE CHANGE
 GRANTEE'S ADDRESS: ELINA & EVELYN CENTRE, P.O. BOX 379
 CITY, STATE & ZIP: LILONGWE
 FOREIGN COUNTRY: MALAWI
 GRANT DATE: 05/31/2018
 GRANT AMOUNT: 80,100.
 GRANT PURPOSE: TRANSITIONING TOBACCO FARMERS TO ALTER. LIVELIHOODS
 AMOUNT EXPENDED: 40,127.
 ANY DIVERSION? NO
 DATES OF REPORTS: 9/10/2018
 VERIFICATION DATE: 09/17/2018
 RESULTS OF VERIFICATION:
 TOTAL EXPENDITURE OF \$40,127 WHICH IS 50% OF UTILIZATION AGAINST
 APPROVED GRANT BUDGET OF \$80,100.

GRANTEE'S NAME: UNIVERSITY OF CAPE TOWN

CONT'D ON NEXT PAGE

ATTACHMENT 10 (CONT'D)FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S ADDRESS: UNIVERSITY OF CAPE TOWN RM6 N. WING
 CITY, STATE & ZIP: CAPE TOWN
 FOREIGN COUNTRY: SOUTH AFRICA 7925
 GRANT DATE: 05/31/2018
 GRANT AMOUNT: 70,800.
 GRANT PURPOSE: AFRICAN CENTRE OF EXCELLENCE FOR SMOKING AND
 MENTAL HEALTH
 AMOUNT EXPENDED: 24,517.
 ANY DIVERSION? NO
 DATES OF REPORTS: 11/8/2018
 VERIFICATION DATE: 11/15/2018
 RESULTS OF VERIFICATION:
 TOTAL EXPENDITURE OF \$24,517 WHICH IS 35% UTILIZATION OF THE GRANT.

GRANTEE'S NAME: UNIVERSITY OF STELLENBOSCH
 GRANTEE'S ADDRESS: P O BOX 610
 CITY, STATE & ZIP: BELLVILLE
 FOREIGN COUNTRY: SOUTH AFRICA 7535
 GRANT DATE: 06/01/2018
 GRANT AMOUNT: 85,000.
 GRANT PURPOSE: AN ETHICAL FRAMEWORK FOR A SMOKE-FREE WORLD
 AMOUNT EXPENDED: 82,549.
 ANY DIVERSION? NO
 DATES OF REPORTS: VARIOUS
 VERIFICATION DATE: VAR
 RESULTS OF VERIFICATION:
 TOTAL EXPENDITURE OF \$82,549 THAT IS 97% OF UTILIZATION AGAINST
 APPROVED GRANT BUDGET OF \$85,000.

GRANTEE'S NAME: YOUTH BRIDGE, INC.
 GRANTEE'S ADDRESS: LCUNA BUILDING
 CITY, STATE & ZIP: BOB TAYLOR'S JUNCTION-PAYNESVILLE
 FOREIGN PROVINCE: LIBERIA, WEST AFRICA
 FOREIGN COUNTRY: LIBERIA
 GRANT DATE: 05/31/2018
 GRANT AMOUNT: 55,458.
 GRANT PURPOSE: IN-DEPTH ASSESSMENT ON THE CULTURE OF SMOKING IN
 LIBERIA
 AMOUNT EXPENDED: 55,458.
 ANY DIVERSION? NO
 DATES OF REPORTS: VARIOUS
 VERIFICATION DATE: VAR
 RESULTS OF VERIFICATION:
 TOTAL EXPENDITURE OF \$55,458 WHICH IS 100% UTILIZATION OF THE GRANT.
 RECOMMENDED FOR FUTURE FUNDING SUBJECT TO APPROVAL OF TECHNICAL
 DELIVERABLES ARE DULY MET AS PER OUR AGREED WORK PLAN.

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ATTACHMENT 10 (CONT'D)FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: YOUTH BRIDGE, INC.
 GRANTEE'S ADDRESS: LCUNA BUILDING
 CITY, STATE & ZIP: BOB TAYLOR'S JUNCTION-PAYNESVILLE
 FOREIGN PROVINCE: LIBERIA, WEST AFRICA
 FOREIGN COUNTRY: LIBERIA
 GRANT DATE: 10/26/2018
 GRANT AMOUNT: 10,050.
 GRANT PURPOSE: BRIDGING GRANT
 AMOUNT EXPENDED: 10,050.
 ANY DIVERSION? NO
 DATES OF REPORTS: 1/2/2019
 VERIFICATION DATE: 01/28/2019
 RESULTS OF VERIFICATION:
 THE TOTAL UTILIZATION OF 100% IN BRIDGE GRANT.

GRANTEE'S NAME: YOUTH BRIDGE, INC.
 GRANTEE'S ADDRESS: LCUNA BUILDING
 CITY, STATE & ZIP: BOB TAYLOR'S JUNCTION-PAYNESVILLE
 FOREIGN PROVINCE: LIBERIA, WEST AFRICA
 FOREIGN COUNTRY: LIBERIA
 GRANT DATE: 12/12/2018
 GRANT AMOUNT: 85,575.
 GRANT PURPOSE: FRAMING PRACTICAL SOLUTIONS TO ENDING SMOKING IN
 LIBERIA
 AMOUNT EXPENDED:
 ANY DIVERSION? NO
 DATES OF REPORTS: NONE
 VERIFICATION DATE:
 RESULTS OF VERIFICATION:
 VERIFICATION HAS NOT YET TAKEN PLACE

GRANTEE'S NAME: CENTRE OF RESEARCH EXCELLENCE ON INDIGENOUS SOV
 GRANTEE'S ADDRESS: 109 CARLISLE ROAD
 CITY, STATE & ZIP: TORBAY
 FOREIGN PROVINCE: AUCKLAND
 FOREIGN COUNTRY: NEW ZEALAND 0632
 GRANT DATE: 05/30/2018
 GRANT AMOUNT: 978,449.
 GRANT PURPOSE: PROPOSAL TO BUILD A GLOBAL CENTRE FOR SMOKING CESSATION
 AND HARM REDUCTION IN INDIGENOUS PEOPLE
 AMOUNT EXPENDED: 489,225.
 ANY DIVERSION? NO
 DATES OF REPORTS: VARIOUS
 VERIFICATION DATE: VAR
 RESULTS OF VERIFICATION:
 TOTAL EXPENDITURE OF \$489,225 WHICH IS 50% OF UTILIZATION AGAINST
 APPROVED GRANT BUDGET OF \$978,449.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
DEREK YACH 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	PRESIDENT/DIRECTOR 40.00	795,744.	17,956.	0.
MICHAEL SAGNER 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 10.00	19,028.	0.	0.
LISA GABLE 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	CHAIR/DIRECTOR 10.00	88,655.	0.	0.
ZOE FELDMAN 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 10.00	37,500.	0.	0.
DYBORN CHIBONGA 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR 10.00	37,500.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 11 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
THOMAS HARDING 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	CHIEF OPERATING OFFICER 40.00	494,686.	17,654.	0.
PAMELA PARIZEK 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR BEG. 03/22/18 10.00	28,833.	0.	0.
MARTIN SKANCKE 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR BEG. 01/23/2018 10.00	34,410.	0.	0.
ANDREW MACLEOD 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR BEG. 03/22/2018 10.00	44,262.	0.	0.
DARSHITA GILLIES 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR BEG. 08/09/2018 10.00	3,397.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 11 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
PAUL GARDNER 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR BEG. 08/09/2018 10.00	3,397.	0.	0.
CORINNA LATHAN 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR BEG. 08/09/2018 10.00	3,397.	0.	0.
NORIKO TOJO 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	DIRECTOR BEG. 11/12/2018 10.00	0.	0.	0.
ELIZABETH A. SMITH 575 5TH AVENUE 14TH FL NEW YORK, NY 10017	SECRETARY 10.00	0.	0.	0.
	GRAND TOTALS	<u>1,590,809.</u>	<u>35,610.</u>	<u>0.</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>
FARHAD RIAHI 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	CHIEF-HEALTH&SCIENCE 40.00	442,795.	9,494.
JAMES LUTZWEILER 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-AGRICULTURE 40.00	350,711.	24,391.
HEATHER MAJEWSKI 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-SHARED VALUE INIT 40.00	344,308.	23,493.
MICA WILSON 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-MKT&COMM. 40.00	225,778.	13,292.
BRIAN ERKKILA 575 5TH AVENUE, 14TH FLOOR NEW YORK, NY 10017	VP-HEALTH,SCI&TECH 40.00	170,111.	12,617.
	TOTAL COMPENSATION	<u>1,533,703.</u>	<u>83,287.</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
OGILVY PUBLIC RELATIONS WORLDWIDE 245 1ST STREET, 10TH FLOOR CAMBRIDGE, MA 02124	PUBLIC RELATIONS	5,217,959.
BAKER & HOSTETLER LLP 45 ROCKEFELLER PLAZA NEW YORK, NY 10111	LEGAL FEES	2,114,296.
CROSS MY HEART PRODUCTIONS LLC 4347 W. NORTHWEST HWY. 120-346 DALLAS, TX 75220	MEDIA & PRODUCTION	770,073.
MERCURY PUBLIC AFFAIRS 1 ELM ST WESTFIELD, NJ 07090	PUBLIC RELATIONS	664,616.
A-CONNECT (US) INC. 565 5TH AVE #501 NEW YORK, NY 10017	CONSULTING	610,508.
	TOTAL COMPENSATION	<u>9,377,452.</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

APPLICATION SHOULD BE ADDRESSED TO:

URL:SMOKEFREEWORLD.ORG

SUPPORT@SMOKEFREEWORLD.ORG

FORM IN WHICH APPLICATION SHOULD BE SUBMITTED AND INFORMATION THEY SHOULD INCLUDE:

SEE SPECIFIC RFP INFORMATION POSTED ON THE FOUNDATION'S WEBSITE

SUBMISSION DEADLINES:

SEE SPECIFIC RFP INFORMATION POSTED ON THE FOUNDATION'S WEBSITE

RESTRICTIONS OR LIMITATIONS ON AWARDS:

THE FOUNDATION ISSUES REQUESTS FOR PROPOSALS (RFPS) TO ENLIST SUPPORT TO ACCELERATE THE GLOBAL DECLINE IN SMOKING. THE FOUNDATION RECOGNIZES THAT COUNTRIES AT DIFFERENT LEVELS OF ECONOMIC DEVELOPMENT WILL NEED DIFFERENT SOLUTIONS, AND THAT ADVANCES IN BEHAVIORAL SCIENCE AND TECHNOLOGY CAN PLAY A KEY ROLE IN HARM REDUCTION RELATIVE TO SMOKING. THE FOUNDATION PROVIDES GRANTS TO SUPPORT WORK THAT IS BEING CONDUCTED BY INDEPENDENT RESEARCH ORGANIZATIONS, ACADEMIC INSTITUTIONS AND CENTERS, AND ORGANIZATIONS IN THE PRIVATE SECTOR.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE CONRAD FOUNDATION 1120 NASA PARKWAY SUITE 108 HOUSTON, TX 77058	N/A PC	SPONSORSHIP OF THE 2018-2019 CONRAD SPIRIT OF INNOVATION CHALLENGE.	174,113.
CARLYLE GLOBAL PARTNERSHIP ONE CHASE ROAD PENTHOUSE SCARSDALE, NY 10583	N/A NC	CO-HOST THE SUMMIT 'A CELEBRATION OF KNOWLEDGE AND INNOVATION AT THE NEXUS OF WELLNESS AND A SMOKE-FREE WORLD'.	275,000.
ABF GMBH SEMMELWEISSTRASSE 5 PLANEGG GERMANY 82152	N/A NC	PHASE 1 BIOMARKER RESEARCH	15,000.
ACK CONSULTANTS BURFIELD HOUSE 121-123 ATHELSTAN RD FAVERSHAM UNITED KINGDOM ME13 8QW	N/A NC	ALTERNATIVE LIVELIHOODS FOR TOBACCO FARMERS IN DEVELOPING COUNTRIES	100,000.
ALTERNATIVE RESEARCH INITIATIVE OFFICE #31, 1ST FL. AL-ANAYAT MALL. ISLAMABAD PAKISTAN G-11 MARKAZ	N/A NC	KAP STUDY ON HRP USERS AND BARRIERS TO SMOKING CESSATION AND HRP USE IN MARGINALIZED COMMUNITIES; FRAMEWORK IN PAKISTAN; BRIDGING GRANT	161,780.
BEHAVIORAL DIAGNOSTICS LLC 2500 CROSSPARK ROAD CORALVILLE, IA 52241	N/A NC	BIOMARKER RESEARCH PROGRAM	78,533.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
BRAC RESEARCH AND EVALUATION DIVISION BRAC CENTRE 75 MOHAKHALI DHAKA BANGLADESH 1212	N/A NC	DOCUMENTING BEHAVIOR PATTERNS, RISK PERCEPTION AND SOCIAL FACTORS THAT DISCOURAGE TB PATIENTS TO QUIT SMOKING	32,075.
CAMBRIDGE DESIGN PARTNERSHIP LTD THE LONG BARN, CHURCH ROAD, TOFT CAMBRIDGE UNITED KINGDOM CB23 2RF	N/A NC	EMERGING TECHNOLOGIES TO DRIVE MASS ADOPTION OF REDUCED-RISK PRODUCTS	104,320.
CENTRAL REGION MILK PRODUCERS ASSOCIATION P.O. BOX 376 LILONGWE MALAWI	N/A NC	CONCEPT STUDY: STRENGTHENING SMALLHOLDER DAIRY AGRIBUSINESS AS VIABLE ALTERNATIVE TO TOBACCO	24,929.
CENTRE FOR SUBSTANCE USE RESEARCH BLOCK 3/2, W.OF SCOTLAND SCIENCE PK MARYHILL ROAD GLASGOW UNITED KINGDOM G20 0SP	N/A NC	PROPOSAL TO DEVELOP A CENTRE OF EXCELLENCE IN BEHAVIOURAL RESEARCH RELATED TO ANDS AND SMOKING CESSATION; WHY DO SMOKERS SWITCH TO USING ALTERNATIVE NICOTINE DELIVERY SYSTEMS TECHNOLOGY, AND WHAT HAPPENS WHEN THEY DO?	189,004.
CENTRE OF RESEARCH EXCELLENCE ON INDIGENOUS SOV 109 CARLISLE ROAD TORBAY AUCKLAND NEW ZEALAND 0632	N/A NC	PROPOSAL TO BUILD A GLOBAL CENTRE FOR SMOKING CESSATION AND HARM REDUCTION IN INDIGENOUS PEOPLE	489,225.
CHILD SIGHT FOUNDATION APARTMENT A1 & B3, HOUSE 09, ROAD 2/1 BANANI R/A DHAKA1213 BANGLADESH	N/A NC	SYSTEMATIC REVIEW AND SITUATION ANALYSIS OF SMOKING AND PERCEPTIONS ABOUT HARM REDUCTION AMONGST SLUM DWELLERS IN DHAKA CITY	92,620.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
CLINIVANTAGE HEALTHCARE TECHNOLOGIES, INC 505 CENTO CT, PLEASANTON, CA 94566-6329	N/A NC	PROPOSAL DEVELOPMENT FOR RURAL MARKET STUDY AND UNDERSTAND DEPLOYMENT OF HARM REDUCTION ALTERNATIVES	36,000.
ECLAT SRL UNIVERSITY OF CATANIA TORRE BIOLOGICA CATANIA, SICILY ITALY	N/A NC	CENTER OF EXCELLENCE FOR THE ACCELERATION OF HARM REDUCTION (COEHAR); ACCELERATE AND IMPROVE SMOKING PRECISION AND DETECTION RATE OF MONITORING THE SMOKING EPISODES BY USING THE SMOKEBEAT TECHNOLOGY	205,805.
FOX FOUNDATION 1002 S. CHURCH AVENUE, SUITE 10822 TAMPA, FL 33629	N/A PF	SCOPING FOR BEHAVIORAL PSYCHOLOGY CENTRE OF EXCELLENCE	59,910.
INTL. NETWORK OF NICOTINE CONSUMER ORGANIZATIONS QUAI DE L'ILE 13 GENEVA SWITZERLAND CH-1204	N/A NC	STRENGTHENING NICOTINE CONSUMER ORGANIZATIONS. THE ASSOCIATION AIMS TO MINIMISE THE GLOBAL HARM POSED BY COMBUSTIBLE TOBACCO AND UNSAFE FORMS OF ORAL TOBACCO BY MONITORING, SUPPORTING AND PROMOTING THE NEEDS AND RIGHTS OF NICOTINE USERS TO REDUCE THEIR HEALTH RISK BY CHOOSING SIGNIFICANTLY SAFER FORMS OF USE.	50,150.
KNOWLEDGE ACTION CHANGE 8 NORTHUMBERLAND AVENUE LONDON UNITED KINGDOM WC2N 5BY	N/A NC	PRODUCTION OF A COMBINATION OF ONLINE BROADCASTS, SPECIAL REPORTS, INTERVIEWS, AND PHOTOJOURNALISM PIECES TO INCREASE THE PROFILE AND EXTEND THE DISSEMINATION OF THE GTHR REPORT WORLDWIDE AND SPECIALLY AT COP8; TO PRODUCE THE FIRST EDITION OF THE GLOBAL STATE OF TOBACCO HARM REDUCTION (GSTR); INCREASE CAPACITY FOR CONDUCTING AND UNDERSTANDING RESEARCH RELATED TO TOBACCO HARM REDUCTION; TRANSLATION OF THE EXECUTIVE SUMMARY OF GSTR REPORT FROM ENGLISH TO ARABIC, CHINESE, FRENCH, RUSSIAN AND SPANISH.	787,082.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
LUMOS DIAGNOSTICS PTY LTD 2724 LOKER AVE WEST CARLSBAD, CA 92010	N/A NC	BIOMARKER RESEARCH PROGRAM	92,210.
METAFORM VENTURES LLC 505 CENTO CT PLEASANTON, CA 94566-6329	N/A NC	PROPOSAL DEVELOPMENT FOR ESTABLISHING CENTER OF EXCELLENCE IN INDIA; BRIDGING GRANT	117,282.
NANOCOMPOSIX 4878 RONSON COURT SUITE J SAN DIEGO, CA 92111	N/A NC	BIOMARKER RESEARCH PROGRAM	67,840.
P. N. SRIVASTAVA FOUN. FOR SCIENTIFIC EDU. & RES RADIATION & MOLECULAR BIOLOGY UNIT UMSHING SHILLONG INDIA 793 022	N/A NC	DETAILED PROJECT PROPOSAL DEVELOPMENT FOR ESTABLISHMENT OF A CENTER OF EXCELLENCE ON HARM REDUCTION SCIENCE	33,800.
PATRAS SCIENCE PARK UNIVERSITY CAMPUS RIO ACHAIA GREECE 26504	N/A NC	DEVELOPMENT OF AN INSTITUTE FOR RESEARCH AND INNOVATION ON THE PERCEIVED RISK STUDY AND INTERVENTION OF SMOKE-FREE PRODUCTS IN GREECE; BRIDGING GRANT	105,985.
QBAL (QUALITY BELLIGERANCE) OFFICE NO 1, 2ND FL, PLOT NO5 ISLAMABAD PAKISTAN	N/A NC	WHO FRAMEWORK CONVENTION ON TOBACCO CONTROL FOR FARMERS TOO - BRIDGING GRANT	115,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
SOUTHERN AFRICAN FND. FOR AGRI. & CLIMATE CHANGE ELINA & EVELYN CENTRE, P.O. BOX 379 LILONGWE MALAWI	N/A NC	TRANSITIONING TOBACCO FARMERS TO ALTERNATIVE LIVELIHOODS	80,100.
UNIVERSITY OF CALIFORNIA SAN FRANCISCO 2 KORET WAY SAN FRANCISCO, CA 94143	N/A GOV	COMPARATIVE TOBACCO INTERVENTIONS FOR INDIVIDUALS WITH SEVERE AND PERSISTING BEHAVIORAL HEALTH DISORDERS	70,521.
UNIVERSITY OF CAPE TOWN, DEPARTMENT OF PSYCHIATRY DEPART. OF PSYCHIATRY & MENTAL HEAL CAPE TOWN SOUTH AFRICA	N/A NC	TOWARDS AN AFRICAN CENTRE OF EXCELLENCE FOR SMOKING AND MENTAL HEALTH	70,800.
UNIVERSITY OF STELLENBOSCH, BUSINESS SCHOOL PO BOX 610 BELLVILLE 7535 SOUTH AFRICA	N/A NC	AN ETHICAL FRAMEWORK FOR A SMOKE-FREE WORLD	85,000.
MICHIGAN STATE UNIVERSITY (MSU) ALLIANCE FOR AFRICAN PARTNERSHIP 427 NORTH SHAW LANE RM 100 EAST LANSING, MI 48824	N/A GOV	TO CREATE AN ENABLING POLICY ENVIRONMENT FOR ECONOMIC AND AGRICULTURAL TRANSFORMATION IN MALAWI.	2,600,000.
YOUTH BRIDGE, INC. LCUNA BUILDING BOB TAYLOR'S JUNCTION-PAYNESVILLE LIBERIA	N/A NC	IN-DEPTH ASSESSMENT ON THE CULTURE OF SMOKING IN LIBERIA; BRIDGING GRANT; FRAMING PRACTICAL SOLUTIONS TO ENDING SMOKING IN LIBERIA	86,902.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
UNIVERSITY OF VIRGINIA, INST.FOR ENVIRON. DIALOGUE P.O. BOX 400179 CHARLOTTESVILLE, VA 22904-4179	N/A GOV	DIALOGUE ENTITLED "BREAKING THE CIGARETTE HABIT IN AN EVOLVING TOBACCO, NICOTINE AND ALTERNATIVE PRODUCTS LANDSCAPE" HELD IN OCT 2018.	45,000.
INTL FCSTONE FINANCIAL INC. 1251 NW BRIARCLIFF PKWY SUITE 800 KANSAS CITY, MO 64116	N/A NC	GOLD SPONSORSHIP AND FIVE PARTICIPANTS. SOUTHERN AFRICA STRUCTURED TRADE SEMINAR 26-29 JUNE 2018. KEY SET OF MEETINGS THAT INITIATED CONVERSATIONS WITH USAID, AFRICAN DEVELOPMENT BANK, AND OTHER KEY STAKEHOLDERS.	10,500.
TOTAL CONTRIBUTIONS PAID			<u>6,456,486.</u>

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 16

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE CONRAD FOUNDATION 1120 NASA PARKWAY SUITE 108 HOUSTON, TX 77058	N/A PC	SPONSORSHIP OF THE 2018-2019 CONRAD SPIRIT OF INNOVATION CHALLENGE.	125,000.
KNOWLEDGE ACTION CHANGE 8 NORTHUMBERLAND AVENUE LIMITED LONDON WC2N 5BY UNITED KINGDOM	N/A NC	INCREASE CAPACITY FOR CONDUCTING AND UNDERSTANDING RESEARCH RELATED TO TOBACCO HARM REDUCTION; GLOBAL STATE OF TOBACCO HARM REDUCTION ROAD SHOWS	264,283.
ALTERNATIVE RESEARCH INITIATIVE OFFICE #31, 1ST FL. AL-ANAYAT MALL PAKISTAN G-11 MARKAZ	N/A NC	KAP STUDY ON HRP USERS AND BARRIERS TO SMOKING CESSATION AND HRP USE IN MARGINALIZED COMMUNITIES	123,420.
ASIA PUBLIC HISTORY FOUNDATION INC 26 EVERETT ST CHILD HALL 115 CAMBRIDGE, MA 02138	N/A PC	SMOKY HORIZONS: TOBACCO AND EMPIRE IN ASIA (1850-1950)	50,000.
CENTRAL REGION MILK PRODUCERS ASSOCIATION P.O. BOX 376 LILONGWE MALAWI	N/A NC	CONCEPT STUDY: STRENGTHENING SMALLHOLDER DAIRY AGRIBUSINESS AS VIABLE ALTERNATIVE TO TOBACCO	24,928.
DREXEL UNIVERSITY 3141 CHESTNUT ST PHILADELPHIA, PA 19104	N/A PC	DEVELOPMENT OF A PROPOSAL TO STUDY THE IMPACTS OF RESIDUAL E-CIGARETTE EFFLUENT AND TOBACCO SMOKE RESIDUE TO INDOOR PARTICULATE AND ASSOCIATED HEALTH IMPACTS	69,711.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 16 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
FILTER MAGAZINE THE INFLUENCE FOUNDATION, INC. 447 BROADWAY, 2ND FLOOR NY, NY 10013	N/A POF	FILTER WILL PUBLISH A MINIMUM AVERAGE OF FEATURE-LENGTH PIECES, SHORT PIECES, AND ORIGINAL VIDEOS AS SPECIFIED BY CONTRACT.	190,000.
INTL. NETWORK OF NICOTINE CONSUMER ORGANISATIONS QUAI DE L'ILE 13 GENEVA SWITZERLAND CH-1204	N/A NC	STRENGTHENING NICOTINE CONSUMER ORGANISATIONS. THE ASSOCIATION AIMS TO MINIMISE THE GLOBAL HARM POSED BY COMBUSTIBLE TOBACCO AND UNSAFE FORMS OF ORAL TOBACCO BY MONITORING, SUPPORTING AND PROMOTING THE NEEDS AND RIGHTS OF NICOTINE USERS TO REDUCE THEIR HEALTH RISK BY CHOOSING SIGNIFICANTLY SAFER FORMS OF USE.	50,150.
LAND O'LAKES INTERNATIONAL DEVELOPMENT 1725 DESALES STREET, NW SUITE 800 WASHINGTON, DC 20036	N/A PC	CENTRE FOR AGRICULTURAL TRANSFORMATION IN MALAWI WILL FACILITATE THE DEVELOPMENT OF NEW TECHNOLOGIES, PARTNERSHIPS, AND INCOME OPPORTUNITIES TO HELP FARMERS ACHIEVE GREATER ECONOMIC CERTAINTY IN THE COMING YEARS.	12,000,000.
MICHIGAN STATE UNIVERSITY (MSU) ALLIANCE FOR AFRICAN PARTNERSHIP 427 NORTH SHAW LANE RM 100 EAST LANSING, MI 48824	N/A GOV	TO CREATE AN ENABLING POLICY ENVIRONMENT FOR ECONOMIC AND AGRICULTURAL TRANSFORMATION IN MALAWI.	5,200,000.
OPPORTUNITY INTERNATIONAL INC 550 W VAN BUREN ST SUITE 200 CHICAGO, IL 60607	N/A PC	TECHNOLOGY-ENHANCED FINANCE AND TRAINING EXTENSION: A PILOT TO TRANSFORM SMALLHOLDER AGRICULTURAL LIVELIHOODS IN MALAWI	541,383.
CENTRE OF RESEARCH EXCELLENCE ON INDIGENOUS SOV 109 CARLISLE ROAD	N/A	PROPOSAL TO BUILD A GLOBAL CENTRE FOR SMOKING CESSATION AND HARM REDUCTION IN INDIGENOUS PEOPLE	489,224.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 16 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
TORBAY AUCKLAND NEW ZEALAND	NC		
YOUTH BRIDGE, INC. LCUNA BUILDING BOB TAYLOR'S JUNCTION-PAYNESVILLE LIBERIA	N/A NC	FRAMING PRACTICAL SOLUTIONS TO ENDING SMOKING IN LIBERIA	64,181.
TOTAL CONTRIBUTIONS APPROVED			<u>19,192,280.</u>

990PF, PART IX - SUMMARY OF DIRECT CHARITABLE ACTIVITIES**ATTACHMENT 17**

THE FOUNDATION FOR A SMOKE-FREE WORLD ("FOUNDATION") WAS CREATED IN SEPTEMBER 2017 WITH THE PURPOSE OF IMPROVING GLOBAL HEALTH BY ENDING SMOKING IN THIS GENERATION.

DURING ITS FIRST FULL YEAR OF OPERATION, 2018, THE FOUNDATION FOCUSED ON EARLY ACHIEVEMENTS AS WELL AS BUILDING THE TYPE OF INTERNAL AND EXTERNAL INFRASTRUCTURE NEEDED TO REACH AND SUSTAIN ITS AMBITIOUS GOAL. THOSE EARLY PROJECTS ADDRESSED EACH OF THE FOUNDATION'S THREE CORE PILLARS:

- **HEALTH, SCIENCE, AND TECHNOLOGY:** THE FOUNDATION SEEKS TO COMPLEMENT ONGOING TOBACCO CONTROL EFFORTS BY THE WORLD HEALTH ORGANIZATION WHILE DEVELOPING AN UNDERSTANDING OF THE INDIVIDUAL SMOKER. OVER THE NEXT SEVERAL YEARS, THE FOUNDATION PLANS TO LEVERAGE DATA, RESEARCH, AND INPUT FROM STAKEHOLDERS AND EXPERTS TO ACCELERATE THE DEVELOPMENT OF MORE EFFECTIVE TOOLS TO HELP SMOKERS QUIT OR REDUCE THEIR RISKS WITH A FOCUS ON BUILDING CAPACITY FOR RESEARCH TARGETING THE LOW- AND MIDDLE-INCOME COUNTRIES, WHERE MANY SMOKERS LIVE.
- **AGRICULTURAL TRANSFORMATION INITIATIVE (ATI):** THE FOUNDATION'S AGRICULTURAL TRANSFORMATION INITIATIVE (ATI) IS PREPARING FOR A FUTURE OF REDUCED TOBACCO DEMAND. ITS GOAL IS TO MAKE THE AGRICULTURE SECTOR GLOBALLY MORE COMPETITIVE BY WORKING WITH SMALLHOLDER TOBACCO FARMERS TO HELP DEVELOP NEW BUSINESS MODELS THAT CREATE VALUE FOR PARTICIPANTS CURRENTLY DEPENDENT ON THE TOBACCO SUPPLY CHAIN. THIS WORK HAS STARTED IN MALAWI. TO ACHIEVE ITS MISSION, THE ATI IS PARTNERING WITH THE PRIVATE SECTOR, SMALLHOLDER FARMER ORGANIZATIONS, GOVERNMENT AND FINANCIAL STAKEHOLDERS TO CATALYZE INVESTMENT, DEVELOP AND STRENGTHEN EXTENSION SERVICES, AND PROMOTE ENTREPRENEURSHIP TO SUPPORT TOBACCO FARMERS IN THEIR EFFORTS TO TRANSITION TO ALTERNATIVE CROPS AND LIVELIHOODS.
- **INDUSTRY TRANSFORMATION:** THE FOUNDATION'S INDUSTRY TRANSFORMATION EFFORTS FOCUS ON ATTAINING CHANGE WITHIN THE GLOBAL TOBACCO INDUSTRY AND NICOTINE ECOSYSTEM. ESTABLISHING A COMPLETE DATA SET THAT ENCOMPASSES THE COMPANIES THAT PRODUCE NICOTINE-BASED PRODUCTS IS A NECESSARY PRECURSOR TO DEVELOPING EFFECTIVE POLICIES. THE FOUNDATION'S GLOBAL TRENDS IN NICOTINE REPORT IS AN IMPORTANT PART OF ITS OVERALL RESEARCH EFFORTS AND LAYS THE

GROUNDWORK FOR ITS WORK. THE SMOKE-FREE INDEX™, WHICH IS THE FIRST ACTION OF THE FOUNDATION'S INDUSTRY TRANSFORMATION INITIATIVE, WILL PROVIDE QUANTIFIABLE EVIDENCE OVER TIME OF WHAT STEPS THE LARGEST TOBACCO COMPANIES ARE TAKING TOWARD ACHIEVING A SMOKE-FREE WORLD AS WELL AS ANY ACTIONS THEY TAKE TO UNDERMINE THAT PROGRESS.

EMBEDDED IN ALL OF THESE PRINCIPLES AND PROJECTS IS THE FOUNDATION'S COMMITMENT TO GENDER EQUALITY AND THE APPLICATION OF A COMPREHENSIVE GENDER PERSPECTIVE.

DURING 2018, THE FOUNDATION DISTRIBUTED GRANT PAYMENTS TOTALING \$6.5 MILLION IN SUPPORT OF ITS THREE CORE PILLARS. BUILDING ON THE BASE CREATED IN 2018, THE FOUNDATION HAS ALREADY COMMITTED TO OVER \$100 MILLION IN CONDITIONAL GRANTS OVER THE PERIOD 2019-2024 AND WILL CONTINUE TO ADD TO THOSE COMMITMENTS OVER TIME. FOR ADDITIONAL INFORMATION ABOUT THE FOUNDATION'S CHARITABLE ACCOMPLISHMENTS IN 2018, PLEASE GO TO ITS ANNUAL REPORT WHICH CAN BE FOUND AT <HTTPS://WWW.SMOKEFREEWORLD.ORG/ANNUAL-REPORT>.

DIRECT CHARITABLE ACTIVITIES IN 2018 SUPPORTED EACH OF THE THREE MAIN AREAS OF THE FOUNDATION'S STRATEGIC PLAN. EACH STRATEGY MAY FUND GRANTS AS WELL AS INCUR EXPENSES:

- **HEALTH, SCIENCE, AND TECHNOLOGY (HST): (EXPENSES OF \$6,277,847, INCLUDING GRANT EXPENDITURES OF \$2,412,236)**
- **AGRICULTURAL TRANSFORMATION INITIATIVE (ATI): (EXPENSES OF \$6,518,464, INCLUDING GRANT EXPENDITURES OF \$2,997,526)**
- **INDUSTRY TRANSFORMATION: (EXPENSES OF \$229,537)**

AS OUTLINED IN THE FOUNDATION'S STRATEGIC PLAN, ONE OF THE GAPS IDENTIFIED WITHIN THE FRAMEWORK CONVENTION OF TOBACCO CONTROL (FCTC) THAT THE FOUNDATION IS ADDRESSING IS TO IMPROVE PUBLIC AWARENESS OF THE DRIVERS OF SMOKING HARM AND THE AVAILABILITY OF ALTERNATIVES. THE FOUNDATION IMPLEMENTED AN INTEGRATED COMMUNICATIONS PROGRAM TO INCREASE THE PUBLIC'S KNOWLEDGE OF THE GLOBAL HEALTH CRISIS ASSOCIATED WITH THE SMOKING OF COMBUSTIBLE CIGARETTES AND THE ROLE THAT ALTERNATIVE PRODUCTS COULD PLAY IN HELPING SMOKERS TO QUIT OR REDUCE THEIR RISK. THIS INCLUDED THE DEVELOPMENT OF COMMUNICATIONS MATERIALS THAT WERE SHARED WITH THE PUBLIC ON DIGITAL AND SOCIAL MEDIA PLATFORMS. **(EXPENSES OF \$8,679,663)**

EXAMPLES OF PARTICULAR PROJECTS WITHIN THE DIRECT CHARITABLE ACTIVITIES CLASSIFICATION FOR 2018 INCLUDE:

THE FOUNDATION CREATED VIDEO CONTENT TO EDUCATE STAKEHOLDERS REGARDING THE FOUNDATION'S MISSION AND WORK. THIS VIDEO CONTENT

ALLOWED THE FOUNDATION TO SHARE ITS MESSAGES ON THE FOUNDATION'S WEBSITE AND VIA SOCIAL CHANNELS. SEE [HTTPS://WWW.SMOKEFREEWORLD.ORG/VIDEOS](https://www.smokefreeworld.org/videos). **(EXPENSES: \$1,254,030)**

THE VIDEOS INCLUDE:

- THE TRUE COST OF THE CIGARETTE; PROFILE OF FARMERS IN MALAWI; CONCEPT DEVELOPMENT OF THE CENTER FOR AGRICULTURAL TRANSFORMATION IN MALAWI; LUANAR SCHOLARSHIP WITH THE ROTARY CLUB OF LILONGWE; AND FOUR YOUTH PITCH COMPETITION VIDEOS IN MALAWI.
- EIGHT STATE OF SMOKING COUNTRY VIDEOS FOR THE FOLLOWING COUNTRIES: UNITED KINGDOM; SOUTH AFRICA, NEW ZEALAND; JAPAN; ISRAEL; INDIA; GREECE; AND FRANCE; GLOBAL CONFUSION: A LOOK AT THE CHALLENGES AND SOLUTIONS FOR ENDING SMOKING WORLDWIDE.

THE FOUNDATION CONDUCTED THE BASELINE MALAWI LANDSCAPE ANALYSIS, WHICH WILL BE USED TO INFORM AND GUIDE THE FOUNDATION'S WORK IN THE ATI WITH THE OBJECTIVE OF DEVELOPING SUSTAINABLE LIVELIHOOD ALTERNATIVES FOR CURRENT SMALLHOLDER FARMERS, STARTING IN MALAWI. **(EXPENSES OF \$806,807)**

GLOBAL POLL - A FIRM WAS COMMISSIONED BY THE FOUNDATION TO CONDUCT QUALITATIVE AND QUANTITATIVE STUDIES IN 13 COUNTRIES TO DETERMINE PERCEPTIONS OF SMOKING HARMFULNESS AND ADDICTIVENESS, SMOKING BEHAVIOR PATTERNS, PERCEPTIONS OF RISK, AND BARRIERS TO QUITTING. THE PURPOSE OF THE SURVEYS IS TO HELP GAIN A CLEAR UNDERSTANDING OF THE CURRENT LANDSCAPE OF SMOKING HABITS ACROSS THE WORLD AND TO CHART A PATH FORWARD IN THE AREA OF HEALTH, SCIENCE, AND TECHNOLOGY RESEARCH.

[HTTPS://WWW.SMOKEFREEWORLD.ORG/GLOBAL-SMOKING-LANDSCAPE](https://www.smokefreeworld.org/global-smoking-landscape). **(EXPENSES OF \$506,638)**

THE FOUNDATION CONTRACTED WITH SEVERAL ORGANIZATIONS TO CONDUCT AND DOCUMENT RESEARCH IN SUPPORT OF THE FOUNDATION'S AGENDA IN THE AREAS OF HEALTH, SCIENCE, AND TECHNOLOGY AND INDUSTRY TRANSFORMATION, INCLUDING SMOKING CESSATION REPORT, BIOMARKERS REVIEW AND BUSINESS TRANSFORMATION CASES STUDIES. **(EXPENSES OF \$436,299)**